2016
Catalog of
State and Federal Mandates
on Local Governments

Commission on Local Government
Department of Housing and Community Development
Commonwealth of Virginia
http://www.dhcd.virginia.gov/clg

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INTRODUCTION

BACKGROUND TO THE CATALOG

In response to increasing interest in the effect of mandates on localities, the 1993 General Assembly enacted legislation directing the Commission on Local Government to compile and annually update a catalog of State and Federal mandates imposed on the Commonwealth's local governments, including, where available, a summary of the fiscal impact of any new mandates.[1] This 2016 edition of the catalog published by the Commission on Local Government includes mandates identified as of August 2016.[2] The Commission examined all legislation passed by the 2016 General Assembly session, surveyed executive branch agencies, and contacted the local government associations (the Virginia Association of Counties and the Virginia Municipal League) for information on new mandates and mandates not previously identified.

In the development of this document, the Commission adhered to the definition of "mandate" originally adopted by the Joint Legislative Audit and Review Commission (JLARC) and reconfirmed in Executive Order 58 (2007), which defines a mandate as a State or Federal constitutional, statutory, or administrative action that places a requirement on local governments.[3] This definition encompasses the four types of mandates classified in Executive Order 58: (1) compulsory orders, (2) conditions of financial aid, (3) regulation of optional activities, and (4) state fiscal preemption. Under this classification scheme, "compulsory orders" require local government compliance regardless of receipt of aid or

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[1] Sec. 15.2-2903(7), Code of Virginia.

[2] As was the case with previous editions, this most recent update is founded upon the prior research of the Joint Legislative Audit and Review Commission published in its two catalogs of mandates: the 1992 study, Catalog of State and Federal Mandates on Local Governments (H. D. 53/1992) and the 1993 Update: Catalog of State and Federal Mandates on Local Governments (H. D. 2/1994; hereinafter referred to as the 1993 Update).

[3] 1993 Update. It is important to note that, as a result, this catalog does not include those requirements imposed on local governments by judicial decision nor by burden resulting from a reduction of State or Federal financial assistance without a corresponding decrease in required services, which arguably also constitute mandates that can significantly affect localities.

other circumstances. Mandates termed "conditions of financial aid" require compliance only if the locality accepts the State or Federal assistance in question. Mandates classified as "regulation of optional activities" result from State or Federal regulation of activities that local governments undertake voluntarily. Finally, mandates termed "state fiscal preemption" involve measures that result in a net reduction of revenues collected by a locality or a restriction on the locality's authority to collect such revenues. This last type of mandate was introduced by Executive Order 58 and was not considered for inclusion in the catalog prior to the 2008 edition.

ASSOCIATED STATE OVERSIGHT OF MANDATES

In addition to requiring that mandates be cataloged, the General Assembly has taken several other steps to monitor the effects of mandates on Virginia's local governments.[4] For example, the legislature has directed the Division of Legislative Services to refer to the Commission any bills introduced in the General Assembly that may require one or more local governments to render a new service or expand an existing service, or require a net reduction in revenues by any county, city, or town.[5] The Commission must then conduct an investigation of such bills and prepare fiscal impact estimates to be filed with the Clerk of the House of Delegates for distribution to legislators considering those measures. These fiscal impact statements alert legislators to any adverse fiscal impacts that might result from the proposed legislation.

Further, in order to examine the fiscal as well as other impacts of existing mandates on localities, all State executive branch agencies are required to review mandates that they administer on local governments, and to report which, if any, might be altered or eliminated without interruption of local service delivery or undue threat to the health, safety, and welfare of citizens of the Commonwealth.[6] The Commission is responsible for

[4] For a complete listing of the Virginia statutes regarding mandates on localities, see Appendix F.

[5] Sec. 30-19.03, Code of Virginia.

[6] Sec. 2.2-613 and Sec. 15.2-2903(6), Code of Virginia.

coordinating the scheduling of the assessments and for notifying the Governor and the General Assembly when an agency assessment recommends the alteration or elimination of a mandate.

The assessment of mandates by executive agencies is governed by Executive Order 58 that went into effect in October 2007, superseding Executive Memorandum 1-98, which had guided the assessment process since October 1998.[7] Executive Order 58 eliminated the previous requirement to periodically reassess all mandates in the catalog, and limited assessment activities to four types of mandates: (1) new mandates; (2) newly identified mandates; (3) mandates that have been so substantially modified as to create a new mandate; and (4) mandates that the Commission, after duly considering input from local governments, state agencies, interest groups, and the public, has determined should be reassessed. In any case, no such mandate becomes subject to assessment until it has been in effect for at least twenty-four months, and no mandate can be reassessed more than once every four years unless it has been so substantially modified as to create a new mandate. The Commission annually establishes a schedule that state agencies must follow in assessing the types of mandates described above.

ORGANIZATION OF THE CATALOG

Generally

The 2016 edition of the catalog provides an inventory of all the State and Federal mandates on local governments in Virginia that had been identified as of August 2016. The catalog is organized into two parts. Part A contains those mandates administered by the executive agencies of the Commonwealth, and Part B lists the remaining mandates which either are administered by nonexecutive agencies or exist without State administrative oversight. In all, 693 mandates are included in the catalog. Of the total, 521 mandates

[7] EM 98-1 required periodic reassessments of every state agency mandate listed in the Catalog, but no more frequently than once every four years. Executive Order 58 (2007) revised the process so that assessment is limited only to mandates that are (1) new or previously unreported, (2) have been so revised that they constitute new mandates, or (3) that the Commission deems to be worthy of reassessment based on input from the public and interested groups.

subject to critical review by executive agencies are included, as opposed to 513 mandates listed in the 2015 edition. [8]

Part A

Part A of the catalog lists those mandates administered by executive branch agencies and subject to assessment pursuant to the provisions of Executive Order 58 (2007). They are cataloged according to administering agency. Each mandate has a separate entry containing standardized data, including a short title; a unique code number; a brief description of the mandated action; identification of the type of mandate; the name of the administering agency and the relevant secretariat; the statutory, regulatory, or constitutional authority on which the mandate is based; the mandate assessment period, if applicable; the assessment finding, where available; and additional applicable comments.[9]

Part B

Part B lists those mandates not subject to assessment because they are administered by nonexecutive branch agencies or because there is no State administrative oversight of the required action. Each entry for the mandates listed in Part B includes the same general information as that in Part A, but in this section references to the secretariat, assessment period, and assessment finding have been omitted.

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[8] See Appendix C, Changes in Mandates since Issuance of 2015 Catalog of State and Federal Mandates on Local Governments.

[9] Code numbers for mandates administered by executive agencies and subject to assessment are derived from the official abbreviations for the secretariat, followed by the official abbreviation for the administering agency and a three-digit number. Mandates not subject to review are identified as follows: judicial branch mandates begin with the letters "JUD," followed by the official abbreviation for the appropriate court, and a three-digit number; legislative branch mandates begin with the letters "LEG," followed by the official abbreviation of the agency, and a three-digit number; independent agency mandates begin with the letters "IND," followed by the official abbreviation for the agency, and a three-digit number; mandates without State oversight begin with the letters "NSO," followed by a three-digit number.

Appendices

Detailed supplementary materials are provided in the appendices. Appendix A includes a copy of Executive Order 58 (2007) which governs the mandates assessment process and contains a sample of the standardized format that agencies are required to follow in conducting their assessments. Appendix B offers a complete listing of the current schedule of assessment periods. Appendix C provides a separate listing of the changes in mandates since the publication of the previous edition: (1) new mandates, (2) newly identified mandates, (3) those mandates which have been expanded, (4) mandates which have been eliminated, (5) mandates which have been removed from the catalog, (6) other changes, and (7) minor edits.[10] Appendix D provides a separate listing of the principal Federal mandates that affect Virginia's localities, with a brief description of the mandate and a listing of the authorizing measure. Examples of all of these Federal mandates can be found with complete entries in Parts A and B of the catalog. Appendix E provides a table showing the number of mandates by type and by administering agency and secretariat. Finally, Appendix F includes a summary of the provisions of the Code of Virginia that apply to mandates, followed by the precise wording of the statutes.

[10] Expanded mandates are those which, by legislative or regulatory action, have been broadened with respect to the requirements placed on local governments. Eliminated mandates are those which, by legislative or regulatory action, have been repealed. Mandates which have been removed from the catalog are those which have been removed for some non-legislative or non-regulatory reason.

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Part A

Mandates Administered by State Executive Agencies

Part A of the catalog lists those mandates administered by executive branch agencies and subject to assessment pursuant to provisions of Executive Order 58 (2007). They are cataloged according to administering agency. Each mandate has a separate entry containing standardized data, including a short title; a unique code number; a brief description of the mandated action; identification of the type of mandate; the name of the administering agency and the relevant secretariat; the statutory, regulatory, or constitutional authority on which the mandate is based; the mandate assessment period, if applicable; the assessment recommendation or finding, where available; and additional comments.

Volunteer Fire Assistance Program Grant

SAF.DOF002

Volunteer fire departments receiving financial assistance for training, supplies, and equipment through the Volunteer Fire Assistance Program are required to provide a 50% match.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Forestry**

Secretariat: Agriculture and Forestry

Authority: P.L. 95-313-1978 (Fed.)

Last Assessment Period: 5/1/2006 to 7/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Forest Protection Reimbursement

SAF.DOF003

Counties, and cities entering into a contract for services with the Department of Forestry, must reimburse the Department for expenditures for forest protection, forest fire detection, forest fire prevention, and forest fire suppression. Such amount shall not exceed, in any one year, an amount equal to nine cents per acre of privately owned woodland beginning July 1, 2009.

Type: Compulsory Order

Agency: **Department of Forestry**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia §§ 10.1-1124 - 10.1-1125

Last Assessment Period: 5/1/2006 to 7/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Urban and Community Forestry Assistance Program

SAF.DOF004

Local governments applying for grant funds via the Cooperative Forestry Assistance Grant Program for urban and community forestry program development and/or projects that involve tree management, ecosystem management, or land-use planning, are required to provide a minimum 50% cash and/or in-kind match and comply with all requirements of the project contract.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Forestry**

Secretariat: Agriculture and Forestry

Authority: Cooperative Forestry Assistance Act of 1978, Public Law 95-313, as amended

Last Assessment Period: 5/1/2006 to 7/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Dry Fire Hydrant Grant Program

SAF.DOF005

Local fire departments participating in the Department of Forestry's Dry Fire Hydrant Grant Program may have a dry hydrant installed at a pre-approved location by the program's designated contractor. Localities must agree to pay for any materials required that exceed those specified in the grant.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Forestry**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia § 38.2-401(D); Terms and Conditions, Virginia Department of Forestry Dry Fire Hydrant Grant Program Application; Item 407, Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 6/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Enforcement of Comprehensive Animal Care Laws

SAF.VDACS002

Cities and counties shall, and towns may, appoint an animal control officer who shall enforce the comprehensive animal care laws of the Commonwealth. Animal control officers shall have the power to issue a summons or obtain a felony warrant as necessary, providing the execution of such shall be carried out by any law enforcement officer as defined in 9.1-101. Local law enforcement officers have the duty to enforce the comprehensive animal care laws to the same extent as other laws in the Commonwealth are enforced.

Type: Compulsory Order

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia § 3.2-6555, 3.2-6567

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

USDA Food Program Participation Requirements

SAF.VDACS003

Local schools, summer feeding program sponsors, correctional facilities, and nursing homes electing to receive foods donated by the U.S. Department of Agriculture must store, utilize, and account for foods they receive in accordance with federal regulations. Local governments audit and report the value of these foods as federal assistance received annually.

Type: Regulation of Optional Activity

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Agricultural Adjustment Act of 1933 § 32, as amended (Fed.); Agricultural Act of 1946 § 416, as amended (Fed.); 7 CFR 250, 251 (Fed.)

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Public Animal Holding Facility

SAF.VDACS004

Counties and cities must maintain or cause to be maintained a public animal shelter in accordance with regulations promulgated by the Board of Agriculture and Consumer Services

Type: Compulsory Order

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia § 3.2-6546; 2 VAC 5-110-10 et seq.

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Cooperative Gypsy Moth Suppression Program

SAF.VDACS006

Local governments participating in the Virginia Gypsy Moth Cooperative Suppression Program and receiving state or federal funding must comply with specific provisions of the Virginia Cooperative Suppression Program Guidelines.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia §§ 3.2-700 through 3.2-713; 16 USC § 2101-2114.

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Plastic Pesticide Container Recycling Grant

SAF.VDACS007

Local governments receiving plastic pesticide container recycling grant funds must develop a plan to administer the program and to comply with other program requirements including the establishment of a secure, covered recycling site, and the employment, training and supervision of container inspectors.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia §§ 3.2-3904, 3.2-3906

Last Assessment Period: 2/1/2006 to 4/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Training Standards for Animal Control Officers

SAF.VDACS008

Animal control officers hired on or after July 1, 2017, are required to complete a basic animal control course within one year after the date of hire, or within two years of the date of hire if the officer is attending a law-enforcement academy, with retraining every three years thereafter. Every locality employing local animal control officers shall submit to the State Veterinarian information on their employment and training status.

Type: Compulsory Order

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia §§ 3.2-6555, 3.2-6556

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Alter

Current Assessment Period:

Comment: This program was previously administered and assessed by the Department of Criminal Justice Services. Action by the 2004 General Assembly (Chapter 181, 2004 Virginia Acts of Assembly) placed the program under the State Veterinarian.

Control of Dangerous and Vicious Dogs

SAF.VDACS009

Local animal control officers or other local law enforcement officers who believe that a dog is dangerous or vicious shall apply to a magistrate to issue a summons requiring the owner to appear before a general district court. If the court finds the dog to be vicious as defined in the statute, the court shall order the dog to be euthanized. If the court finds the dog to be dangerous as defined in the statute, it shall require the owner to obtain a dangerous dog registration certificate from the locality in which he resides. The animal control officer shall electronically submit information concerning the dangerous dog to the Virginia Dangerous Dog Registry, which is maintained by the State Veterinarian. If the owner of a dangerous dog is charged with a violation of the dangerous dog statute, the animal control officer shall confine the dangerous dog. Local treasurers shall collect all fees associated with dangerous dog registration and annually remit to the State Veterinarian a portion of such fees for maintenance of the Virginia Dangerous Dog Registry.

Type: Compulsory Order

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia § 3.2-6540

Last Assessment Period: 7/1/2015 to 9/30/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Registry became operational July 1, 2007.

Inspection of Commercial Dog Breeding Locations

SAF.VDACS010

Local animal control officers shall inspect commercial dog breeding locations at least twice annually, and additionally upon receipt of a complaint or their own motion, to ensure compliance with state animal care laws and regulations.

Type: Compulsory Order

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia § 3.2-6507.1 et seq., 3.2-6555

Last Assessment Period: 8/1/2011 to 10/31/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Fertilizer Application to Nonagricultural Lands; Training and Reporting Requirements

SAF.VDACS012

When applying fertilizer to nonagricultural lands, local government agencies shall (i) ensure all fertilizer applications to nonagricultural lands are conducted by or under the supervision of employees who have successfully completed VDACS approved training, (ii) apply fertilizer consistent with the standards and criteria for nutrient management promulgated pursuant to Section 10.1-104.2 of the Code of Virginia, and (iii) report annually the total acreage or square footage by zip code of fertilizer applied to local government-owned nonagricultural lands.

Type: Regulation of Optional Activity

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia § 3.2-3602.1; and 2 VAC 5-405

Last Assessment Period: 3/1/2015 to 5/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Animal Control and Law Enforcement Animal Recordkeeping Requirements

SAF.VDACS013

Animal control officers, law enforcement officers and public animal shelters are required to make a record of every animal for which they take custody. A summary of these records are required to be submitted to the State Veterinarian annually. Animal control officers, law enforcement officers and public animal shelters must submit a copy of their intake policy annually to the State Veterinarian.

Type: Compulsory Order

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia § 3.2-6557

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Uniform Statewide Building Code (USBC)

SCT.DHCD001

Local building departments must enforce the Uniform Statewide Building Code which provides mandatory statewide regulations for the construction, maintenance, and use of buildings and structures.

Type: Compulsory Order

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 36-97 et seq.; Board of Housing and Community Development Regulations 13 VAC 5-63-10 et seq.

Last Assessment Period: 5/1/1994 to 11/30/1994

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Program updated by regulatory action of the Board of Housing and Community Development to incorporate the latest International Code Council model building codes.

Statewide Fire Prevention Code

SCT.DHCD002

Local governments electing to enforce a fire prevention code must comply with the Statewide Fire Prevention Code that establishes minimum statewide standards for fire safety in buildings and structures and provides regulations for the storage, handling, and use of specified substances, materials, and devices such as explosives and blasting agents.

Type: Regulation of Optional Activity

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 27-94 et seq.; Board of Housing and Community Development Regulations 13 VAC 5-51-11et seq.

Last Assessment Period: 3/1/2000 to 5/31/2000

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Program updated by regulatory action of the Board of Housing and Community Development to incorporate the latest International Code Council model building and fire codes.

Community Development Block Grant Program

SCT.DHCD004

Local governments participating in the Community Development Block Grant Program must comply with State and federal requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Title I of the Housing & Community Development Act, as amended (Fed.); 24 CFR 570 Subpart I (Fed.)

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Appalachian Regional Commission (ARC) Program Participation SCT.DHCD005

Western and Southwestern Virginia localities participating in the Appalachian Regional Commission Program are required to comply with federal and State guidelines and requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 36-139; Appalachian Regional Development Act of 1965, as amended (Fed.); Appalachian Regional Commission Code (Fed.)

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Home Investment Partnership Act Program (HOME) SCT.DHCD007

Local governments participating in the Home Investment Partnerships Act must comply with federal and State requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 36-139; Board of Housing and Community Development Virginia Indoor Plumbing Rehabilitation Program Guidelines; P. L. 101-625, 24 CFR 92 (Fed.)

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Enterprise Zone Grant Program SCT.DHCD010

Local governments with enterprise zone designations are required to offer local incentives, either directly or through assigned agents, as agreed upon at the time of the zone's designation or subsequent amendment. They are also required to develop periodic reports and comply with program regulations.

Type: Regulation of Optional Activity

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 59.1-279 et seq. (Enterprise Zone Act); § 59.1-538 et seq. (Enterprise Zone Grant Act); Board of Housing and Community Development Enterprise Zone Grant Program Regulations 13 VAC 5-112-10 et seq.

Last Assessment Period: 9/1/2000 to 11/30/2000

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Regional Competitiveness Act

SCT.DHCD011

Local governments seeking to apply for Regional Competitiveness Act funds are required to form regional partnerships, prepare regional strategic economic development plans, and issue annual reports.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 15.2-1306 et seq.; Item 92, Chapter 1, Special Session I, 1998 Virginia Acts of Assembly

Last Assessment Period: 6/1/2000 to 8/31/2000

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This program is not currently funded. In FY2007-2008, the annual report of the Virginia Economic Development Partnership recommended restoring funding.

Industrial Revitalization Fund (Derelict Structures Fund)

SCT.DHCD012

Local governments receiving grants from the Virginia Removal or Rehabilitation of Derelict Structures Fund must provide a 100% match and report to the Department of Housing and Community Development quarterly on the use of grant funds. The 100% match may come entirely from private sources.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 36-152 et seq., 2016 Industrial Revitalization Fund Program Design (p. 2)

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: Item 104 (H), Chapter 2, Special Session I, 2014 Acts of Assembly directed the deposit of specific funds to the Virginia Removal or Rehabilitation of Derelict Structures Fund to support industrial site revitalization. Item 105, Chapter 2, Special Session I, 2014 Acts of Assembly, directs surplus funds from the Virginia Enterprise Program to the Virginia Removal or Rehabilitation of Derelict Structures Fund to support industrial site revitalization.

Housing Revitalization Zone Fund Grants

SCT.DHCD013

Local governments receiving grants or other forms of financial aid from the Housing Revitalization Zone Fund must comply with program requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 36-157 et seq.

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: This program has never been funded and is currently inactive.

Neighborhood Stabilization Program (NSP 1 & 3) SCT.DHCD016

Local governments receiving financial aid from the Neighborhood Stabilization Program (NSP 1 & 3) must comply with program application requirements, recordkeeping requirements and established deadlines. There are requirements for an audit for entities that expend financial assistance of $750,000 or more in federal awards. All organizations that are funded by this program are required to submit financial statements to the Department of Housing and Community Development in accordance with the Department's Audit Policy.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Title III, Section 2301, Housing and Economic Recovery Act of 2008, P. L. 110-289; Section 1497, Dodd–Frank Wall Street Reform and Consumer Protection Act, P. L. 111-203.

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Disclosure of Proffered Cash Payments and Expenditures SCT.DHCD018

Local governments with populations over 3,500 that accept cash proffers on new developments are required to make an annual report to the Commission on Local Government concerning the amount received from cash proffers, the amount expended from cash proffers revenue, and the purpose of such expenditures.

Type: Compulsory Order

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 15.2-2303.2

Last Assessment Period: 7/1/2011 to 9/30/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Defective Drywall Correction and Restoration Assistance Fund SCT.DHCD020

Grants and loans to local governments may be approved to promote the restoration of properties that have been affected by the installation of defective drywall products, subject to terms and conditions deemed appropriate and as provided in guidelines to be developed by the Department.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 36-156.1 et seq.

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: This program has not been funded.

Local Building Permit Fee Levy

SCT.DHCD021

Local building departments shall, by regulation, collect a levy of up to 2 percent on building permit fees. These fees are to be remitted quarterly to the Department of Housing and Community Development to support the activities of the Virginia Building Code Academy. Localities maintaining their own academies may retain the levy locally.

Type: Compulsory Order

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 36-137:7

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: The current levy is 2 percent.

Virginia Main Street (VMS)

SCT.DHCD022

Local governments participating in the Virginia Main Street Program are required to provide financial support for the local Main Street program and comply with any provisions set forth by DHCD.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 36-139

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Regulation of Coal Surface Mining and Reclamation

SCT.DMME001

Local governments electing to engage in coal surface mining activities are subject to the requirements of the federal Surface Mining Control and Reclamation Act of 1977. State primacy is implemented through the Virginia Coal Surface Mining and Reclamation Act.

Type: Regulation of Optional Activity

Agency: **Department of Mines, Minerals and Energy**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 45.1-229, 45.1-234, 45.1-259; Department of Mines, Minerals, and Energy Regulations 4 VAC 25-130.700.1 et seq.; P.L. 95-87 (Fed.); 30 CFR 700 - 999 (Fed.)

Last Assessment Period: 5/1/2005 to 7/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Regulation of Mineral Mining Operations

SCT.DMME002

Local governments electing to regulate mining operations in their jurisdictions under a waiver of the State regulatory program must adopt standards at least as stringent as those set forth in Chapter 16 of Title 45.1 of the Code of Virginia.

Type: Regulation of Optional Activity

Agency: **Department of Mines, Minerals and Energy**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 45.1-197; Department of Mines, Minerals, and Energy Regulation 4 VAC 25-31-10 et seq.

Last Assessment Period: 5/1/2005 to 7/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Boiler and Pressure Vessel Safety

SCT.DOLI001

School divisions and other local entities that have ownership or responsibility for boilers and other pressure vessels must protect human life and property from the unsafe or dangerous construction, installation, inspection, operation, maintenance, and repair of boilers and pressure vessels in the Commonwealth through periodic inspections, repair or replacement, and payment of fees for certificate of inspection.

Type: Compulsory Order

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 40.1-51.5 et seq.; Department of Labor and Industry/Safety and Health Codes Board Regulations 16 VAC 25-50-10 et seq.

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

National Emissions Standards for Hazardous Air Pollutants (NESHAP)

SCT.DOLI002

Local governments must comply with federal Environmental Protection Agency regulations, incorporated by reference by the Department of Labor and Industry, governing the demolition and renovation of facilities and the disposal of asbestos-containing wastes from such operations. If a locality wishes to adopt or amend a local NESHAP ordinance, the Safety and Health Codes Board must approve, and the new ordinance must be at least as stringent as the Board's regulation; the more stringent regulation will supersede § 40.1-51.41.

Type: Compulsory Order

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 40.1-51.23 et seq.; Department of Labor and Industry/Safety and Health Codes Board Regulations 16 VAC 25-30-10 et seq.; P.L. 99-519 (Fed.); 42 USC 7401 et seq., 40 CFR 61.140 et seq. (Fed.)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Occupational Safety and Health Act

SCT.DOLI003

Local governments must provide for the occupational safety and health of their employees through compliance with the State enforcement of the federal Occupational Safety and Health Act of 1970, its rules and regulations, as well as any unique requirements of the Virginia State plan program.

Type: Compulsory Order

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 40.1-1, 40.1-2.1, 40.1-22, 40.1-49.3 et seq.; Department of Labor and Industry/Safety and Health Codes Board Regulations 16 VAC 25-60-10 through 16 VAC 25-190-10 et seq.; 29 CFR 1910, 1915, 1917, 1926, 1928 (Fed.); P.L. 91-596 (Fed.)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Boiler and Pressure Vessel Operator Certification

SCT.DOLI004

Local governments that adopt ordinances requiring boiler and pressure vessel operators to be certified by the locality must utilize the Safety and Health Codes Board's standards to determine the applicant's ability, proficiency, and qualifications.

Type: Regulation of Optional Activity

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 15.2-910; Department of Labor and Industry/Safety and Health Codes Board Regulations 16 VAC 25-40-10 et seq.

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Voluntary Apprenticeship Programs

SCT.DOLI005

Local governments that offer apprenticeship programs and choose to register the programs with the Department of Labor and Industry must comply with the Department's regulations governing the administration of apprenticeship programs and the requirements for apprenticeship related instruction.

Type: Regulation of Optional Activity

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 40.1-117 through 40.1-126 (Voluntary Apprenticeship Act); Department of Labor and Industry/Apprenticeship Council Regulations 16 VAC 20-21-10 et seq.; P.L. 75-308 (National Apprenticeship Act of 1937) (Fed.)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Incorporates SOE.VCCS001.

Providing Local Government Statistical Information

SCT.DOLI006

Local governments shall furnish upon request statistical or other information that will assist the Department in the discharge of its duties.

Type: Compulsory Order

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 40.1-8

Last Assessment Period: 7/1/2015 to 9/30/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Voluntary Protection Program

SCT.DOLI007

Local governments that decide to participate in the Voluntary Protection Program managed by the Department of Labor and Industry's Virgnia Occupational Safety and Health (VOSH) Program must comply with the following requirements: (1) upper management leadership and active and meaningful employee involvement; (2) systematic assessment of occupational hazards; (3) comprehensive hazard prevention, mitigation, and control programs; (4) employee safety and health training; (5) safety and health program evaluation; and (6) any regulations of the Safety and Health Codes Board adopted pursuant thereto.

Type: Regulation of Optional Activity

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 40.1-49.13

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Waterworks and Wastewater Works Operators License

SCT.DPOR002

Local government employees who are in charge of a waterworks or a wastewater works as a supervisor, a shift operator, or a substitute in charge, and whose duties include testing or evaluation to control waterworks or wastewater works operations, must be licensed by the Department of Professional and Occupational Regulation.

Type: Regulation of Optional Activity

Agency: **Department of Professional and Occupational Regulation**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 54.1-2302; Department of Professional and Occupational Regulation 18 VAC 160-20-10 et seq.

Last Assessment Period: 3/1/2003 to 5/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Waste Management Facility Operators License

SCT.DPOR003

Local government employees who are in charge of the actual, on-site operation of a waste management facility during any period of operation must be licensed by the Department of Professional and Occupational Regulation.

Type: Regulation of Optional Activity

Agency: **Department of Professional and Occupational Regulation**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 54.1-2212; Department of Professional and Occupational Regulation 18 VAC 155-20-10 et seq.

Last Assessment Period: 7/1/2010 to 9/30/2010

Last Assessment Finding: Eliminate

Current Assessment Period:

Comment: The 2011 assessment specifically stated that the mandate should be eliminated; however, the rationale provided for its elimination was that this same requirement is imposed on the population at-large, and is not specific to local governments. The Commission is retaining this listing because the mandate applies to a core service of local government. The assessment made no recommendation as to whether the program itself should be retained, altered, or eliminated.

Bank Franchise Tax

SFIN.TAX001

Commissioners of revenue are required to certify copies of banks' returns on net capital and schedules and transmit certified copies to the Department of Taxation.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia § 58.1-1207

Last Assessment Period: 6/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Taxpayer Assistance

SFIN.TAX002

Commissioners of revenue must provide taxpayer assistance necessary for the preparation of any return required to be filed with his or her office or as directed by the Tax Commissioner, unless the commissioner of the revenue has requested that the Department of Taxation direct taxpayers to file such returns with the Department.

Type: Regulation of Optional Activity

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-202, 58.1-306, 58.1-3108

Last Assessment Period: 10/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Annual Assessment Sales Ratio Study

SFIN.TAX003

The clerk of the circuit court for each jurisdiction must forward the recordation receipts for all property conveyances for each month to the Department of Taxation. In addition, local assessing officers must make available to the Tax Commissioner data necessary to conduct an annual assessment sales ratio study. Upon publication of the study, the local assessing officer must post a copy of the study in his or her office.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-207, 58.1-208, 58.1-2604, 58.1-3201, 58.1-3259, 58.1-3303; 49 USC 11503 (Fed.)

Last Assessment Period: 6/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Income and Fiduciary Tax Returns

SFIN.TAX004

Cities and counties must obtain income and fiduciary tax returns, audit the returns, make any necessary assessments, deposit any tax due payments, and forward the returns to the Department of Taxation, unless the commissioner of the revenue has requested that the Department of Taxation direct taxpayers to file such returns with the Department.

Type: Regulation of Optional Activity

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-305 et seq., 58.1-382, 58.1-3107

Last Assessment Period: 10/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Estimated Tax Payment

SFIN.TAX005

Certain individuals, trusts, and estates must file a declaration of estimated tax with the commissioner of the revenue and make necessary payments to the treasurer, unless the commissioner has requested that the Department of Taxation direct taxpayers to file such returns with the Department. The commissioner of the revenue and treasurer are required to record the payment information daily and submit the information to the Department of Taxation.

Type: Regulation of Optional Activity

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-306, 58.1-490 et seq.

Last Assessment Period: 10/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Recordation and Will Taxes

SFIN.TAX006

Clerks of the circuit court for each city and county must collect and deposit recordation taxes and taxes on wills. Localities are prohibited from collecting a recordation tax for any deed of trust that secures a loan made by a locality to a borrower whose household income does not exceed 80% of the area median household established by the U.S. Department of Housing and Urban Development. Localities that are grantors are prohibited from collecting a grantor's tax on a judicial sale of tax-delinquent property.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-800 through 807, 58.1-809 through 811, 58.1-1711 through 1714, 58.1-1718, 58.1-3801

Last Assessment Period: 10/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Real Estate Reassessment Standards

SFIN.TAX007

Local governments must reassess real estate at certain intervals. The intervals are determined by the locality type and the population of the locality. Local governments must assess real estate at 100 percent fair market value. All supervisors, assessors, and appraisers hired or contracted by a locality to perform real property assessments must hold a valid certification issued by the Department of Taxation.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-3201, 58.1-3250 through 3258.2, 58.1-3271, 58.1-3275, 58.1-3276

Last Assessment Period: 10/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Tax-Exempt Real Property Inventory

SFIN.TAX008

Local assessing officers must assess tax exempt real estate at its fair market value and maintain an inventory of tax exempt and tax immune real estate. A total of assessed valuations and a computation of the percentage of the exempt and immune property to all property assessed must be published annually and a copy must be filed with the Department of Taxation.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia § 58.1-3604

Last Assessment Period: 6/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Tax Administrative Appeals Process

SFIN.TAX010

Local governments that levy merchants' capital, machinery and tools, tangible personal property taxes or a business, professional and occupational license tax must implement an administrative appeals process that permits taxpayers to appeal certain tax assessments.

Type: Regulation of Optional Activity

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-3703.1 A (5), 58.1-1822, 58.1-3984, 58.1-3983.1

Last Assessment Period: 8/1/2008 to 10/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Board of Equalization Appeals Process

SFIN.TAX011

Local governments must allow taxpayers to make fair market value appeals of real estate assessments to the local board of equalization. Before a Board may increase an assessment on commercial, multifamily, residential or industrial property, the increase must be recommended by the assessor, after providing the Board with an appraisal performed by an independent contractor licensed and certified by the Virginia Real Estate Appraiser Board to serve as a general real estate appraiser.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-3256, 58.1-3331, 58.1-3378 - 58.1-3380, 58.1-3384, 58.1-3984

Last Assessment Period: 9/1/2015 to 11/30/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Affordable Housing Real Estate Assessments

SFIN.TAX012

Upon request of the owner, local real estate assessors must take into account any restrictions on the use of affordable rental housing properties when determining the fair market value of such properties. Further, the income approach to valuation shall be used in assessing these properties. In some instances, owners with expenses common to two or more units may compel the local assessor to make a pro-rata apportionment of the expenses to each unit.

In appeals of real property assessments for residential rental apartments in excess of four units, local Boards of Equalization must consider a variety of factors, including: 1) the gross income and loss generated from the property; 2) operating and additional expenses; and 3) other evidence relevant to determining the fair market value. Also, the Board must value these residential apartments using the income approach, unless the real property has been sold since the previous assessment, improvements on the real property are being made, or the value does not accord with appraisal practices prescribed by the International Association of Assessing Officers.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-3295 and 58.1-3295.1

Last Assessment Period: 7/1/2014 to 9/30/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Appeal provisions are effective for tax years beginning on or after January 1, 2012.

Tax Exemption for Certified Pollution Control, Recycling, and Solar Energy Equipment and Facilities

SFIN.TAX014

Certain certified pollution control equipment and facilities; certain recycling equipment; certain waste recovered gas equipment; and certain business-owned solar energy equipment, facilities, or devices are exempt from local taxation. The effective date of exemption is the date the property or facility is placed in service.

Type: State Fiscal Preemption

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia § 58.1-3660; Constitution of Virginia, Article X, Section 6(d)

Last Assessment Period: 3/1/2014 to 5/31/2014

Last Assessment Finding: Retain

Current Assessment Period: 7/1/2016 to 9/30/2016

Comment: Effective for tax years beginning on or after January 1, 2011. Scheduled for assessment in FY2017.

Real Property Tax Assessment of Wetlands

SFIN.TAX015

Local real estate assessors must separately assess all wetlands at their fair market value, should the property owner so request. Once the wetlands are separately assessed, the assessor must enter the area and fair market value for both tracts consisting of wetlands and the remaining portion of each tract into the land book.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia § 58.1-3284.3

Last Assessment Period: 8/1/2014 to 10/31/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Consumer Utility Tax Exemption for Certain Electricity Generation Facilities

SFIN.TAX016

Natural gas service provided to an electric utility in order to fuel an electricity generation facility shall be exempt from the local consumer utility tax.

Type: State Fiscal Preemption

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia § 58.1-3814

Last Assessment Period: 9/1/2014 to 11/30/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Payment Agreements for Delinquent Real Property Taxes

SFIN.TAX017

Before a locality may initiate judicial proceedings to sell real property for delinquent taxes, it must notify the taxpayer of his right to request a payment agreement with the locality for the payment of delinquent taxes.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia § 58.1-3965

Last Assessment Period: 7/1/2015 to 9/30/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Northern Virginia Transportation Authority Must Deposit Certain Funds SFIN.TAX018

Counties and cities wholly embraced by the Northern Virginia Transportation Authority must either: (1) deposit revenues from the commercial and industrial property tax imposed at the maximum rate allowed or (2) deposit an equivalent amount of other funds, other than those received from the Authority into their special funds. If the localities choose not to exercise either option, then the portion of revenues the localities receive from the Authority will be reduced by the difference between the revenues the locality would receive if it was imposing the tax at the maximum rate and the amount actually deposited into its special fund.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 15.2-4838.01 and 15.2-4838.1

Last Assessment Period: 7/1/2015 to 9/30/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Regional Transient Occupancy Tax in Northern Virginia SFIN.TAX019

Localities in the Northern Virginia region must administer and collect a new regional transient occupancy tax at a rate of two percent. The tax must be administered and collected in the same manner as the local transient occupancy taxes.

Type: Compulsory Order

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia § 58.1-1742

Last Assessment Period: 8/1/2015 to 10/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This requirement is currently only applicable to Northern Virginia, specifically, those localities embraced by Planning District 8. Upon additional planning districts meeting specific population, vehicle registration and transit ridership criteria, the localities included within those planning districts would also become subject to this mandate.

Real Property Tax Exemption or Deferrals for the Elderly and Disabled SFIN.TAX020

Real property tax relief provided by local governments to taxpayers aged 65 or older or permanently disabled must extend to real property that is (1) held by the eligible person alone, with his spouse as tenant(s) for life or joint lives, or held in conjunction with one or more other people as tenants for life or joint lives; (2) held in a revocable inter vivos trust over which the eligible person, the eligible person and his spouse, or the eligible person with one or more other joint owners holds the power of revocation, or (3) held in an irrevocable trust under which an eligible person alone, with his spouse, or with other joint owners, possesses a life estate or an estate for joint lives or enjoys a continuing right of use or support. Local governments may not include the income of owners' relatives that live in the dwelling and provide bona fide care-giving services when computing annual income to determine eligibility for real property tax relief. In addition, nonrelatives living in the dwelling, who provide bona fide care-giving services to the owner may not be included in the income calculation, regardless of whether or not the non-relative is compensated.

Type: State Fiscal Preemption

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-3210, 58.1-3211.1 and 58.1-3212

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 8/1/2016 to 10/31/2016

Comment: Scheduled for assessment in FY2017.

Food and Beverage and Meals Tax Exemption for Nonprofit Fundraising Sales SFIN.TAX021

All localities must exempt from their food and beverage and meals taxes the first $100,000 in gross receipts per calendar year of meals sold by nonprofit entities at fundraising sales, beginning with the fourth such sale in a calendar year, and excluding gross receipts from the first three sales. In order for the exemption to apply, the gross proceeds from such sales must be used by the qualifying nonprofit organization exclusively for nonprofit, educational, charitable, benevolent, or religious purposes.

Type: State Fiscal Preemption

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia §§ 58.1-3833 and 58.1-3840

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 9/1/2016 to 11/30/2016

Comment: Scheduled for assessment in FY2017.

Tax Law Compliance for Bond Sale to Virginia Public School Authority

SFIN.TD002

Local governments that issue general obligation school bonds and sell such bonds to the Virginia Public School Authority are required to adhere to all applicable requirements of the Internal Revenue Code of 1986, as amended, and any regulations issued by the U.S. Treasury Department.

Type: Regulation of Optional Activity

Agency: **Department of the Treasury**

Secretariat: Finance

Authority: Internal Revenue Code §§ 1.103, 1.144 through 1.149 (Fed.); U.S. Treasury Regulations (Fed.)

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Investment of Virginia Public School Authority Bond Proceeds

SFIN.TD003

Local governments participating in any Virginia Public School Authority pooled financing are required to invest the proceeds from the sale of their local school bonds in the Virginia State Non-Arbitrage Program.

Type: Regulation of Optional Activity

Agency: **Department of the Treasury**

Secretariat: Finance

Authority: Virginia Public School Authority General Pooled Bond Policy § 6; Internal Revenue Code §§ 1.103 and 1.148 (Fed.)

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Public Building Authority Regional and Local Jail Construction Reimbursement Program

SFIN.TD004

Local governments seeking reimbursement from the Commonwealth for a portion of the capital costs and financing costs or interest costs of constructing a jail facility must apply for reimbursement through the Department of the Treasury.

Type: Regulation of Optional Activity

Agency: **Department of the Treasury**

Secretariat: Finance

Authority: Code of Virginia §§ 53.1-82.2, 53.1-82.3; Virginia Public Building Authority Guidelines

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Depositing Requirements for State Funds

SFIN.TD006

Each treasurer shall deposit all State moneys collected or received from all sources directly into the account of the State treasury without any deduction and make a statement of all State revenue collected since the last report to the comptroller.

Type: Compulsory Order

Agency: **Department of the Treasury**

Secretariat: Finance

Authority: Code of Virginia §§ 2.2-806, 58.1-3168

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Exception Reporting by Public Depositor

SFIN.TD007

Pursuant to the Virginia Security for Public Deposits Act and related regulations, public depositors must notify the State Treasurer of any unresolved discrepancy between the public depositor's records and the quarterly public depositor report provided by the depository in accordance with Department of Treasury regulations.

Type: Compulsory Order

Agency: **Department of the Treasury**

Secretariat: Finance

Authority: Code of Virginia §§ 2.2-4400 through 2.2-4411; Department of the Treasury Regulation 1 VAC 75-20-10 et seq.

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Uniform Disposition of Unclaimed Property

SFIN.TD008

The Uniform Disposition of Unclaimed Property Act requires the State Treasurer to identify, collect, and return property that has been held for specified dormancy periods to rightful owners. Local treasurers, directors of finance, clerks of court, general receivers, or any other local government entity holding property more than one year for owners who cannot be located are required to report and remit this property by November 1 of each year. Any government entity that has a report with twenty-five (25) owners or more must file in an electronic format as prescribed by the administrator.

Type: Compulsory Order

Agency: **Department of the Treasury**

Secretariat: Finance

Authority: Code of Virginia §§ 55-210.1 through 55-210.30

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Abandoned Real Property

SFIN.TD009

Local treasurers or other designated local officials are required to report to the appointed escheator and State Treasurer by May 31 of each year real property that appears to meet the criteria for escheat on his or her records as of the end of the prior calendar year. The report shall be certified as to its accuracy by the commissioner of revenue or designated local official prior to submission. Following the auction of the property, the local treasurer or designated local official is required to request the delinquent taxes on each parcel sold. In the instance of improper escheat, the commissioner of revenue or other designated local official is required to certify this fact.

Type: Compulsory Order

Agency: **Department of the Treasury**

Secretariat: Finance

Authority: Code of Virginia §§ 55-168 through 55-201.1

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Blanket Fidelity Bond Program

SFIN.TD010

Constitutional officers, general receivers, and clerks are required to participate in the blanket fidelity bond program established and administered by the Department of the Treasury, Division of Risk Management.

Type: Compulsory Order

Agency: **Department of the Treasury**

Secretariat: Finance

Authority: Code of Virginia §§ 2.2-1840, 2.2-1841, 8.01-588.1, 15.2-1527 through 15.2-1533

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Children's Services Act

SHHR.CSA001

The Children's Services Act requires local governments to coordinate services for youth and families in order to receive State funds for care and treatment of troubled and at-risk youths and families.

Type: Non-Discretionary Condition of Aid

Agency: **Office of Children's Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 2.2-2648, 2.2-2649, 2.2-4345(A)(14), 2.2-5200 et seq.; Item 285, Chapter 780, 2016 Acts of Assembly.; P.L. 96-272 (Fed.); P.L. 101-476 (Fed.); P.L. 110-351 (Fed.); P.L. 112-34; 20 USC 1400 through 1485; (Fed.); 34 CFR 300 (Fed.); 45 CFR 1355 through 57 (Fed.)

Last Assessment Period: 12/1/2005 to 1/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This mandate is administered by the Office of Children's Services which also staffs the State Executive Council, a supervisory body that includes representatives of several secretariats, the Supreme Court of Virginia, private service providers, parents and local governments.

Referrals and Reviews of Children and Families

SHHR.CSA002

Local Community Policy and Management Teams are required to establish policies governing referrals and reviews of children and families to the family assessment and planning teams or a collaborative, multidisciplinary team process approved by the Council, including a process for parents and persons who have primary physical custody of a child to refer children in their care to the teams, a process to review the teams' recommendations and requests for funding, and a process for youth and families to appeal decisions made by the local family planning and assessment teams concerning individual family services plans.

Type: Compulsory Order

Agency: **Office of Children's Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 2.2-5206

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: SHHR.DSS078 transferred to this mandate.

Appeals for Youth and Families Involving Family Services Plans

SHHR.CSA003

Local Community Policy and Management Teams are required to adopt policies and procedures for youth and families to appeal decisions made by local family planning and assessment teams concerning services provided to the youth and family based on an individual family services plan.

Type: Compulsory Order

Agency: **Office of Children's Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 2.2-5206

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: Previously identified as SHHR.DSS078

Area Plan for Aging Services

SHHR.DARS001

Local governments may be designated as area agencies on aging. If so, they must prepare and implement an area plan for aging services that describes the scope of activities to be conducted with federal and state funds received from the Department for Aging and Rehabilitative Services.

Type: Non-Discretionary Condition of Aid

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 51.5-134 et seq.; 22 VAC 30-60-10 et seq.; 42 USC 3001 et seq. (Fed.); 45 CFR 1321 (Fed.)

Last Assessment Period: 11/1/2005 to 11/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.VDA001.

Long-Term Care Screening Team Participation Required

SHHR.DARS002

Local social services agencies are required to participate in a community based screening team to evaluate individuals who will be eligible for long-term care services.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-330, 51.5-146, 63.2-1602(1); Department for Aging and Rehabilitative Services, Adult Protective Services Manual, Ch. 4

Last Assessment Period: 7/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.DSS012.

Auxiliary Grant Program for SSI Recipients

SHHR.DARS003

Local social services agencies must determine the eligibility of clients for the auxiliary grant program for supplemental security income (SSI) recipients and provide local funding for a share of the program costs in accordance with State regulations.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 51.5-160; Department for Aging and Rehabilitative Services, Auxiliary Grant Eligibility Manual; Social Security Act §§1616, 1618 (Fed.); 20 CFR 416.2099, 416.2095 - 416.2099 (Fed.)

Last Assessment Period: 7/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.DSS015.

Adult Protective Services

SHHR.DARS004

Local social services agencies are required to identify specific protective services for adults found to need protective services and to provide those services to the extent that federal or State matching funds are available. The local agency must conduct investigations into reports that older and/or incapacitated adults are abused, neglected, or exploited, and must also submit reports on protective services.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 51.5-148, 63.2-1603 et seq.; Department for Aging and Rehabilitative Services, Adult Protective Services Manual, Chapter 2.

Last Assessment Period: 1/1/2003 to 3/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.DSS037.

Adult Foster Care Program Requirements

SHHR.DARS005

Local social services agencies that provide adult foster care services, which may include recruitment, approval, and supervision, must comply with State rules and regulations.

Type: Regulation of Optional Activity

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 51.5-146, 63.2-1601; Department for Aging and Rehabilitative Services, Adult Protective Services Manual, Ch. 4

Last Assessment Period: 10/1/2002 to 12/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.DSS038.

Services to Person Discharged from State Hospital

SHHR.DARS006

Local social services agencies are required to assume responsibility for the provision of social services to persons discharged from State hospitals.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-505, 37.2-837, 51.5-146, and 63.2-1602(4); Department for Aging and Rehabilitative Services Adult Protective Services Manual, Chapters 1 and 4.

Last Assessment Period: 1/1/2003 to 3/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.DSS040.

Home-Based Services

SHHR.DARS007

Local social services agencies are required to provide home-based services to the extent that federal or State matching funds are available.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 51.5-146 and 63.2-1600; Department for Aging and Rehabilitative Services, Adult Protective Services Manual, Chapters 3 and 4

Last Assessment Period: 6/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.DSS041.

Integration of Community Services

SHHR.DARS008

Local social service agencies are required to develop, with the community services boards, joint annual written agreements to specify what services will be provided to clients. Local social services agencies are also required to serve on prescription teams to facilitate the integration of community services necessary for pre-discharge planning for clients referred to community services boards.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-504 (12), 37.2-605 (14), 37.2-837, 37.2-505, 51.5-146 and 63.2-1602 (4); Department of Adult and Rehabilitative Services, Adult Protective Services Manual, Chapters 3 and 4

Last Assessment Period: 10/1/2002 to 12/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.DSS046.

Assessment in Assisted Living Facilities

SHHR.DARS009

Local social services agencies must assess residents of assisted living facilities (ALF) and applicants for residency using the Uniform Assessment Instrument to determine care needs. Any subsequent change in a resident's condition which would affect admission to an ALF shall cause a new assessment to be completed.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 51.5-146, 63.2-1602(2), 63.2-1804; 22 VAC 30-110 et seq.

Last Assessment Period: 2/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.DSS062.

Annual Reports by Guardians

SHHR.DARS010

Local social services agencies receiving annual reports by guardians must file a copy of such reports within 60 days to the clerk of the circuit court that appointed the guardian. Additionally, twice each year local agencies must file with the clerk of the circuit court a list of all guardians more than ninety days delinquent in filing an annual report.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 64.2-2020; Department for Aging and Rehabilitative Services, Adult Protective Services Manual, Chapter 7

Last Assessment Period: 7/30/2012 to 12/31/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SHHR.DSS067.

Long-Term Care Services Coordination Committee

SHHR.DARS011

The governing body of each county or city, or combination thereof, must designate a lead agency and member agencies to accomplish the coordination of local long-term care services. Representatives from the local department of public health, the local social services agency, the community services board or the community mental health clinic, the area agency on aging, and the local nursing home preadmission screening team must serve on the coordination committee.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 51.5-138, 63.2-1602(3); Department for Aging and Rehabilitative Services, Adult Protective Services Manual, Chapter 4

Last Assessment Period: 1/1/2003 to 3/31/2003

Last Assessment Finding:

Current Assessment Period:

Comment: Previously identified as SHHR.DSS035.

Community Services Board Participation in Community Medicaid Initiative

SHHR.DBHDS002

All community services boards (CSBs) and behavioral health authorities (BHAs) must participate in Medicaid-covered services and meet all requirements for provider participation, and failure to do so shall result in the termination of a like amount of state grant support.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Item 315 (E), Chapter 3, Special Session I, 2012 Acts of Assembly.

Last Assessment Period: 3/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This mandate applies to CSBs established pursuant to § 37.2-500 of the Code of Virginia and the BHA established pursuant to § 37.2-601. It does not apply to cities and counties unless they have established administrative policy CSBs or a local department with a policy-advisory CSB, defined in § 37.2-100. See SHHR.DBHDS003 for information regarding designating the type of CSB.

Establishment of Community Services Board

SHHR.DBHDS003

Every city or county shall establish a community services board (CSB) by itself or in combination with other cities and counties, unless it establishes a behavioral health authority (BHA) pursuant to § 37.2-601 of the Code of Virginia. Every city or county or any combination of cities and counties that has established a CSB, in consultation with that board, shall designate it as an operating CSB, an administrative policy CSB, or a local government department with a policy-advisory CSB. Appointments to CSBs shall be broadly representative of the community. One-third of the appointments shall be individuals who are receiving or who have received services or family members of individuals who are receiving or have received services, at least one of whom shall be an individual receiving services.

Type: Compulsory Order

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-500, 37.2-501, 37.2-601, 37.2-602

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Definitions of the types of CSBs and the BHA are contained in § 37.2-100 of the Code of Virginia. Also, the Overview of Community Services in Virginia, available on the Virginia Department of Behavioral Health and Developmental Services website at www.dbhds.virginia.gov/documents/OCC-CSB-Overview.pdf contains a table on page 12 that lists each CSB by type.

Community Services Board Licensing

SHHR.DBHDS004

Community services boards (CSBs) and behavioral health authorities (BHAs) providing services to individuals with a mental illness, intellectual disability, or substance use disorders are required to be licensed by the Department of Behavioral Health and Developmental Services.

Type: Compulsory Order

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-403 et seq.; Department of Behavioral Health and Developmental Services Regulation 12 VAC 35-105-10 et seq

Last Assessment Period: 9/1/2005 to 11/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This mandate applies to CSBs established pursuant to § 37.2-500 of the Code of Virginia and the BHA established pursuant to § 37.2-601. It does not apply to cities and counties unless they have established administrative policy CSBs or a local department with a policy-advisory CSB, defined in § 37.2-100. See SHHR.DBHDS003 for information regarding designating the type of CSB.

Community Services Board Mandated Services

SHHR.DBHDS005

Community services boards (CSBs) and behavioral health authorities (BHAs) shall provide emergency services, and, subject to the availability of funds appropriated for them, case management services and shall provide preadmission screening services to any person who requires emergency mental health services while in the CSB's or BHA's service area and discharge planning services in consultation with the appropriate state hospital or training center for individuals who resided in or choose to reside in a city or county served by the CSB or BHA before they are discharged from a state hospital or training center. The CSB or BHA shall inform individuals or their authorized representatives that they may choose to return to the city or county they resided in prior to admission or to any other city or county in Virginia and shall make every reasonable effort to place individuals in those cities or counties. The CSB or BHA serving the city or county in which an individual will reside following discharge shall be responsible for arranging transportation for the individual upon request following the discharge protocols developed by the Department.

Type: Compulsory Order

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-500, 37.2-505, 37.2-601, 37.2-606

Last Assessment Period: 12/1/2005 to 2/28/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This mandate applies to CSBs established pursuant to § 37.2-500 of the Code of Virginia and the BHA established pursuant to § 37.2-601. It does not apply to cities and counties unless they have established administrative policy CSBs or a local department with a policy-advisory CSB, defined in § 37.2-100. See SHHR.DBHDS003 for information regarding designating the type of CSB.

Support Services for Community Services Boards

SHHR.DBHDS006

The city or county or any combination of cities and counties that establishes an operating or administrative policy community service board (CSB) shall receive an independent audit of the total revenues and expenditures of that CSB and designate an official of one member city or county to act as the fiscal agent for the CSB. The city or county whose designated official serves as the fiscal agent in the case of multijurisdictional CSBs, shall receive and act on the audit and arrange for the provision of legal services to the CSB. When a single city or county establishes a policy-advisory CSB, it shall provide an annual audit of the city or county government department and arrange for the provision of legal services to the CSB. Cities or counties that establish a policy-advisory CSB shall provide an annual audit of the city or county government department to the board, carry out the duties enumerated in § 37.2-504 (A) and § 37.2-505, and provide legal services to the board. A city or county that establishes a behavioral health authority shall receive a copy of an independent audit of the total revenues and expenditures from the authority.

Type: Compulsory Order

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-501; 37.2-504; 37.2-505; 37.2-602

Last Assessment Period: 2/1/2006 to 4/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Human Research

SHHR.DBHDS008

Community services boards (CSBs) and behavioral health authorities (BHAs) seeking to conduct or sponsor human research must comply with the applicable provisions of the regulations to assure the protection of subjects in human research.

Type: Regulation of Optional Activity

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 37.2-402; Department of Behavioral Health and Developmental Services Regulation 12 VAC 35-180-10 et seq.

Last Assessment Period: 2/1/2003 to 4/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This mandate applies to CSBs established pursuant to § 37.2-500 of the Code of Virginia and the BHA established pursuant to § 37.2-601. It does not apply to cities and counties unless they have established administrative policy CSBs or a local department with a policy-advisory CSB, defined in § 37.2-100. See SHHR.DBHDS003 for information regarding designating the type of CSB.

Development of the Comprehensive State Plan

SHHR.DBHDS009

Community services boards (CSBs) and behavioral health authorities (BHAs) shall develop and submit to the Department of Behavioral Health and Developmental Services information for the preparation of the Comprehensive State Plan for Behavioral Health and Developmental Services pursuant to § 37.2-315 of the Code of Virginia.

Type: Compulsory Order

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-504, 37.2-605

Last Assessment Period: 5/1/2006 to 7/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This mandate applies to CSBs established pursuant to § 37.2-500 of the Code of Virginia and the BHA established pursuant to § 37.2-601. It does not apply to cities and counties unless they have established administrative policy CSBs or a local department with a policy-advisory CSB, defined in § 37.2-100. See SHHR.DBHDS003 for information regarding designating the type of CSB.

Involvement and Participation of Individuals Receiving Services and Family Members

SHHR.DBHDS010

Community services boards (CSBs) and behavioral health authorities (BHAs) shall take all necessary and appropriate actions to maximize the involvement and participation of individuals receiving services and family members of individuals receiving services in policy formulation and services planning, delivery, and evaluation.

Type: Compulsory Order

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-504, 37.2-605

Last Assessment Period: 12/1/2001 to 1/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This mandate applies to CSBs established pursuant to § 37.2-500 of the Code of Virginia and the BHA established pursuant to § 37.2-601. It does not apply to cities and counties unless they have established administrative policy CSBs or a local department with a policy-advisory CSB, defined in § 37.2-100. See SHHR.DBHDS003 for information regarding designating the type of CSB.

Local Matching Funds

SHHR.DBHDS012

All cities and counties are required to provide at least 10% of the total amount of state and local matching funds in community services boards and behavioral health authority performance contracts to receive state funds. However, the Department of Behavioral Health and Developmental Services may waive this requirement pursuant to policy adopted by the State Board of Behavioral Health and Developmental Services.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-509, 37.2-611

Last Assessment Period: 10/1/2001 to 11/30/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Dispute Resolution

SHHR.DBHDS013

Community services boards (CSBs) and behavioral health authorities (BHAs) shall institute dispute resolution mechanisms, either singly or in combination with other CSBs or BHAs, which shall be approved by the Department of Behavioral Health and Developmental Services.

Type: Compulsory Order

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-504, 37.2-605

Last Assessment Period: 2/1/2002 to 4/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This mandate applies to CSBs established pursuant to § 37.2-500 of the Code of Virginia and the BHA established pursuant to § 37.2-601. It does not apply to cities and counties unless they have established administrative policy CSBs or a local department with a policy-advisory CSB, defined in § 37.2-100. See SHHR.DBHDS003 for information regarding designating the type of CSB.

Executive Director or Chief Administrative Officer

SHHR.DBHDS014

Operating and administrative policy community services boards (CSBs) must appoint an executive director, and behavioral health authorities (BHAs) must appoint a chief executive officer. Operating CSB executive directors and the BHA chief executive director must be employed under an annually renewable contract that contains performance objectives and evaluation criteria.

Type: Compulsory Order

Agency: **Department of Behavioral Health and Developmental Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 37.2-504, 37.2-605

Last Assessment Period: 10/1/2006 to 12/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment: The only part of this mandate that applies to cities and counties is the requirement to employ an executive director if the CSB established by the local government is an administrative policy CSB or a local government with a policy-advisory CSB. The mandate to employ an operating CSB executive director or a BHA chief executive officer does not apply to cities or counties; it applies to operating CSBs established pursuant to § 37.2-500 of the Code of Virginia and BHAs established by § 37.2-601.

Requirements for Schools that Receive Services from the Department for the Blind and Vision Impaired

SHHR.DBVI002

School divisions that receive services from the Department for the Blind and Vision Impaired must agree to share information with the Department, require teachers of the visually impaired to participate in specific training activities, and be responsible for equipment and Braille textbooks on loan from the Department.

Type: Non-Discretionary Condition of Aid

Agency: **Department for the Blind and Vision Impaired**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 22.1-217; Cooperative Agreements between DBVI and school divisions

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

State/Local Hospitalization Program

SHHR.DMAS001

Each city and county is required to participate in the State/Local Hospitalization Program. Localities are required to provide funds for a share of the total costs to provide hospital and medical care to qualified applicants.

Type: Compulsory Order

Agency: **Department of Medical Assistance Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-345; Department of Medical Assistance Services Regulation 12 VAC 30-100-10 et seq.

Last Assessment Period: 6/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment: The 2009 Session of the Virginia General Assembly, in the FY 2010 budget, determined not to fund the SLH Program. Specifically stated in Chapter 781, Item 309, Paragraph E: Notwithstanding the provisions of Title 32.1, Chapter 12 of the Code of Virginia, or paragraph A. of this Item, during fiscal year 2010, the Department of Medical Assistance Services shall not collect from localities or pay to health care providers any amounts that would otherwise be due to or from the State and Local Hospitalization Program. Subsequent Appropriation Acts have not reinstated the program.

Energy Assistance Program

SHHR.DSS001

Local social services agencies must accept applications and determine the eligibility of clients for the energy assistance programs (i.e., Fuel, Crisis, and Cooling Assistance) in accordance with federal law and State regulations. They must administer the energy assistance program using federal grant funds and any other available funds.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-100, 63.2-805; Department of Social Services Regulation 22 VAC 40-680-10 et seq.; P.L. 97-35, as amended; P.L. 105-285 and P.L. 109-58 (Fed.)

Last Assessment Period: 4/1/2006 to 6/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Merit System of Personnel Administration

SHHR.DSS002

Local social services agencies must conform to a merit system of personnel administration for administrative staff.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-100, 63.2-326; 5 CFR 900.603 (Fed.)

Last Assessment Period: 2/1/2006 to 4/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Social Services Staffing Report

SHHR.DSS007

Local social services agencies must submit reports on staffing to the Department of Social Services.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-205, 63.2-315

Last Assessment Period: 2/1/2002 to 3/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Social Services Administration/Record Retention and Reporting

SHHR.DSS008

Local departments of social services must report to the Department of Social Services in a form prescribed by the Commissioner the costs of administration in conjunction with annually allocated programmatic and administrative budgets, in order to receive financial reimbursement.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-205, 63.2-315; Finance Guidelines Manual for Local Departments of Social Services, Sections 3.0 – Reimbursement and 4.0 – LASER.

Last Assessment Period: 4/1/2006 to 6/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Annual Budget Required

SHHR.DSS009

Local departments of social services are required to maintain, in a form prescribed by the Commissioner, local budgets by activity/budget line, including administration, for review by the Commissioner or his designee.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-400; Finance Guidelines Manual for Local Departments of Social Services, Section 2.0 - Budget

Last Assessment Period: 3/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Administrative Records Confidentiality Required

SHHR.DSS010

Local social services agencies must ensure and maintain the confidentiality of administrative records.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-102, 63.2-104, 63.2-105; Finance Guidelines Manual for Local Departments of Social Services Section 5.15 – Records Management; Human Resources Manual for Local Departments of Social Services, Chapter 8, Personnel Records, 45 CFR Part 205.50 (Fed.)

Last Assessment Period: 9/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Employee Qualification Standards

SHHR.DSS011

Local social services agencies must ensure that workers who investigate out-of-family complaints meet the State Board of Social Services' qualifications and that the workers receive assistance from the State Department of Social Services.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-1502, 63.2-1503; 22 VAC 40-730-130; 22 VAC 40-670-10 et seq.

Last Assessment Period: 7/1/2002 to 9/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Office Space Compliance Required

SHHR.DSS014

Local social services agencies must conform to policies for office space and facilities established by the Department of Social Services.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-401; Finance Guidelines Manual for Local Departments of Social Services Section 3.20 – Rent/Facility Costs

Last Assessment Period: 4/1/2006 to 6/30/2006

Last Assessment Finding: Eliminate

Current Assessment Period:

Comment: Chapter 43, 2011 Acts of Assembly required the Department of Social Services (DSS) to eliminate this mandate. However, the relevant Code of Virginia statute was not revised at that time, and according to DSS, cannot be revised without jeopardizing the federal portion of reimbursement of local facility costs. In the 2011 edition of the Catalog, the mandate type was amended to reflect that this is a Non-discretionary Condition of Financial Aid, rather than a Compulsory Order.

Supplemental Nutrition Assistance Program (SNAP) SHHR.DSS016

Local governments must determine eligibility for the Supplemental Nutrition Assistance Program, provide ongoing case management and administer the program in accordance with state and federal laws and regulations.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-801; Department of Social Services SNAP Manual Volume V; 7 CFR 271 through 282 (Fed.); Food and Nutrition Act, P.L. 110-246 Sec. 2013 (Fed.)

Last Assessment Period: 4/1/2006 to 6/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This program was formerly known as the Food Stamp Program.

General Relief Program Standards SHHR.DSS018

Local governments electing to provide the General Relief program must adhere to State regulations.

Type: Regulation of Optional Activity

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-100, 63.2-401, 63.2-802

Last Assessment Period: 12/1/2001 to 3/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Refugee Resettlement Administration and Eligibility Determination SHHR.DSS020

Local social services agencies must determine the eligibility of clients for the refugee cash assistance and refugee medical assistance programs in compliance with procedures as established by the Department of Social Services in accordance with the federal regulations issued by the Office of Refugee Resettlement.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-217, 63.2-501, 63.2-517, 63.2-519; Department of Social Services Regulation 22 VAC 40-400-10 et seq.; Department of Social Services Manual Virginia Refugee Resettlement Program Manual, Sections V and VI

Last Assessment Period: 1/1/2002 to 3/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Temporary Assistance for Repatriates Program

SHHR.DSS021

Local social services agencies must provide services to individuals repatriated by the U. S. State Department under the Temporary Assistance for Repatriates Program.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-217; 42 USC 1313; 24 USC 321 et seq.; 45 CFR 211 et seq.; Executive Order 12565 as amended (Fed.)

Last Assessment Period: 4/1/2006 to 6/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Medicaid Administration and Eligibility Determination

SHHR.DSS022

Local social services agencies must determine the eligibility for the Medicaid program, provide ongoing case management, and must follow State and federal laws and regulations.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-324.1, 63.2-100, 63.2-401, 63.2-405, 63.2-406, 63.2-501 through 63.2-505, 63.2-515; Department of Social Services' Medicaid Eligibility Manual Volume XIII, Medicaid Pending System User Guide; Department of Medical Assistance Services' Medicaid User's Guide; Social Security Act, Sec. 1902 (a) (5), (8), (19); 42 CFR 431.10, 431.200, 431.800, 435.905, 435.911, 435.912 (Fed.)

Last Assessment Period: 10/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Eligibility for the Aid to Families with Dependent Children - Foster Care Program (Title IV-E)

SHHR.DSS023

Local social services agencies must determine if children taken into the custody of a local department of social services meet the eligibility criteria for the Aid to Families with Dependent Children program (as in effect July 16, 1996) in the month of removal from their home to determine if they qualify for the Title IV-E Foster Care Program.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-503, 63.2-504, 63.2-505, 63.2-602; Social Security Act §§ 471 (a), 472 (a) (Fed.); 45 CFR 1356.21 (Fed.)

Last Assessment Period: 7/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Caseload Reporting

SHHR.DSS026

Local social services agencies must submit reports on caseloads to the Department of Social Services.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-205, 63.2-315; Food Stamp Act as amended, P.L. 104-193 and P.L. 105-185, 7 CFR Subpart C and Parts 273.15 and 273.16 (Fed.); Social Security Act, P.L. 104-193, 45 CFR 260-265 and 1355-1357, Title IV-B §§ 421,422,425, Title IV-E §§ 47, 471,472, 473 (Fed.); P.L. 100-294 as amended by P.L. 101-126 and P.L. 101-226 (Fed.); 42 CFR 431.10 and 431.80 (Fed.)

Last Assessment Period: 1/1/2002 to 3/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Social Services Board Records Retention Policy

SHHR.DSS027

Local social services agencies are required to preserve for such length of time as the Department of Social Services may prescribe a record of the decision of the local social services board and all supporting documents and records including the findings and recommendations of the local superintendent.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-312 and 63.2-516

Last Assessment Period: 10/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Family-Based Social Services Policy

SHHR.DSS029

Local social services agencies are required to implement a family-based social services policy for specified populations of families.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-319, 63.2-900; Department of Social Services Regulation 22 VAC 40-800-10 et seq.

Last Assessment Period: 8/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Family Preservation Services Requirements

SHHR.DSS030

Local social services agencies are responsible for the development and delivery (or purchase) of family preservation services, including services to prevent or eliminate the need for foster care or other out-of-home placements.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-319, 63.2-900, 63.2-903, 63.2-905, 63.2-1105; Department of Social Services Foster Care Manual; 22 VAC 40-201-10 et seq.; Social Security Act, Title IV-B (Subpart 2), Title IV-E (Fed.)

Last Assessment Period: 8/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Child Protective Services

SHHR.DSS031

Local social services agencies are required to provide child protective services and to administer the program in accordance with State regulations. Agencies are also required to notify local law enforcement and the commonwealth's attorney within two hours of receipt of certain reports of child abuse or neglect involving criminal injuries or criminal acts. A notification form with specific information must be sent to law enforcement within two business days of initial notification.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-1503; 22 VAC 40-700; 22 VAC 40-705; 22 VAC 40-720; 22 VAC 40-730; Department of Social Services Program Manual Volume VII §III, Ch. A; P.L. 104-235 as reauthorized and amended by P.L. 108-36 (Fed.)

Last Assessment Period: 8/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period: 3/1/2017 to 5/31/2017

Comment: Scheduled for assessment in FY2017.

Foster Care Services

SHHR.DSS032

Local social services agencies are required to provide foster care. In addition, local social services agencies must follow State board regulations, including those for the interstate and inter-country placement of children. The local social services agency shall determine whether a child has a relative who is eligible to become a kinship foster parent. Local boards of social services may grant a waiver regarding the State Board's standards for foster home approval that are not related to safety.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 2.2-5211, 16.1-281, 16.1-282, 16.1-282.1, 63.2-100, 63.2-104, 63.2-205, 63.2-319, 63.2-900, 63.2-900.1, 63.2-902, 63.2-903, 63.2-905, 63.2-907, 63.2-908, 63.2-1100, 63.2-1104, 63.2-1105; 22 VAC 40-201-10 et seq.; Department of Social Services Child and Family Services Manual; Social Security Act, Title IV-E §§ 470 through 472 (Fed.); 45 CFR 1355-57 (Fed.)

Last Assessment Period: 9/1/2011 to 10/31/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Adoption and Other Services

SHHR.DSS033

Local social services agencies are required to provide adoption services and to administer the program in accordance with Federal statutes and interpretations and State regulations, laws and policies.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 16.1-283, 63.2-100, 63.2-319, 63.2-900, 63.2-1200 – 1253, 63.2-1300-1304; 22 VAC 40-201-10 et seq.; Department of Social Services Adoption Manual, Ch. C, D; Social Security Act, Title IV-B § 421 and Title IV-E § 473 (Fed.)

Last Assessment Period: 2/1/2004 to 4/30/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Long-Term and Transitional Child Care Services SHHR.DSS042

Local social services agencies must provide child day care services to eligible recipients of Temporary Assistance to Needy Families (TANF), the Virginia Initiative for Employment Not Welfare (VIEW), participants in the Supplemental Nutritional Assistance Program (SNAP) Employment and Training Program, and eligible Head Start families. In addition, local social service agencies must provide on a sliding fee scale basis transitional child care services to eligible, employed former recipients of TANF.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 58.1-615, 63.2-217, 63.2-319, 63.2-611, 63.2-616; Department of Social Services Manual, Vol. VII, Ch. D; P.L. 104-193 (Personal Responsibility and Work Opportunity Reconciliation Act) (Fed.); P.L. 101-508 (Child Care and Development Block Grant), The Child Care and Development Block Grant Act of 1990 (42 USC 9801 et seq.), as amended by the Personal Responsibility and Work Opportunity Act of 1996 (P.L. 104-193) and the Balanced Budget Act of 1997, as implemented in regulation at 45 CFR Parts 98 and 99, (Fed.); Food Stamp Act of 1997, as amended (Fed.)

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Supplemental Nutrition Assistance Program Employment and Training SHHR.DSS043

Local social services agencies not meeting the federal waiver criteria for high unemployment or labor surplus area must provide employment services to recipients of the Supplemental Nutrition Assistance Program (SNAP) who are not otherwise exempt due to age, disability, etc., or due to participation in the Virginia Initiative for Employment Not Welfare (VIEW).

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-801; Supplemental Nutrition Assistance Program Employment and Training Program Annual Plan; Food Stamp Act (Fed.); P.L. 88-525, § 2013, as amended (Fed.); 7 CFR 271 through 273, 277 (Fed.)

Last Assessment Period: 2/1/2003 to 4/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This program applies to a selected group of 22 local social services agencies serving 25 localities.

Purchase of Child Care Services

SHHR.DSS045

Local social services agencies must purchase child care services, to the extent that funding is available, for low income families who need child care to support education and/or training activities (Fee System child care program) and to support those employed (Fee System At-Risk child care programs). Eligible clients contribute to the cost of care in accordance with a sliding fee scale.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: P.L. 101-508 (Fed.); The Child Care and Development Block Grant Act of 1990 (42USC 9801 et seq.), as amended by the Personal Responsibility and Work Opportunity Act of 1996 (P.L. 104-193) and the Balanced Budget Act of 1997, as implemented in regulation at 45 CFR Parts 98 and 99, Omnibus Budget Reconciliation Act of 1990 §§ 5081, 5082 (Fed.)

Last Assessment Period: 1/1/2003 to 3/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Intake Services

SHHR.DSS047

Local social services agencies are required to provide intake services including: accepting and processing service applications; and periodic screening, diagnosis, and treatment for children.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-217; Department of Social Services Child and Family Services Manual Chapter E

Last Assessment Period: 5/1/2002 to 7/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Case Management Services

SHHR.DSS048

Local social services agencies are required to provide case management services including disposition of service applications, service planning, service delivery, monitoring and case closure.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-905, 63.2-907, 63.2-1302, 63.2-1503, 63.2-1601, 63.2-1602, 63.2-1605; 22 VAC 40-780-10 et seq.

Last Assessment Period: 1/1/2003 to 3/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Out-of-Home and In-Home Services Approval

SHHR.DSS050

Local social services agencies must approve out-of-home and in-home providers.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-100, 63.2-904; 22 VAC 40-221-10 et seq., 22 VAC 40-771-10 et seq.

Last Assessment Period: 10/1/2002 to 12/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Child Day Centers, Camps, and Schools Licensing

SHHR.DSS051

Local governments that operate a child welfare agency, which includes any child day centers, nursery schools, child day care camps, before and after school child care programs, family day systems, or children's residential facility, must be licensed by the Department of Social Services unless they meet one of the exemptions to licensure outlined in § 63.3-1715.

Type: Regulation of Optional Activity

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-100 and 63.2-1701; Department of Social Services Regulations Standards for Licensed Child Day Centers 22VAC 40-185 et seq.; Standards for Licensed Children's Residential Facilities 22VAC40 – 151; Standards for Licensed Family Day Homes 22VAC 40-111; and 22 VAC 40-120-10 et seq.

Last Assessment Period: 7/1/2002 to 9/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Confidentiality of Client Social Services Program Records

SHHR.DSS052

Local social services agencies must ensure and maintain the confidentiality of client records for social service programs. Local social services agencies providing services to victims of domestic violence, dating violence, stalking, human trafficking and other related violations must protect the confidentiality and privacy of persons receiving services. If release of information is required by statutory or court mandate, local social service agencies must provide notice to the victim and take steps necessary to protect the safety and privacy of the victim.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-102, 63.2-104 and 63.2-105; 22 VAC 40 910-10 et seq.

Last Assessment Period: 7/1/2002 to 9/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Adult Day Care Centers and Adult Homes Licensing

SHHR.DSS053

Local governments that operate adult day care centers for profit or assisted living facilities must be licensed by the Department of Social Services.

Type: Regulation of Optional Activity

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-1701, 63.2-1800; Department of Social Services Regulations 22 VAC 40-60-10 et seq. and 22 VAC 40-70-10 et seq.

Last Assessment Period: 7/1/2002 to 9/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

AmeriCorps Grant

SHHR.DSS057

Local governments receiving AmeriCorps grant funds must establish volunteer programs to address local problems, with such programs employing at least 20 full-time or 40 part-time individuals age 17 years or older. Localities receiving funds for such activities are required to provide a match of 24% to 50% and to comply with other program requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: National and Community Trust Act of 1993, 45 CFR 2520-2550

Last Assessment Period: 2/1/2004 to 4/30/2004

Last Assessment Finding: Eliminate

Current Assessment Period:

Comment:

Domestic Violence Prevention and Services Program Grant

SHHR.DSS058

Local governments receiving Domestic Violence Prevention Program grant funds must develop programs to initiate and strengthen basic community services for victims of domestic violence; expand community education on domestic violence issues; and increase outreach services, support, and advocacy for victims of domestic violence and their children. Localities receiving funds for such activities are required to provide a 20% to 35% match and to comply with other program requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-1502(3), 63.2-1614, 63.2-1615; Family Violence Prevention and Services Act, 42 USC 10401 § 309 as amended (Fed.), Victims of Crime Act, 42 USC 10601 et seq. (Fed.)

Last Assessment Period: 6/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Child Abuse and Neglect Prevention Program Grant

SHHR.DSS059

Local governments receiving Child Abuse and Neglect Prevention Program grants must establish programs aimed at discovering and preventing the factors causing child abuse and neglect. Localities receiving funds for such activities are required to provide a 25% cash or in-kind match and to comply with other program requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-1502(3); Child Abuse Prevention and Treatment Act (Fed.)

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Child Abuse and Neglect Treatment Program Grant

SHHR.DSS060

Local governments receiving Child Abuse and Neglect Treatment grant funds must establish programs to provide direct services to victims of child abuse and neglect. Localities receiving funds for such activities are required to provide a match of 20% cash, or an in-kind match, and to comply with other program requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-1502(3); Victims of Crime Act, 42 USC 10601, CFDA #16575 (Fed.)

Last Assessment Period: 6/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Board and Director of Social Services

SHHR.DSS061

Local governments shall have a local Board and Director of Social Services.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-300, 63.2-324

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Temporary Assistance for Needy Families (TANF) SHHR.DSS063

Local social services agencies must determine eligibility for the Temporary Assistance for Needy Families Program (TANF), provide ongoing case management, and administer the program in accordance with State and federal laws and regulations.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-600 et seq., §§ 63.2-612 et seq.; P.L. 104-193 (Fed.); 45 CFR, Part 260, TANF Program Guidance Manual

Last Assessment Period: 4/1/2006 to 6/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Initiative for Employment not Welfare (VIEW) SHHR.DSS064

Local social services agencies must operate the Virginia Initiative for Employment not Welfare (VIEW) program to assist recipients of Temporary Assistance for Needy Families (TANF) to become economically self-sufficient by assisting in the removal of barriers and disincentives to work and to offer opportunities and work skills training.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-608 et seq., §63.2-615; P.L. 104-193 (Fed.); 45 CFR, Part 260, TANF Program Guidance Manual

Last Assessment Period: 4/1/2006 to 6/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

New Hires Reporting SHHR.DSS065

Employers, including local governments, must report new hires, unless exempted by statute or regulation, to the Virginia New Hire Reporting Center, operated under the Division of Child Support Enforcement, within 20 days of the initial hire.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-1946

Last Assessment Period: 10/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Fraud Control Program

SHHR.DSS066

Local social services agencies must establish fraud prevention and investigation units in compliance with State Board of Social Services regulations. Such units may be established in cooperation with other local social services agencies.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-526

Last Assessment Period: 6/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

State/Local Hospitalization Program Eligibility

SHHR.DSS068

Local social services agencies are required to determine eligibility upon application by local residents for assistance from the State/Local Hospitalization Program administered by the Department of Medical Assistance Services.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-347

Last Assessment Period: 2/1/2004 to 4/30/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment: The General Assembly has not allocated funding for the program, so it has been suspended. Local social services agencies are not required to determine eligibility for the program unless funding is restored. With the implementation of the Affordable Care Act in 2013, the need for the SLH program has diminished. The Code of Virginia retains language at 32.1-374 authorizing the program.

Child Support Income Withholding

SHHR.DSS069

Local governments must comply with court or administrative orders, including those from other states, for income withholding for their employees obligated to pay child support. Dependents of such employees must be enrolled in a health coverage plan and required premiums deducted from the employee's pay. Upon hiring an individual, local governments must request that the individual disclose whether a child support obligation with an income order exists. Such income withholdings must be submitted to the Department of Social Services.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 20-79.1, 20-79.2, 20-79.3, 60.2-114.1, 63.2-1923, 63.2-1924; Uniform Interstate Family Support Act (Fed.)

Last Assessment Period: 6/1/2004 to 9/30/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Family Access to Medical Insurance Security (FAMIS) SHHR.DSS070

Local social service agencies must provide and accept applications for the Family Access to Medical Insurance Security Plan and assist families in the completion of the applications.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-351

Last Assessment Period: 7/1/2008 to 9/30/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Medicare Part D Extra Help SHHR.DSS071

Local social service agencies are required to provide assistance to individuals applying for Medicare Part D Extra Help, must accept applications for the program, and must provide on-going case management at the applicant's request in accordance with federal law and regulation.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: P. L. 108-773 (Medicare Prescription Drug, Improvement, and Modernization Act) (Fed.)

Last Assessment Period: 2/1/2009 to 4/30/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Criminal History and Central Registry Check for Placements of Children SHHR.DSS072

Local social service agencies shall obtain, in accordance with state regulations, criminal history record information and the results of a search of the child abuse and neglect central registry for any individual with whom the agency is considering placing a child on an emergency, temporary, or permanent basis. Agencies may also obtain such background checks on all adult household members residing in the home of the individual. The agency must pay for fingerprinting the individual, if a fee is charged, or may require the individual to pay the cost. The Virginia Department of Social Services pays the fees to conduct the actual national fingerprint criminal history record check investigations or may require the individual to pay the cost. There is no fee for agencies for central registry searches. Agencies shall not approve individuals with a founded complaint of child abuse as foster or adoptive parents. Agencies shall not approve a foster or adoptive home if any individual has a record of an offense as defined in the Code of Virginia §63.2-1719.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-901.1, 63.2-1515; 22VAC 40-191- 10 et seq.; P.L. 104-235 (Child Abuse and Prevention Treatment Act of 1996), P. L. 109-248 (Adam Walsh Child Protection and Safety Act of 2006), P.L. 108-36 (Keeping Children and Families Safe Act of 2003) (Fed.)

Last Assessment Period: 3/1/2010 to 5/31/2010

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Program effective 2002; amended 2006, 2007.

Child Abuse Records Retention

SHHR.DSS073

Local social service departments shall retain the records of all reports or complaints regarding child abuse and neglect in accordance with regulations adopted by the State Board of Social Services. All records related to founded cases of child sexual abuse involving injuries or conditions, real or threatened, that result in or were likely to have resulted in serious harm to a child shall be maintained by the local department for a period of 25 years from the date of the complaint.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §63.2-1514

Last Assessment Period: 8/1/2012 to 10/31/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Family Services Degree Requirements

SHHR.DSS074

Local departments of social services shall not employ any person in any social work position that provides direct client services unless that person holds at least a baccalaureate degree. This requirement may be waived only with respect to a person who was employed prior to January 1, 1999, by DSS or its local boards or departments in a social work position that provides direct client services or is a family services specialist or qualified equivalent worker.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-330; 51.5-148; 54.1-3709, 63.2-219; 63.2-1225; 63.2-1226; 63.2-1231; and 63.2-1509; 22 VAC 40-670-10 et seq.

Last Assessment Period: 9/1/2015 to 11/30/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Annual Credit Checks for Children in Foster Care

SHHR.DSS075

Local departments shall conduct annual credit checks on children aged 14 years and older who are in foster care to identify cases of identity theft or misuse of personal identifying information of such children. Local departments shall resolve, to the greatest extent possible, cases of identity theft or misuse of personal identifying information of foster care children identified pursuant to this section.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-905.2

Last Assessment Period: 7/1/2014 to 9/30/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Provision of Independent Living Services for Current or Former Children in Foster Care

SHHR.DSS076

Local departments and licensed child-placing agencies are required to provide independent living services to any foster children between 18 and 21 years of age that request such services as they transition from foster care to self-sufficiency. Local agencies shall provide independent living services to youth exiting the juvenile justice system when the youth was in foster care immediately prior to their commitment to juvenile justice, and has taken certain actions within 60 days of release. Local departments shall provide any person who chooses to terminate independent living services before his or her twenty-first birthday written notice of his or her right to request restoration of independent living services by including written notice in the youth's transition plan, created within 90 days prior to discharge from foster care.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 2.2-5211, 2.2-5212, 63.2-100, 63.2-905, and 63.2-905.1; 22 VAC 40-210-10 et seq., Department of Social Services Foster Care Program Manual; Social Security Act, Title IV-E § 470 through 472 (Fed.); 45 CFR 1355-57 (Fed.)

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 9/1/2016 to 11/30/2016

Comment: Scheduled for assessment in FY2017.

Written Interagency Agreements with Local School Divisions for Investigating Child Abuse and Neglect

SHHR.DSS077

Local departments of social services are required to have a Memorandum of Understanding with local school divisions regarding Child Protective Services investigations and to submit an annual report to the Board of Social Services on the status of the agreement. Once the interagency agreement is adopted, local departments of social services are no longer required to report annually unless the agreement is substantially modified.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: § 2, Chapter 412, 2014 Acts of Assembly

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 3/1/2017 to 5/31/2017

Comment: Scheduled for assessment in FY2017.

Child Day Center and Family Day Home Business License Report

SHHR.DSS079

Local commissioners of revenue or other local business license officials are required to report to the Department of Social Services every 6 months the name, address and contact information for any child day center or family day home to which a business license was issued.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-1701.1

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Putative Father Registry Search

SHHR.DSS080

Requires local boards of social services to request a search of the Putative Father Registry within 30 days of accepting a child for foster care whose father is unknown and contact the putative father if he is found.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 63.2-900

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Support Payments for Public Service

SHHR.DSS081

Local governments must pay to the Department of Social Services an amount ordered by the court for public service performed by a prisoner in the locality for the support of the prisoner's spouse or children. Such payments for prisoners ordered by the court to perform work must be submitted at the end of each month.

Type: Regulation of Optional Activity

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 16.1-292, 20-63, 20-115

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Developmental Disabilities Grants

SHHR.VBPD001

Local governments receiving competitive Developmental Disabilities grant funds must establish programs that accomplish at least one objective in the Board's current five year State Plan towards system improvement, sustainable system change and service capacity building for community inclusion of people with developmental disabilities. The minimum match requirement for grant recipients is 25% of the total project costs, except in designated poverty areas where localities are only required to provide a match of 10% of total project costs. The match can be in cash or in-kind. Cash matches must be from non-federal funding sources (such as state, local, or private funds).

Type: Non-Discretionary Condition of Aid

Agency: **Virginia Board for People with Disabilities**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 51.5-33; P.L. 106-402 (Fed.); Developmental Disabilities Assistance and Bill of Rights Act of 2000 (Fed.)

Last Assessment Period: 10/1/2002 to 12/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Maintain Local Health Department

SHHR.VDH001

Each county and city must establish and maintain a local department of health. The specific requirements and services to be provided by the local health department are contained in an annual contract between the locality and the State Board of Health.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-30 through 32.1-34.1

Last Assessment Period: 9/1/2003 to 11/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Communicable Disease Services

SHHR.VDH002

Local health departments must provide communicable disease services including surveillance and investigation of reportable diseases, control of communicable disease patient and his/her contacts, management of outbreaks and epidemics, isolation and quarantine where necessary, childhood immunizations, sexually transmitted disease screening, diagnosis, and treatment; human immunodeficiency virus testing and counseling and oversight of the clinical management of patients with tuberculosis, and community education related to communicable disease prevention.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-11.3, 32.1-39, 32.1-44, 32.1-48 through 32.1-48.017, 32.1-46, 32.1-50, 32.1-54, 32.1-57; Department of Health Agreement With Local Government

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Preschool Physical Examinations

SHHR.VDH003

Local health departments shall conduct physical examinations for medically indigent children without charge upon request.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 22.1-270 (E)

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Alter

Current Assessment Period:

Comment:

Special Supplemental Nutrition Program for Women, Infants, and Children

SHHR.VDH004

Local health departments are required to administer the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC).

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Department of Health Agreement with Local Government; 42 U.S.C. 1786; 7 CFR Part 246 (Fed.)

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Alter

Current Assessment Period:

Comment:

Family Planning Services Program

SHHR.VDH005

Local health departments are required to provide family planning services including clinic services, including drugs and contraceptive supplies; pregnancy testing and counseling; and community education, including abstinence education.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Department of Health Agreement with Local Government; Social Security Act, Title X (Fed.)

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Alter

Current Assessment Period:

Comment:

Environmental Health Services Program

SHHR.VDH006

Local health departments are required to provide environmental health services including investigation of communicable diseases; rabies control; regulating the sanitation of restaurants, hotels, public swimming pools, marinas and other places boats are moored, migrant labor camps, milk, plants, on-site sewage disposal, sewage treatment plants serving single-family homes with discharges of less than 1,000 gallons per day, water supply facilities, summer camps, campgrounds; regulating the location and construction of private wells, and restaurants.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 3.2-6521, 3.2-6525, 28.2-800 et seq., 32.1-30 through 32.1-32, 32.1-39, 32.1-48 through 32.1-48.4, 32.1-163 et seq., 35.1-1 et seq., 62.1-44.18, 62.1-44.19; Department of Health Agreement with Local Government; P.L. 92-500 (Clean Water Act)(Fed.), P.L. 93-523 (Safe Drinking Water Act)(Fed.), P.L. 95-217 (Clean Water Act)(Fed.)

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Optional Communicable Disease Services Program

SHHR.VDH008

Local health departments that provide optional communicable disease services, including foreign travel immunizations, must comply with Department of Health regulations, policies, and guidelines.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Department of Health Agreement with Local Government

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Optional Child Health Services Program

SHHR.VDH009

Local health departments that provide optional child health services, including baby care services, sick child care, blood lead level testing, school health services, and outreach, must comply with Department of Health regulations, policies, and guidelines.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Department of Health Agreement with Local Government

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Optional Maternal Health Services Program

SHHR.VDH010

Local health departments that provide optional maternal health services, including outreach, must comply with Department of Health policies and guidelines.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Department of Health Agreement with Local Government

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Alter

Current Assessment Period:

Comment:

Optional Family Planning Services Program

SHHR.VDH011

Local health departments that provide optional family planning services must comply with Department of Health policies and guidelines.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Department of Health Agreement with Local Government

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Alter

Current Assessment Period:

Comment:

Optional General Medical Services Program

SHHR.VDH012

Local health departments that provide optional general medical services, including activities of daily living; general clinic services; home health services; outreach; occupational health services; personal care; pharmacy services as defined in the Board of Pharmacy regulations as Alternative Drug Delivery sites; hypertension screening, referral, counseling; and respite care services, must comply with Department of Health regulations, policies, and guidelines.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Department of Health Agreement with Local Government

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Optional Dental Health Services Program

SHHR.VDH013

Local health departments that provide optional dental health services, including preventive clinic services for children and adults and restorative clinic services, must comply with Department of Health regulations, policies, and guidelines.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-11, 32.1-11.3 and 32.1-77; Department of Health Agreement with Local Government; Title V of the U.S. Social Security Act (Fed.)

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Emergency Medical Services Licensing

SHHR.VDH014

Local governments electing to be direct providers of emergency medical services are required to be licensed by the Department of Health and to comply with rules and regulations promulgated by the Board of Health governing medical services.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-111.1 through 32.1-111.15 and 32.1-116.1 through 32.1-116.3; Emergency Medical Services Regulation 12 VAC 5-31 et seq.

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Expanded 12/13/06. Not since reassessed.

Local Health Care Facility Licensing

SHHR.VDH015

Local governments and regional authorities that own and operate State regulated health care facilities and services are required to comply with State licensure requirements such as those governing operation of hospitals, home health agencies, hospices, nursing homes, and abortion facilities.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-123 through 32.1-127.1:03, 32.1-138 through 32.1-138.5, 32.1-162.1 through 32.1-162.15; Department of Health Regulations 12 VAC 5-371-10 et seq., 12 VAC 5-381-10 et seq., 12 VAC 5-391-10 et seq., 12 VAC 5-410-10 et seq., and 12 VAC 412-10 et seq.

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Health Facility Medicare Requirements

SHHR.VDH016

Local governments and regional authorities that own and operate health care facilities that provide care for Medicare patients, and wish to receive reimbursement for such care, must comply with federal requirements. The Department of Health's Office of Licensure and Certification acts on behalf of the federal government in connection with the survey and certification of such facilities.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Social Security Act, Titles XVIII, XIX (Fed.)

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Restaurant, Hotel, Summer Camp or Campground Licensing

SHHR.VDH017

Local governments that operate a restaurant, hotel, summer camp or campground are required to meet the same licensing or permitting requirements as any other operator of such facilities.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 35.1-13 through 35.1-17; Department of Health Regulations governing licensure of hotels, summer camps, campgrounds, and restaurants, 12 VAC 5-421-10 et seq., 12 VAC 5-431-10 et seq., 12 VAC 5-440-10 et seq., and 12 VAC 5-450-10 et seq.

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Vital Records and Health Statistics Report

SHHR.VDH018

Each county and city health director shall serve as the county or city registrar of vital records and health statistics for his or her jurisdiction and shall appoint one or more deputies in the county or city health department. The local registrar shall transmit twice monthly the certificates, reports, or other records filed with him to the State Registrar or more frequently when directed to do so by the State Registrar.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-254 et seq.

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Waterworks Operation Permit

SHHR.VDH019

Local governments that own and operate a waterworks are required to have a waterworks operation permit. This permit requires: (1) continuous provision of safe drinking water; (2) monitoring requirements; and (3) reporting requirements. Community waterworks are also required to develop and maintain an emergency management plan for the provision of pure water during any extended power outage.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-167 through 32.1-176; Waterworks Regulation 12 VAC 5-590-10 et seq.; P.L. 93-523 (Fed.); P.L. 99-399 (Fed.); P.L. 104-182 (Fed.)

Last Assessment Period: 7/1/2013 to 9/30/2013

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Public Marina

SHHR.VDH020

Local governments that own and operate marinas and the sanitary sewage facilities serving marinas and other places where boats are moored must conform to the requirements of the Sanitary Regulations for Marinas and Boat Moorings.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-246; Department of Health Regulation 12 VAC 5-570-10 et seq.

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Inspection of Local Correctional Facilities

SHHR.VDH022

Local jails are subject to at least one annual unannounced inspection to be conducted by the Department of Corrections, with oversight from the Virginia Department of Health, to ensure proper protection for jail inmates and staff.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 53.1-1, 53.1-68, and 53.1-127

Last Assessment Period: 2/1/2004 to 4/30/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Certificate of Public Need (COPN) Requirements for Local Health Facilities

SHHR.VDH023

Local governments that operate medical care facilities and services must receive a Certificate of Public Need from the Department of Health to establish new acute care facilities and services and long-term care medical facilities, as well as for new construction and renovation.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-102.1 through 32.1-102.12; Certificate of Public Need Program 12VAC5-220-10 et seq. and the State Medical Facilities Plan 12 VAC 5-230-10 et seq.

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Clinical Laboratory Facility Requirements

SHHR.VDH024

Local governments that provide clinical laboratory services that test human specimens for assessing health for the diagnosis, prevention, or treatment of any disease or impairment must comply with federal certification standards.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Clinical Laboratory Improvement Act of 1988 (Fed.); Omnibus Reconciliation Act of 1989 (Fed.); Titles XVIII and XIX of the Social Security Act (Fed.); 42 CFR, Chapter IV - HCFA, part 493 - Laboratory Requirements (Fed.)

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Emergency Medical Services Funds Report

SHHR.VDH025

Local governments are required to report annually to the Board of Health on the use of State funds received for training and procurement for emergency medical and rescue services. Such annual reports to the Board of Health are required prior to the receipt of such funds for the next fiscal year.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 46.2-694

Last Assessment Period: 4/1/2001 to 6/30/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Rescue Squad Assistance Fund

SHHR.VDH026

Local governments electing to apply for funds from the grant program must comply with the Rules and Regulations Governing Financial Assistance for Emergency Medical Services.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-111.12; Department of Health Regulation 12 VAC 5-31 et seq.

Last Assessment Period: 4/1/2001 to 6/30/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Application for Variances and Exemptions

SHHR.VDH027

Prior to the submission of (i) an application for a variance to the Commissioner of Health or (ii) an application for an exemption from any regulations promulgated pursuant to an application for an exemption from certain Board of Health regulations by an agency or governmental entity licensed or certified by the Office of Emergency Medical Services, the application shall be reviewed by the governing body or chief administrative officer of the jurisdiction in which the principal office of the agency or governmental entity licensed or certified by the Office of Emergency Medical Services is located. The recommendation of the governing body or chief administrative officer of the jurisdiction regarding the variance or exemption shall be submitted with the application, and the Commissioner or Board, whichever is appropriate, shall consider that recommendation for the purposes of granting or denying the variance or exemption. A provider who is certified or is a candidate for certification by the Office of Emergency Medical Services shall not be required to submit an application for a variance or exemption to the local governing body or chief administrative officer of the jurisdiction for review.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-111.9; Department of Health Regulation 12 VAC 5-30-10 et seq.

Last Assessment Period: 4/1/2001 to 6/30/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Maintenance of Emergency Medical Services

SHHR.VDH028

Each locality shall seek to ensure that emergency medical services are maintained throughout the entire locality.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 15.2-955

Last Assessment Period: 7/1/2008 to 9/30/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Effective 2005.

Virginia Indoor Clean Air Act

SHHR.VDH029

Local health departments must inspect restaurants for compliance with the Virginia Indoor Clean Air Act in the course of conducting regular environmental health inspections of restaurants.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 15.2-2825, 35.1-5 and 35.1-14.

Last Assessment Period: 9/1/2012 to 10/31/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Disposition of Dead Bodies

SHHR.VDH030

In instances where (1) a body is claimed, but the claimant is unable to pay for proper disposition, or (2) the next of kin receives notice of the dead body and their right to claim it and, within 10 days of that notice, refuses or fails to claim the body, the expenses for the disposition of the body shall be paid by the city or county of residence if the deceased was a Virginia resident. If the decedent was not a Virginia resident, expenses for disposition are paid by the city or county where the death occurred. If the decedent has an estate from which disposition expenses can be paid in whole or in part, these assets shall be seized for this purpose. This does not apply to unclaimed bodies that were under the custody of the Department of Corrections or the Department of Behavioral Health and Developmental Services.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-309.1 and 32.1-309.2

Last Assessment Period: 7/1/2012 to 9/30/2012

Last Assessment Finding: Retain

Current Assessment Period: 10/1/2016 to 12/31/2016

Comment: Scheduled for assessment in FY2017.

Medicological Death Investigations

SHHR.VDH031

Counties and cities are responsible for paying a fee up to $20.00 to medical examiners who are appointed to that county or city to investigate deaths which come under the jurisdiction of the Officer of the Chief Medical Examiner. Payment of this fee is required only when the deceased is a legal resident of that county or city.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-283 (D)

Last Assessment Period: 7/1/2014 to 9/30/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Emergency Medical Services Criminal History Record Information

SHHR.VDH032

Local governments electing to be direct providers of emergency medical services are required to provide criminal history record information for EMS volunteers or employees. Each person who, on or after July 1, 2013, applies to be a volunteer with or employee of an emergency medical services agency is required to submit fingerprints and provide personal descriptive information to be forwarded along with his or her fingerprints through the Central Criminal Records Exchange to the Federal Bureau of Investigation, for the purpose of obtaining his criminal history record information. The Central Criminal Records Exchange shall forward the results of the state and national records search to the Commissioner or his or her designee, who shall be a governmental entity. Localities that adopt an ordinance on obtaining criminal history records of employees and volunteers for Emergency Medical Services jobs must require the applicant to submit fingerprints. The locality must provide personal descriptive information to be provided directly to the Central Criminal Records Exchange to be forwarded to the Federal Bureau of Investigation. The Central Criminal Records Exchange will forward along federal and state records to localities and localities must notify the Office of Emergency Medical Services regarding a volunteer or employee's eligibility for employment based on criteria set forth for those job classifications.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-111.5, Certification and Recertification of Emergency Medical Services Providers

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Biannual Rabies Vaccination Clinic

SHHR.VDH033

Counties and cities must ensure that a rabies vaccination clinic for dogs and cats that serves its jurisdiction is held at least once every two years. Such rabies vaccination clinic must be approved by the local health department. Counties and cities may contract with other localities or private entities to fulfill this mandate.

Type: Compulsory Order

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 3.2-6521

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: Previously identified as SAF.VDACS011.

Open Space Recreation and Conservation Fund - State/Federal Grants Program

SNR.DCR001

Local governments receiving grants from the Open Space Recreation and Conservation Fund or the state deposit from the federal Land and Water Conservation Fund for parks and open spaces must agree to maintain those facilities for outdoor recreation in perpetuity. The program requires continuous inspections by the State to affect the program mandate. Local governments are required to provide, at a minimum, a 50 percent match.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Code of Virginia § 58.1-344.3; 16 USC 460I-4 et seq. (Land and Water Conservation Fund Act of 1965), 43 CFR 12 (Fed.)

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Dam Safety, Flood Prevention and Protection Assistance Fund

SNR.DCR003

Local governments receiving money from the Dam Safety Flood Prevention and Protection Assistance Fund for the development and implementation of flood prevention or protection projects or studies, or for the design, repair, and safety modifications of dams identified in safety reports must comply with grant or loan requirements established by the Director of the Department of Conservation and Recreation. Under certain circumstances, localities must remit to the Fund any payments contributed by developers or subdividers of lands within dam break inundation zones for their portion of the spillway upgrades required.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-603.18 et seq., 15.2-2243.1

Last Assessment Period: 10/1/2011 to 12/31/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Perpetual Conservation Easement

SNR.DCR004

Local governments must assess and tax land which is subject to a perpetual conservation easement under the Virginia Conservation Easement Act or the Open-Space Land Act. Assessments of the fee interest in land shall reflect the reduction in the fair market value of the land that results from the inability of the owner of the fee to use such property for uses terminated by the easement, or at the land use value in localities with land-use assessment programs.

Type: Regulation of Optional Activity

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-1009 et seq., § 10.1-1700 et seq., §58.1-3205, §§ 58.1-3230 et seq.; Department of Conservation and Recreation Regulations 4 VAC 5-20-10 through 4 VAC 5-20-40; Standards for Classification of Real Estate as Devoted to Open Space use under the Virginia Land Use Assessment Law.

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Impounding Structure Regulations

SNR.DCR005

Local governments that own dams must make any improvements necessary to correct deficiencies found during required inspections through alterations, construction, or maintenance.

Type: Regulation of Optional Activity

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-604 et seq.; Virginia Soil and Water Conservation Board Regulations 4 VAC 50-20-10 et seq.

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Public Beach Grants and Advisory Commissions

SNR.DCR007

Local governments must establish local erosion advisory commissions in order to qualify for Public Beach Conservation and Development Act funds.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-709, 711; Board on Conservation and Recreation Regulation 4 VAC 45-10-20

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Recreational Trails Program

SNR.DCR009

Local governments receiving funds for non-motorized and motorized trails from the Virginia Recreational Trails Program are required to provide a 20% match. Trails must be available and open for public use.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Fixing America's Surface Transportation Act, 129 Stat.1312, P.L. 114-94; 23 USC 206

Last Assessment Period: 6/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Land Conservation Foundation Grants

SNR.DCR012

Local governments receiving grants from the Virginia Land Conservation Foundation must provide a 50 percent (1:1) match.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-1017 et seq.

Last Assessment Period: 3/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Dam Safety and Flood Prevention Planning

SNR.DCR020

Localities must consider dam break inundation zones and potential impacts to downstream lives and properties when preparing their comprehensive plans, and must include provisions relating to impounding structure failure and impacts within dam break inundation zones in their subdivision ordinances. For any development proposed in such zones, localities must forward the subdivision plan to the Department of Conservation and Recreation (Department) with a request to determine the development's potential impacts on the spillway design flood standards required of the dam. If the Department determines that the subdivision plan would change such standards, the locality shall require the developer to submit an engineering study in conformance with 10.1-604 et seq. and 4 VAC 50-20 to the Department. The locality shall not permit the development unless the developer agrees to either alter the plan to avoid impacts or upgrade the dam. If the developer elects to upgrade the dam, the locality must require the developer to deposit with the locality or the Virginia Dam Safety, Flood Prevention and Protection Fund 50% of contract-ready costs to upgrade the dam, plus administrative fees.

Type: Compulsory Order

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Code of Virginia §§10.1-606.3, 15.2-2224, 15.2-2241, 15.2-2243.1, 15.2-2258

Last Assessment Period: 10/1/2011 to 12/31/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Water Withdrawal Report

SNR.DEQ001

Local governments are required to report on their water withdrawals if the average daily withdrawal exceeds 10,000 gallons per day in any one month for uses other than agricultural irrigation. For agricultural irrigation uses, reporting is required if their water withdrawals exceed one million gallons in any month.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-44.38; State Water Control Board Regulation 9 VAC 25-200-10 et seq.

Last Assessment Period: 8/1/2004 to 9/30/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Coastal Zone Management Program

SNR.DEQ003

Local governments receiving federal funding through the Virginia Coastal Zone Management Program must meet all requirements of the contract they execute with the Department of Environmental Quality. Those requirements include completion of all work and submission of all deliverables as described in the locality's approved proposal as well as compliance with all State and federal administrative requirements. In addition, local governments in Virginia's designated coastal zone that receive federal financial assistance directly from federal agencies for local construction projects must submit documentation to the Department of Environmental Quality demonstrating that projects will be constructed and operated in a manner consistent with the enforceable policies of the Virginia Coastal Zone Management Program.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: 40 CFR Ch. 1, Subpart B (Fed.); 15 CFR Ch. IX, Subpart F (Fed.)

Last Assessment Period: 9/1/2005 to 11/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Solid Waste Management Facility Operator Certification

SNR.DEQ005

Local government waste management facilities must be operated under the direct supervision of a person licensed by the Board of Waste Management Facility Operators.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-1408.2 and 54.1-2212; Board for Waste Management Facility Operators Regulations 18 VAC 155-20-10 et seq.

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Solid Waste Management Facility Permit

SNR.DEQ006

Local governments that operate solid waste management facilities, including composting operations, are required to have a permit from the Department of Environmental Quality to ensure proper siting, design, construction, and operation; and must provide financial assurance to cover the cost of closure and post closure maintenance of the facility. Local governments seeking a permit to operate a new sanitary landfill or transfer station are required to hold an advertised public hearing to seek comment from affected residents and to form a citizen advisory group to assist in site selection.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-1408.1, 10.1-1410.2; Virginia Waste Management Board Regulations 9 VAC 20-70-10 et seq., 9 VAC 20-81-10 et seq.; P.L. 94-580 (Fed.); P.L. 98-616 (Fed.); 42 USC 6901 et seq. (Fed.); 40 CFR 257, 258 (Fed.)

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment: SNR.DEQ009 (Vegetative Waste Management and Yardwaste Composting) was consolidated with this mandate.

Litter Control and Recycling Grant

SNR.DEQ010

Local governments receiving annual litter control and recycling grants must follow guidelines established by the Litter Control and Recycling Fund Advisory Board. New grant applications are due by June 30 annually, with the Performance Report and Accounting Report for the previous grant cycle due by August 1 annually.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-1422 et seq.; Department of Environmental Quality Guidelines

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Litter Receptacles in Public Places

SNR.DEQ011

Local governments that elect to place a litter receptacle at a park, beach, campground, trailer park, or other public place are responsible for removing the litter from those receptacles.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-1419; Virginia Waste Management Board Regulation 9 VAC 20-190

Last Assessment Period: 3/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Fees for Solid Waste Management Facility Permits

SNR.DEQ012

Local governments applying for new, amended, or modified permits for solid waste management facilities must pay a permit application fee in order for the permit to be considered complete. Annual fees are required for the operation of specified waste management facilities.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-1402.1:1; Virginia Waste Management Board Regulation 9 VAC 20-90-10 et seq.

Last Assessment Period: 9/1/2006 to 11/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Solid Waste Management Facility Compliance Notification

SNR.DEQ013

Upon receipt of a request for certification that the location and operation of a solid waste management facility are consistent with all applicable ordinances, the local government is required to inform both the applicant and the Department of Environmental Quality of the facility's compliance or noncompliance within 120 days. Additionally, local governments that host, but do not own or operate, a new or expanding municipal solid waste landfill must certify to the Department of Environmental Quality that a host agreement, having certain required provisions, has been reached between the applicant and the host jurisdiction.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-1408.1; Virginia Waste Management Board Regulation 9 VAC 20-81-10 et seq.

Last Assessment Period: 4/1/2000 to 6/30/2000

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Solid Waste Management Plan

SNR.DEQ014

Local governments, either individually or joining together as an approved region, are required to submit comprehensive 20-year solid waste management plans by July 1, 2004 to the Department of Environmental Quality. Plans must be kept up-to-date by amendment and approval by the Department of Environmental Quality. Permitting of solid waste management facilities in the region must conform to the plan.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-1402 and 10.1-1411; Virginia Waste Management Board Regulation 9 VAC 20-130-10 et seq.

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Recycling Program and Annual Recycling Rate Report

SNR.DEQ015

Solid Waste Planning Units (individual local governments or regional authorities) must develop and implement recycling programs to maintain a mandated recycling rate of 15% or 25%. Solid Waste Planning Units with population densities less than 100 persons per square mile or with a civilian unemployment level more than 50% above the statewide average qualify for the 15% level, while all others must meet the 25% mandate. Plans for achieving this rate must be included in the solid waste management plans on file with the Department of Environmental Quality (DEQ). Solid Waste Planning Units with a population greater than 100,000 must document recycling rates for the preceding calendar year and report the data by April 30 of each year to DEQ. Solid Waste Planning Units or localities with a population of 100,000 or less are required to submit their recycling rate for the preceding calendar year every four years.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-1411; Virginia Waste Management Board Regulation 9 VAC 20-130-10 et seq.

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Hazardous Waste Management Requirements

SNR.DEQ016

Local governments that generate, transport, treat, store, or dispose of hazardous wastes must comply with hazardous waste management requirements. To ship hazardous wastes, localities must use manifests and hazardous waste transporters. Such wastes can be shipped only to certain treatment or disposal facilities. In general, any treatment, storage, or disposal requires a permit.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-1400 et seq.; Virginia Waste Management Board Regulation 9 VAC 20-60-10 et seq.; P.L. 94-580 (Fed.); P.L. 98-616 (Fed.); 42 USC 6901 et seq. (Fed.); 40 CFR 124 and 40 CFR 260-279 (Fed.)

Last Assessment Period: 9/1/2006 to 11/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Medical Waste Management

SNR.DEQ017

Local governments electing to generate, transport, and/or treat, store, or dispose of regulated medical waste must meet certain minimum regulatory standards. Generators must ensure that their regulated medical waste is appropriately handled.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-1402; Virginia Waste Management Board Regulation 9 VAC 20-120-10 et seq.

Last Assessment Period: 10/1/2006 to 12/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Hazardous Materials Transportation

SNR.DEQ018

Local governments electing to transport hazardous materials in excess of specified levels must follow the federal safety requirements for interstate transportation. Hazardous materials must be properly packaged and labeled, and transport vehicles must bear placards to identify the hazard associated with the materials. Drivers of hazardous materials vehicles must have a commercial driver's license and a hazardous materials certification from the Department of Motor Vehicles.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §10.1-1450; Virginia Waste Management Board Regulation 9 VAC 20-110; and 9 USC §5101 et seq.

Last Assessment Period: 10/1/2006 to 12/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Underground Storage Tank

SNR.DEQ019

Local governments that have underground storage tanks are required to register those tanks with the Department and to have non-corrodible tanks and piping, overfill and spill prevention devices, and leak detection. Tanks, dispensers, and piping installed after 2010 are required to have secondary containment. Operators of those tanks are required to have training. Leaks require further investigation and, if necessary, clean-up. Local governments are required to demonstrate financial responsibility for taking corrective action and for compensating third parties for bodily injury and property damage caused by accidental releases arising from the operation of petroleum underground storage tanks.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 62.1-44.34:8 through 62.1-44.34:13; State Water Control Board Regulation 9 VAC 25-580-10 et seq and 9 VAC 25-590-10 et seq.; P.L. 98-616 (Fed.); 40 CFR 280, 281 (Fed.)

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Pollution Discharge Elimination System Permit

SNR.DEQ020

Local governments that operate wastewater treatment facilities, operate a regulated municipal separate storm sewer system (MS4), have construction activities that disturb greater than one acre of land, or have any other point source discharges to surface waters are required to have a permit authorizing the discharge from the facility into surface waters. The permit imposes controls on the quality of the discharge to ensure compliance with water quality standards, requirements for monitoring the discharge, and reporting of the results. MS4 permit holders may acquire and use sediment credits for purposes of compliance with any waste load allocations established by total maximum daily loads for the Chesapeake Bay or its tidal tributaries applied in an MS4 permit.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Title 62.1, Chapter 3.1, §§ 62.1-44.2 et seq. of the Code of Virginia; § 62.1-44.19:21.1 of the Code of Virginia; State Water Control Board Regulation 9 VAC 25-20-10 et seq., 9 VAC 25-31-10 et seq., 9 VAC 25-40-10 et seq.; 9 VAC 25-260-10 et seq.; 9 VAC 25-720-10 et seq.; 9 VAC 25-790-10 et seq.; 9 VAC 25-820-10 et seq.; 9 VAC 25-870-10 et seq.; 9 VAC 25-880-10 et seq.; 9 VAC 25-890-10 et seq.; 33 USC 1251 et seq.; § 402 of the Clean Water Act; 40 CFR Parts 122 – 124.

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Not all localities are required to operate a municipal separate storm sewer system (MS4). Ch 126 of the 2016 Acts of Assembly allows for the use of sediment credits to be used to comply with waste load allocations in MS4 permits.

Virginia Water Protection Permit

SNR.DEQ021

Local governments that intend to conduct activities in surface waters, including wetlands, must obtain the necessary federal and State permits, authorization, or certification to ensure that the activity will not result in a net loss of wetland acreage or function nor violate the State's water quality standards. The certification, permit, or authorization applies to numerous types of activities, regardless of federal permit requirements, such as dredging, filling non-tidal wetlands, developing water intakes for water withdrawals, drainage or excavation of non-tidal wetlands, etc., and is granted through a Virginia Water Protection Permit that may contain special conditions necessary to protect water quality.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 62.1-44.15:5.01 et seq.; State Water Control Board Regulation 9 VAC 25-20-10 et seq., 9 VAC 25-210-10 et seq., 9 VAC 25-660 et seq.; 9 VAC 25-670 et seq.; 9 VAC 25-680 et seq.; 9 VAC 25-690 et seq.; 33 USC 1341 (Fed.); 40 CFR 121 (Fed.)

Last Assessment Period: 7/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Pollution Abatement Permit

SNR.DEQ022

Local governments that operate facilities that have the potential to discharge into State waters but are not actually a point source discharge are required to obtain a Virginia Pollution Abatement Permit. These permits contain requirements specific to the operation and include monitoring and reporting requirements. The types of operations that may be required to obtain a Virginia Pollution Abatement permit include land application of sewage sludge, septage, industrial sludge (such as potable water treatment residuals), or land treatment of wastewater.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 62.1-44.15 et seq., 62.1-44.16, 62.1-44.18; 62.1-44.19:3; State Water Control Board Regulation 9 VAC 25-20-10 et seq., 9 VAC 25-32-10 et seq; 33 USC 1251 et seq. (Fed.); Clean Water Act § 402 (Fed.); 40 CFR 122 - 124 (Fed.)

Last Assessment Period: 7/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Groundwater Withdrawal Permit

SNR.DEQ023

Local governments that withdraw groundwater for any purpose in amounts of 300,000 gallons or more in a single month in a groundwater management area are required to obtain a permit prior to the withdrawal of groundwater. The permit imposes maximum withdrawal amounts and monitoring and reporting requirements and special conditions as needed.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 62.1-254 et seq., 62.1-44.15:6; 9 VAC 25-20-10 et seq., State Water Control Board Regulation 9 VAC 25-600-10 et seq., 9 VAC 25-610-10 et seq.

Last Assessment Period: 8/1/2006 to 10/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Surface Water Management Area Withdrawal Permit

SNR.DEQ024

Local governments that withdraw in excess of 300,000 gallons of surface water in a single month in a surface water management area are required to obtain a permit prior to withdrawal of surface water. The permit may establish, among other things, in-stream flow conditions that limit the volume and rate at which water may be withdrawn at certain times and conditions to protect beneficial uses.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 62.1-242 et seq., 62.1-44.15:6; State Water Control Board Regulation 9 VAC 25-20-10 et seq., 9 VAC 25-220-10 et seq.

Last Assessment Period: 8/1/2006 to 10/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Above-Ground Storage Tank

SNR.DEQ025

Local governments owning an above-ground oil storage tank with a capacity of 660 gallons or more are required to register those tanks with the Department of Environmental Quality. Those at facilities storing 25,000 gallons or more are required to meet pollution prevention requirements and must have an approved contingency plan that will ensure that the locality can take steps necessary to protect environmentally sensitive areas, to respond to the threat of an oil discharge, and to contain cleanup and mitigate an oil discharge within the shortest feasible time. Local governments that are operators of above-ground storage tanks are required to demonstrate financial responsibility for containment and clean up of discharges of oil.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-44.34:14 through 44.34:23; State Water Control Board Regulation 9 VAC 25-91-10 et seq. and 9 VAC 25-630-10 et seq.

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Waste Tire End User Reimbursement Program

SNR.DEQ027

Localities that beneficially use Virginia-generated waste tire material are eligible to receive End User Reimbursement payments to offset the costs of using such material. End User Reimbursement Applications are filed with DEQ documenting the amount of Virginia waste tire material used, the specific use of the material, the site of use, as well as the cost of use.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-1422.1 through 10.1-1422.4; Virginia Waste Management Board Regulation 9 VAC 20-150

Last Assessment Period: 6/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Solid Waste Management Reporting

SNR.DEQ028

Local governments that operate solid waste management facilities are to report annually on the volume and category of waste disposed and the amounts originating from outside the State.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-1413.1; Virginia Waste Management Board Regulation 9 VAC 20-81

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Water Quality Improvement Fund (WQIF) Point Source Program

SNR.DEQ029

Local governments receiving grants from the Virginia Water Quality Improvement Fund for installing nutrient removal technology in publicly owned wastewater treatment plants must comply with the guidelines established by the Secretary of Natural Resources.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-2117 et seq.

Last Assessment Period: 9/1/2006 to 11/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Compliance with Air Emission Standards

SNR.DEQ031

Local governments that operate landfills, incinerators, wastewater treatment facilities, boilers, or other facilities that generate air pollution must comply with air emissions standards and permitting requirements.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-1308, 10.1-1314, 10.1-1322; Air Pollution Control Board Regulation - Regulations for the Control and Abatement of Air Pollution (9 VAC 5-10 through 9 VAC 5-85, 9 VAC 5-130, 9 VAC 5-510 through 540; Federal Clean Air Act §§ 110, 111, 112, 123, 129, 171, 172, 173, 182, 501 - 506 (Fed.); 40 CFR 51, 60, 61, 63 (Fed.)

Last Assessment Period: 9/1/2005 to 11/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Landfill Closure

SNR.DEQ032

Local governments that own or operate municipal solid waste landfills that do not have liners and leachate collection systems meeting the requirements of the Virginia Waste Management Board must close them in accordance with a schedule developed by the Department of Environmental Quality.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-1413.2

Last Assessment Period: 9/1/2003 to 10/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Exceptional Waters Notification

SNR.DEQ033

Local governments must provide, upon the request of the Department of Environmental Quality, names and addresses of riparian property owners impacted by a nomination of a waterway or segment of a waterway for designation as an exceptional state water.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-44.15:4(B); State Water Control Board Regulation 9 VAC 25-260-30

Last Assessment Period: 9/1/2006 to 11/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Transportation of Waste on State Waters

SNR.DEQ034

Local governments that operate facilities for receiving solid waste or regulated medical waste transported aboard vessels on State waters are required to obtain permits to ensure proper siting, design, construction, and operation of such facilities. Local governments that operate facilities that load solid waste or regulated medical waste onto vessels for transport on State waters are not required to have a permit but are required to meet several performance criteria. All vessels and facilities are subject to performance, financial assurance, and fee requirements regardless of ownership.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-1454.1; Virginia Waste Management Board Regulation 9 VAC 20-170-10 et seq.

Last Assessment Period: 9/1/2008 to 11/30/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Effective July 1, 2004.

Sewage Collection and Treatment Regulation

SNR.DEQ035

Owners of sewage collection systems and sewage treatment works are required to provide an engineered design for any new or upgraded facility, consistent with the Commonwealth's public health and water quality objectives. The owner must provide certification from a licensed Virginia professional engineer that the design and construction of the facility are in compliance with the design standards of the Sewage Collection and Treatment Regulations. For projects funded by WQIF, the owner must submit documents to DEQ for a technical evaluation for the funded portions of the project. These regulations also address operation and maintenance of facilities and requirements for operation and maintenance manuals. Localities must generally design new plants, expansions, and upgrades so that the facility has the capacity to treat septage from all onsite sewage disposal systems within the facility's service area.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 32.1-164, 62.1-44.3, 62.1-44.18, 62.1-44.19, 15.2-2123, State Water Control Board Regulation 9 VAC 25-790-10 et seq.

Last Assessment Period: 8/1/2011 to 10/31/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Motor Vehicle Emissions Control Program

SNR.DEQ036

Local governments must obtain an emissions inspection of motor vehicles that they own or lease and comply with tailpipe emission limits.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 46.2-1177 et seq.; Air Pollution Control Board Regulation 9 VAC 5 Chapter 91; Federal Clean Air Act §§ 110, 182 (Fed.); 40 CFR 51 (Fed.)

Last Assessment Period: 8/1/2008 to 10/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Applies to Counties of Arlington, Fairfax, Loudoun, Prince William, and Stafford; and to the Cities of Alexandria, Fairfax, Falls Church, Manassas, and Manassas Park.

Local and Regional Water Supply Plans

SNR.DEQ037

Local governments, either individually or jointly as a region, are required to submit water supply plans to the Department of Environmental Quality (DEQ). The DEQ will review the plans to determine compliance with regulations and consistency with the State Water Resources Plan. After the plans are approved, localities are required to review them every five years, update as needed, and resubmit a full plan every 10 years.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-44.38:1; State Water Control Board Regulation 9 VAC 25-780 et seq.

Last Assessment Period: 8/1/2008 to 10/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Environmental Impact Reports for Local Road Projects SNR.DEQ038

Any local government that undertakes highway construction, reconstruction, or an improvement project affecting highways or roads with a cost of $2,000,000 or more must prepare an environmental impact report. For projects costing more than $500,000 and less than $2 million, the locality shall consult with the Department of Historic Resources to consider and make reasonable efforts to avoid or minimize impacts to historic resources if the project involves a new location or a new disturbance that extends outside the area or depth of a prior disturbance, or otherwise has the potential to affect such resources adversely.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §10.1-1188

Last Assessment Period: 9/1/2009 to 11/30/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Stormwater Management Programs by Localities SNR.DEQ039

Any locality that operates a regulated municipal separate storm sewer system (MS4), or notifies the Department of its decision to participate in the establishment of a Virginia Stormwater Management Program (VSMP) is required to adopt a VSMP for land disturbing activities consistent with the Stormwater Management Law. Such VSMP must be implemented no later than July 1, 2014. Any locality that operates a MS4 that became a regulated MS4 on or after January 1, 2014, may elect to defer implementation of the county's VSMP until January 1, 2015. Any town lying within a county, which has adopted a VSMP, may adopt its own program or may choose to participate in the county's VSMP, or have the Department of Environmental Quality operate a VSMP on behalf of the town. Other localities may choose to become a VSMP authority. For those localities that do not become an authority, the Department of Environmental Quality will operate and administer the VSMP. A VSMP authority may enter into agreements or contracts with soil and water conservation districts, adjacent localities, or other public or private entities to carry out or assist with these responsibilities. If a locality adopts a more stringent stormwater ordinance, the locality must submit an explanation to the Department of Environmental Quality for review.

When establishing a VSMP, the VSMP authority shall assess the statewide fee schedule and shall have the authority to reduce or increase such fees, and to consolidate such fees with other program-related charges, but in no case shall such fee changes affect the amount established in the regulations as available to the Department of Environmental Quality for program oversight responsibilities. A VSMP's portion of the fees shall be used solely to carry out the VSMP's responsibilities under the Stormwater Management Law and its attendant regulations, ordinances, or annual standards and specifications. Localities administering their own programs and operating a stormwater utility must provide full or partial waivers from stormwater utility charges for properties that reduce post-development stormwater flows. Localities that establish a stormwater utility or enact a system of charges to support a local stormwater management program are required to submit an annual report to DEQ on each funded program and the expected nutrient and sediment reductions from the programs.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 62.1-44.15:24 et seq.; Item 363 (H.1), Chapter 732, 2016 Acts of Assembly; State Water Control Board Regulation 9 VAC 25-870 et seq.; Federal Clean Water Act and attendant regulations

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Not all localities are required to operate an MS4.

Last assessed as SNR.DCR006.

Erosion and Sediment Control Program

SNR.DEQ040

Local governments which have adopted an erosion and sediment control program must administer it in a manner consistent with State guidelines and minimum standards. Administration is provided by local governments through ordinances, plan review, inspections, and enforcement.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 62.1-44.15:24 et seq.; State Water Control Board Regulation 9 VAC 25-840-10 et seq.; 9 VAC 25-850-10 et seq.

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SNR.DCR008.

Virginia Water Quality Improvement Fund Nonpoint Source Pollution Control Grant

SNR.DEQ041

Local governments receiving grants from the Virginia Water Quality Improvement Fund for nonpoint source pollution control must comply with the guidelines established by the Secretary of Natural Resources and grant requirements established by the Director of the Department of Environmental Quality.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-2117 et seq. and 62.1-44.15

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SNR.DCR010.

Federal Clean Water Act - Section 319 Nonpoint Source Pollution Control Grant

SNR.DEQ042

Local governments receiving Section 319 grants must comply with State and federal requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-44.15; 33 USC 26, Subchapter III § 1329 (P.L. 100-4) (Fed.)

Last Assessment Period: 3/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SNR.DCR011.

Chesapeake Bay Preservation Area Designation

SNR.DEQ043

Local governments in Tidewater Virginia are required to designate Chesapeake Bay Preservation Areas within their jurisdictions. These localities are required to incorporate and employ through local comprehensive plans, ordinances, policies, and procedures, performance criteria promulgated by the State Water Control Board to ensure that the use and development of land in Chesapeake Bay Preservation Areas protects the quality of state waters.

Type: Compulsory Order

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-44.15:74; State Water Control Board Regulation 9 VAC 25-830 et seq.; 1987 Cooperative Chesapeake Bay Agreement

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SNR.DCR013 and SNR.DCR019.

Stormwater Local Assistance Funds

SNR.DEQ045

This fund provides matching grants to local governments for the planning, design, and implementation of stormwater best management practices that address cost efficiency and commitments related to reducing water quality pollutant loads. The fund is used for: i) obligations related to the Chesapeake Bay total maximum daily load (TMDL) requirements; ii) requirements for local impaired stream TMDLs; iii) water quality requirements of the Chesapeake Bay Watershed Implementation Plan (WIP); and iv) water quality requirements related to the permitting of small municipal stormwater sewer systems. The grants are used solely for capital projects meeting all pre-requirements for implementation, including but not limited to: i) new stormwater best management practices; ii) stormwater best management practice retrofits; iii) stream restoration; iv) low impact development projects; v) buffer restoration; vi) pond retrofits; and vii) wetlands restoration.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-44.15:29 et seq.

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 9/1/2016 to 11/30/2016

Comment: Scheduled for assessment in FY2017.

Living Shorelines Loans

SNR.DEQ047

Local governments may receive loans from the Water Facilities Revolving Fund to establish living shorelines. Funds must be used exclusively for creating living shorelines that protect or improve water quality, and prevent pollution of state waters.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-229.5

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: Mandate added as a result of CH474 of the 2015 Acts of Assembly

Regional Emissions Control Programs

SNR.DEQ048

Local governments located in Volatile Organic Compound (VOC) and Nitrogen Oxides (NOX) Emissions Control Areas must comply with the various stationary source, consumer product, permitting, and opening burning requirements of the State Air Pollution Control Board.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-1308; Air Pollution Control Board Regulations 9 VAC 5-20-206, 9 VAC 5-40, 9 VAC 5-45, 9 VAC 5-80, 9 VAC 5-130; Federal Clean Air Act §§ 110, 182 (Fed.); 40 CFR 51 (Fed.)

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: Applies to the following VOC and NOX Emission Control Areas: Northern Virginia, Fredericksburg, Richmond, Hampton Roads, and Western Virginia. See 9 VAC 5-20-206 for a more detailed listing of applicable localities.

Carrying Loaded Firearm on Public Road

SNR.DGIF001

Local governments that adopt ordinances to restrict the carrying of loaded firearms in vehicles on public roads must notify the Department of Game and Inland Fisheries by May 1 of the year in which the law takes effect; otherwise it is not enforceable.

Type: Regulation of Optional Activity

Agency: **Department of Game and Inland Fisheries**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 15.2-915.2, 29.1-526

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Hunting Damage Stamp

SNR.DGIF002

Local governments that require hunters who hunt deer, elk, or bear to purchase a damage stamp must report disbursements and collections to the Department of Game and Inland Fisheries annually.

Type: Regulation of Optional Activity

Agency: **Department of Game and Inland Fisheries**

Secretariat: Natural Resources

Authority: Code of Virginia § 29.1-358

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Hunting or Trapping Near Highway

SNR.DGIF003

Local governments that adopt ordinances to prohibit hunting or trapping near primary or secondary highways must notify the Department of Game and Inland Fisheries by May 1 of the year in which the law takes effect; otherwise it is not enforceable.

Type: Regulation of Optional Activity

Agency: **Department of Game and Inland Fisheries**

Secretariat: Natural Resources

Authority: Code of Virginia § 29.1-526

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Boating Ordinances

SNR.DGIF004

Local boating ordinances and regulatory markers must be approved by the State Board of Game and Inland Fisheries. After notifying the Department, local governments may by ordinance establish no wake zones, as well as recreational boating "pass-through" zones.

Type: Regulation of Optional Activity

Agency: **Department of Game and Inland Fisheries**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 29.1-744, 29.1-744.4

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Hunting Firearm Restrictions

SNR.DGIF005

Local governments that adopt ordinances placing limits on the type and caliber of weapons used to hunt within the boundaries of the locality must notify the Department of Game and Inland Fisheries by May 1 of the year in which the ordinance takes effect; otherwise it is not enforceable. Such ordinances may include regulating the type of firearm, firearm caliber, and type of ammunition to be used for hunting, and permit the hunting of groundhogs and coyotes with a rifle of a caliber larger than .22 rimfire.

Type: Regulation of Optional Activity

Agency: **Department of Game and Inland Fisheries**

Secretariat: Natural Resources

Authority: Code of Virginia § 29.1-528; 4 VAC 15-270-90

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Model Ordinances for Hunting with Bow and Arrow

SNR.DGIF006

Local governments that are experiencing an overabundance of the deer population, which is creating conflicts between humans and deer, including safety hazards to motorists, may adopt an ordinance (based on a model ordinance developed by the Board of Game and Inland Fisheries) regulating hunting with bow and arrow and crossbow, placing time limitations on such, and a limit on the number of deer that can be taken, based upon analysis by the Department of Game and Inland Fisheries. The locality must notify the Department of the adoption of such ordinance by May 1 of the year in which the ordinance takes effect; otherwise, it is not enforceable.

Type: Regulation of Optional Activity

Agency: **Department of Game and Inland Fisheries**

Secretariat: Natural Resources

Authority: Code of Virginia § 29.1-528.1

Last Assessment Period: 2/1/2014 to 4/30/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Restrictions on Feeding Waterfowl

SNR.DGIF007

Any locality may prohibit by ordinance the feeding of migratory and non-migratory waterfowl in any subdivision or other area of such locality which, in the opinion of the governing body, is so heavily populated as to make the feeding if such waterfowl a threat to public health or the environment. The locality shall post appropriate signage to designate areas where the ordinance is applicable and shall be solely responsible for the enforcement of the ordinance. The locality must notify the Department of Game and Inland Fisheries of the adoption of such ordinance.

Type: Regulation of Optional Activity

Agency: **Department of Game and Inland Fisheries**

Secretariat: Natural Resources

Authority: Code of Virginia § 29.1-527.1

Last Assessment Period: 9/1/2011 to 11/30/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Wildlife Displayed in Schools

SNR.DGIF008

The display of wildlife by elementary or secondary school teachers for educational purposes shall be governed by standards established by the Board of Game and Inland Fisheries. Such display shall be permitted, so long as notification of the display is made to the Department.

Type: Regulation of Optional Activity

Agency: **Department of Game and Inland Fisheries**

Secretariat: Natural Resources

Authority: Code of Virginia § 29.1-417; Department of Game and Inland Fisheries Regulation 4 VAC 15-290-60

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 7/1/2016 to 9/30/2016

Comment: Scheduled for assessment in FY2017.

Feeding Of Deer

SNR.DGIF009

Local governments may adopt an ordinance to prohibit the feeding of deer with a penalty of $50 fine. It shall be the duty of the governing body enacting such an ordinance to notify the Department of Game and Inland Fisheries of the adoption of such an ordinance.

Type: Regulation of Optional Activity

Agency: **Department of Game and Inland Fisheries**

Secretariat: Natural Resources

Authority: Code of Virginia § 29.1-527.2

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Historic Preservation Grants

SNR.DHR001

Local governments receiving historic preservation grants must comply with criteria established by the Department of Historic Resources and the Code of Virginia, and are required to provide a match equal to the grant in cash or in kind, meet historic preservation standards, and comply with applicable sections of the Virginia Public Procurement Act.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Historic Resources**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-2202, 10.1-2208, 10.1-2213, 2.2-4343A

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Grants to Certified Local Governments

SNR.DHR002

Local governments certified by the federal government that receive project grants to survey or protect historic resources, to educate the public concerning those resources, to provide training for their architectural review commissions or to rehabilitate historic properties must provide matching funds equal to 30% to 50% of a project's cost and comply with State and federal requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Historic Resources**

Secretariat: Natural Resources

Authority: Code of Virginia § 10.1-2202; National Historic Preservation Act (1980 amendments) (Fed.); 36 CFR 61 (Fed.)

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Cultural Resource Survey and Planning Cost-Share Program

SNR.DHR003

Local governments contracting with the Department of Historic Resources for assistance in conducting cultural resource surveys and historic preservation planning projects are required to provide a 50% cash match. Local governments must assist the Department in complying with applicable sections of the Virginia Public Procurement Act.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Historic Resources**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-2202, 15.2-2223, 2.2-4300 et seq., 2016 DHR Cost Share Program

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Wetlands Zoning Ordinance in Tidewater

SNR.MRC001

Tidewater localities that have adopted an ordinance regulating tidal wetlands must supply meeting space for the wetlands board and reasonable secretarial, clerical, legal, and consulting services as needed. Furthermore, local wetlands boards must give permit applicants credit toward local in-lieu fees in the amount of the fee the applicant has paid to the Virginia Aquatic Resources Trust Fund or another dedicated wetlands restoration fund.

Type: Regulation of Optional Activity

Agency: **Marine Resources Commission**

Secretariat: Natural Resources

Authority: Chapter 13 of Subtitle III in Title 28.2 of the Code of Virginia

Last Assessment Period: 3/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period: 4/1/2017 to 6/30/2017

Comment: Scheduled for assessment in FY2017.

Coastal Primary Sand Dune Zoning Ordinance

SNR.MRC002

Tidewater localities that have adopted an ordinance regulating coastal primary sand dunes and beaches must supply meeting space to the local wetlands board and reasonable secretarial, clerical, legal, and consulting services, as needed.

Type: Regulation of Optional Activity

Agency: **Marine Resources Commission**

Secretariat: Natural Resources

Authority: Chapter 14 of Subtitle III in Title 28.2 of the Code of Virginia

Last Assessment Period: 3/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Comprehensive Plan to Include Coastal Resource Management

SNR.MRC003

Beginning in 2013, Tidewater localities shall incorporate the guidance developed by the Virginia Institute of Marine Science pursuant to § 28.2-1100 (9) into the next scheduled comprehensive plan review. This guidance is intended to foster the sustainability of shoreline resources, identify preferred options for shoreline management, and consider the future condition of the Commonwealth's shoreline with respect to potential sea-level rise.

Type: Compulsory Order

Agency: **Marine Resources Commission**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 15.2-2223.2 and 28.2-1100 (9).

Last Assessment Period: 10/1/2015 to 12/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Responsibility for providing technical assistance associated with this mandate is shared between the Marine Resources Commission, the Virginia Institute of Marine Science, and the Department of Conservation and Recreation.

Constitutional Officer Legal Expenses

SOA.CB001

Local governments and the Commonwealth are required to pay proportional shares of the attorney fees for constitutional officers or law enforcement officers in civil cases arising out of their official duties.

Type: Compulsory Order

Agency: **Compensation Board**

Secretariat: Administration

Authority: Code of Virginia §§15.2-1606, 15.2-1636.14, 15.2-1711

Last Assessment Period: 2/1/2005 to 3/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Constitutional Officer Annual Budget Request

SOA.CB002

Each city and county must elect a treasurer, clerk of court, commissioner of revenue, sheriff, and attorney for the Commonwealth. All constitutional officers shall file, on or before February 1 of the fiscal year for which the request is made, a request for the expense of their offices. The requests shall be made in the manner prescribed by the Compensation Board.

Type: Compulsory Order

Agency: **Compensation Board**

Secretariat: Administration

Authority: Code of Virginia §§ 15.2-1600, 15.2-1636.7, 15.2-1636.18

Last Assessment Period: 2/1/2005 to 3/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Funding Constitutional Officer Expenses

SOA.CB003

The salaries, expenses, allowances, and office equipment of constitutional officers shall be paid for in full by all counties and cities, and the State shall reimburse the counties and cities for their approved, proportional share.

Type: Compulsory Order

Agency: **Compensation Board**

Secretariat: Administration

Authority: Code of Virginia §§ 15.2-1636.13, 15.2-1636.14, 17.1-287

Last Assessment Period: 2/1/2005 to 3/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Jail Inmate Reporting

SOA.CB004

Local or regional jails that receive funding from the Compensation Board shall report inmate populations to the Board daily. Such reports shall utilize Virginia Crime Codes for reporting offenses for which inmates are incarcerated.

Type: Compulsory Order

Agency: **Compensation Board**

Secretariat: Administration

Authority: Code of Virginia §§ 53.1-115.1 and 53.1-121

Last Assessment Period: 2/1/2002 to 4/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Jail Revenues and Expenditures Reporting

SOA.CB005

Local and regional jails and jail farms which receive funds from the Compensation Board shall provide to the Board all sources and amounts of revenues and all expenditures associated with facility operations. Data shall be prescribed in a manner prescribed by the Board.

Type: Compulsory Order

Agency: **Compensation Board**

Secretariat: Administration

Authority: Item 75 (K), Chapter 3, Special Session I, 2012 Acts of Assembly.

Last Assessment Period: 2/1/2006 to 3/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Standardization of Police Equipment

SOA.DGS005

Equipment or electrical devices used in law enforcement to monitor the speed of any vehicle or to determine the decibel level of sound must meet or exceed the standards created by the Department of General Services.

Type: Compulsory Order

Agency: **Department of General Services**

Secretariat: Administration

Authority: Code of Virginia §§ 2.2-1112, 19.2-270.7, 46.2-882; Department of General Services Specifications.

Last Assessment Period: 8/1/2014 to 10/31/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Optional Health Insurance

SOA.DHRM001

Local governments electing to participate in the employee health insurance plan operated by the Department of Human Resource Management obligate themselves either (1) to contribute at least 80% of the cost of the employee-only premium and at least 20% of the cost of dependent coverage, or (2) to enroll 75% or more of all employees whom the local employer deems to be eligible for health insurance.

Type: Regulation of Optional Activity

Agency: **Department of Human Resource Management**

Secretariat: Administration

Authority: Code of Virginia §§ 2.2-1204, 2.2-1205, 2.2-2818; Department of Human Resource Management Regulations 1 VAC 55-20-10 et. seq.

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Supplemental Salary Payments to State Employees by a Locality SOA.DHRM002

Any supplemental salary payment to a state employee or class of state employees by a local governing body shall be governed by a written agreement between the applicable state agency and the locality. This agreement must contain information regarding the amount of the supplement, method and frequency of paying the supplement, and whether the funds shall be included in the employee's state benefit calculations. The agreement must be reviewed and approved by the Department of Human Resources Management.

Type: Regulation of Optional Activity

Agency: **Department of Human Resource Management**

Secretariat: Administration

Authority: Item 475 (D), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 10/1/2014 to 12/1/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Annual review that State employees have been informed of local supplements, and all written agreements include information as specified in the Act.

Voting Equipment, Systems, and Staffing Requirements SOA.ELECT001

Each city and county must provide, for all elections, electronic voting or counting systems approved by the State Board of Elections. These systems must meet federal and state accessibility standards for voters with disabilities and, upon determination by the Director of the United States Census, must accommodate alternative languages. State law stipulates the minimum number of voting devices and staffing/officers required at each precinct, based on the number of registered voters, equipment type (where applicable), and type of election (e.g. presidential). Localities must provide security for voting equipment under plans adopted by the Electoral Board and approved by the State Board of Elections. Localities must follow certain procedures for the storage and use of voting or counting machines with removable data storage devices

Type: Compulsory Order

Agency: **Department of Elections**

Secretariat: Administration

Authority: Va. Const. Art. II, § 3; Code of Virginia §§ 24.2-103, 24.2-115, 24.2-417.1, 24.2-625.1 through 24.2-629; Help America Vote Act of 2002, 52 USC § 20901 et seq. (Fed.); Voting Accessibility for the Elderly and Handicapped Act, 52 USC § 2101 et seq. (Fed.); 52 USC § 10503 (bilingual elections) (Fed.)

Last Assessment Period: 5/1/2005 to 7/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Electoral Board, Registrar and Officers of Election

SOA.ELECT002

Counties and cities are required to have an electoral board to manage elections, a general registrar to administer voter registration and elections, and officers of election to administer voting at polling places. Minutes of local electoral boards' meetings are required to be posted on the local electoral board's website or the official website of the county or city as soon as possible but no later than one week prior to the following meeting of the electoral board. Officers of election must receive training at least once during the appointed term or whenever there is a change to election procedures that alters the duties or conduct of officers of election. The general registrar must attend an annual training program provided by the state board, or designate a member of his staff to attend if he is unable due to a personal or family emergency. Each member of an electoral board must attend an annual training program provided by the State Board of Elections in the first year of their appointment and first year of any subsequent reappointment. General registrars must mail notices required by federal and state laws, enter applications to register and vote absentee, administer absentee voting and maintain accurate records using a federally mandated centralized computer database, the Virginia Election and Registration Information System (VERIS). If electronic correspondence is used to forward absentee voting materials, the general registrar must use the offical email address or fax number that is published on the Department of Elections website. The general registrar must provide access to information required under election laws and the Virginia Freedom of Information Act. Localities are responsible for the costs of conducting all general and special elections except presidential primaries reimbursed by the Commonwealth. Costs include postage (only military absentee mail is paid) and international email capacity. Localities are also responsible for the costs of certain post-election activities, such as providing voter credit to the Department of Elections, and other post-election proceedings (recounts, contests, audits). County electoral boards are responsible for administering town elections. Finally, localities are responsible for implementing redistricting changes to local, state, and congressional districts. Statutory deadlines associated with the various responsibilities of the electoral boards and general registrars may result in increased costs.

Type: Compulsory Order

Agency: **Department of Elections**

Secretariat: Administration

Authority: Va. Const. Art. I, §§ 5 and 6; Art. II, §§ 2, 3, 8; Art. VII, §§ 4, 5; Code of Virginia §§ 24.2-101, 24.2-103, 24.2-106, 24.2-107, 24.2-110 through 24.2-116, 24.2-226, 24.2 -228.1, 24.2-310, 24.2-311, 24.2-313, 24.2-404.1, 24.2-411, 24.2-414, 24.2-414.1, 24.2-416.1 through 24.2-416.5, 24.2-417.1, 24.2-428, 24.2-428.1, 24.2-428.2, 24.2-444, 24.2-518, 24.2-545, 24.2-600, 24.2-612, 24.2-623, 24.2-671.1, 24.2-706, 24.2-710, 24.2-802, 24.2-811, 24.2-946.2; Voting Rights Act of 1965, 52 USC 10101 et seq; National Voter Registration Act, 52 USC § 20501 et seq.; Help America Vote Act of 2002, 52 USC 20901 et seq.; Voting Accessibility for Elderly and Handicapped Act. 52 USC § 20101 et seq; Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA), 52 USC 20107 et seq.; Election Assistance Commission Advisory 2007-001 (all Fed.)

Last Assessment Period: 2/1/2002 to 4/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Polling Place and Registration Facilities

SOA.ELECT003

Localities must provide adequate funds for voter registration, and polling place facilities meeting federal and state accessibility standards for persons with disabilities. Facilities must include adequate signage for accessible entrances. Localities must adopt ordinances designating precincts, including any central absentee precinct (optional); and must adopt ordinances establishing new precincts when required by law and decennially based on the census. New precincts are required when a presidential election produces a turnout exceeding 4,000 voters at a precinct; precincts cannot exceed 5,000 registered voters. Localities are responsible for advertising and notifying voters when creating or changing precincts.

Type: Compulsory Order

Agency: **Department of Elections**

Secretariat: Administration

Authority: Va. Const. Art. II, § 3, Art. VII, § 5; Code of Virginia §§ 24.2-304.1 through 24.2-304.4, 24.2-305 through 24.2-310, 24.2-413, 24.2-604.1, 24.2-626.1, 24.2-627, 24.2-712, 51.5-1, 51.5-43; Rehabilitation Act (29 USC 794); Voting Accessibility for Elderly and Handicapped Act , 52 USC 2101 et seq. (Fed.); Americans with Disabilities Act, 42 USC 12131 et seq. (Fed.); Help America Vote Act of 2002, 52 USC § 20901 et seq. (Fed.); Election Assistance Commission Advisory 2007-001 (Fed.) Consent Order entered October 4, 2000 by the United States Distric; National Voter Registration Act of 1993 ("Motor Voter Act") (Fed.); 107 Stat. 80 (Fed.); 42 USC 1973gg-5(a)(2)(B) (Fed.); t Court for the Eastern District of Virginia in the case styled, "National Coalition for Students with Disabilities Education and Legal Defense Fund v. Governor James S. Gilmore and Cameron Quinn," Case No. 00-1375-A

Last Assessment Period: 2/1/2002 to 4/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Vacancies to be Filled by Special Election

SOA.ELECT004

Vacancies in the U.S. Congress, General Assembly, a local governing body, certain constitutional officers and elected school boards shall be filled by special election. At the local level, if the vacant position is not that of a constitutional officer, the position may be filled temporarily by an interim appointment. The requirement for a special election overrides charter provisions that allow a governing body or school board to appoint a person to serve the entire remaining portion of a term.

Type: Compulsory Order

Agency: **Department of Elections**

Secretariat: Administration

Authority: Code of Virginia §§ 24.2-226, 24.2-228 and 24.2-682

Last Assessment Period: 4/1/2013 to 6/30/2013

Last Assessment Finding: Alter

Current Assessment Period:

Comment:

Cancellation of Voter Registration

SOA.ELECT005

General registrars shall cancel the registration of all persons known to be deceased or disqualified by reason of felony conviction or adjudication of incapacity, all persons known not to be U.S. citizens by reasons of reports from the DMV, and all persons for whom he receives official notification that the voter has moved outside of the Commonwealth.

Regarding felony convictions, the registrar must process the State Board of Election's most recent list of persons convicted of felonies annually, monthly, and within 21 to 14 days before a primary or general election, cancel the registration of any voter shown to have been convicted of a felony who has not provided evidence that his right to vote has been restored, and send prompt notice of the cancellation.

Regarding persons shown not to be U.S. citizens by the DMV's report, the registrar shall mail notice promptly informing the person of the DMV report, and permit the person to submit a sworn statement affirming that he is a U.S. citizen.

Type: Compulsory Order

Agency: **Department of Elections**

Secretariat: Administration

Authority: Code of Virginia § 24.2-114, 24.2-404 (A)(4), 24.2-409, and 24.2-427

Last Assessment Period: 7/1/2015 to 8/31/2015

Last Assessment Finding: Alter

Current Assessment Period:

Comment: Mandate expanded in 2013.

Compensation of Officers; Volunteer Officers

SOA.ELECT006

The governing body of each county, city, or town shall pay its election officers at least $75 for each full day of service rendered on each election day. The governing body shall also pay each officer $10 and mileage at the rate payable to members of the General Assembly for each time he delivers pollbooks and ballots to the polling place and each time he delivers returns and ballots to the appropriate official after the election closes. Officers may waive this compensation and serve as an unpaid volunteer officer.

Type: Compulsory Order

Agency: **Department of Elections**

Secretariat: Administration

Authority: Code of Virginia § 24.2-116

Last Assessment Period: 7/1/2014 to 8/31/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Public Notification Requirements for General Registrars

SOA.ELECT007

Notice of any adopted change in any election district, town, precinct, or polling place shall be mailed to all affected voters at least 15 days prior to the next general, special, or primary election.

Notice of a change in the location of the office of the general registrar shall be given by posting on the official website of the county or city, by posting at not less than 10 public places, or by publication once in a newspaper of general circulation within not more than 21 days in advance of the change or within seven days following the change.

The general registrar shall give notice of the date, hours, and locations for registration on the final day of registration at least 10 days before the final day. The notice for the final day shall be posted on the official website of the county or city, if applicable, and published at least once in a newspaper of general circulation. At least three days advance notice shall be given for other times and locations for voter registration. This notice shall be posted on the official website of the county or city, or announced at least twice on a television station serving the county or city.

Type: Compulsory Order

Agency: **Department of Elections**

Secretariat: Administration

Authority: Code of Virginia §§ 24.2-306 and 24.2-415

Last Assessment Period: 7/1/2014 to 8/31/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Municipalities to Pay Expenses of May Elections

SOA.ELECT008

Municipalities shall pay all expenses associated with May elections, including those costs incurred by the State Board of Elections.

Type: Compulsory Order

Agency: **Department of Elections**

Secretariat: Administration

Authority: Code of Virginia § 24.2-600; Item 86 (C), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 1/1/2014 to 3/31/2014

Last Assessment Finding: Eliminate

Current Assessment Period:

Comment: Procedures for shifting city and town elections from May to November are enumerated in §§ 24.2-222 and 24.2-222.1 of the Code of Virginia. Scheduled for FY14 assessment.

Superintendent of School Division Required

SOE.DOE001

School divisions are required to have a superintendent of schools. The superintendent must be appointed by the school board of the school division from the entire list of eligible candidates certified by the State Board of Education. The superintendent's contract term must expire on June 30; initial terms must be not less than two years nor more than four years. After the initial term, contracts may not exceed four years. School boards must appoint a new superintendent within 180 days after a vacancy but if the board has not appointed a superintendent within 120 days of a vacancy, the board must submit a report demonstrating its timely efforts to the Superintendent of Public Instruction. School boards are prohibited from renewing the superintendent's contract in the period after the election or appointment of school board members and before the members assume office. School boards must evaluate the superintendent annually based on the performance objectives in the Guidelines for Uniform Performance Standards and Evaluation Criteria for Teachers Administrators, and Superintendents, and the evaluation must include an overall summative rating and student academic progress as a significant component. Each school division shall provide for the necessary travel and office expenses of the superintendent and the superintendent must keep a detailed account of the expenses.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-58 et seq.; State Board of Education Regulations 8 VAC 20-22-10 et seq., 8 VAC 20-390-10 et seq.

Last Assessment Period: 4/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

School Board Employee Grievance Procedure

SOE.DOE002

School divisions must have prescribed grievance procedures covering all school employees except superintendents and probationary employees.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-79, 22.1-306 et seq.; State Board of Education Regulation 8 VAC 20-90-10 et seq.

Last Assessment Period: 4/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Felony/Child Abuse/Molestation/Crime of Moral Turpitude Offense Certification Required

SOE.DOE003

School boards shall require on applications for employment certification that the applicant has not been convicted of a felony or any offense involving the sexual molestation, physical or sexual abuse, or rape of a child; whether the applicant has been convicted of a crime of moral turpitude; and that the applicant has not been the subject of a founded case of child abuse and neglect. School boards must determine whether the applicant was the subject of a founded case of abuse and neglect by searching the in-state registry maintained by DSS, and take reasonable steps to determine whether the applicant was the subject of a founded case in another state. An applicant or employee who is the subject of a founded complaint of child abuse or neglect shall be denied employment and the school board must provide a copy of the information obtained from the registry to the applicant when so denied. Prior to awarding a contract for services that requires a contractor or his employees to have direct contact with students, school boards must require the contractor and said employee to certify that he has not been convicted of a felony involving the sexual molestation, physical or sexual abuse, or rape of a child, and whether he has been convicted of a crime of moral turpitude.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-296.1, 22.1-296.4; State Board of Education Regulation 8 VAC 20-22-10 et seq.

Last Assessment Period: 7/1/2011 to 8/31/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Teacher License Required

SOE.DOE004

School divisions may only employ as teachers those persons who hold licenses or provisional licenses issued by the State Board of Education. Teachers employed under federal Title I programs must be fully licensed and teaching in their areas of endorsement. Teachers seeking initial licensure or renewal of a license must have training in emergency first aid, cardiopulmonary resuscitation (CPR), and the use of automated external defibrillators. In addition, any individual licensed and endorsed to teach middle school civics or economics, or high school government or history who is seeking renewal of such license must demonstrate knowledge of Virginia history or state and local government. This requirement applies to the individual's next or initial renewal occurring after July 1, 2014.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-298.1, 22.1-299 et seq.; Chapter 726, 2013 Acts of Assembly; State Board of Education Regulations 8 VAC 20-22-10 et seq.,8 VAC 20-440-10 et seq.; P.L. 89-10 (Fed.); P.L. 114-95 (Every Student Succeeds Act 2015) (Fed.)

Last Assessment Period: 5/1/2001 to 6/30/2001

Last Assessment Finding: Retain

Current Assessment Period: 7/1/2016 to 8/31/2016

Comment: Scheduled for assessment in FY2017.

Licensed Principals and Assistant Principals

SOE.DOE005

Any principals or assistant principals employed by a school division must hold licenses as prescribed by the State Board of Education.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-293 et seq.; State Board of Education Regulations 8 VAC 20-22-10 et seq., 8 VAC 20-440-10 et seq.

Last Assessment Period: 4/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Background Checks & Fingerprinting of Employees & Contractors; Aliens & Sex Offenders Requirements

SOE.DOE006

School divisions shall require any individual who accepts a position of employment to undergo fingerprinting and a criminal history records check. Results of such criminal history records checks must be shared with school divisions with which reciprocity agreements for the exchange of such information exists. As a condition of awarding a contract for services that requires direct contact with students on school property during regular school hours or during school-sponsored activities, the school division must require the contractor and any relevant employee to provide certification that he or she has not been convicted of a felony or any offense regarding the sexual molestation or physical or sexual abuse or rape of a child. This does not apply to contractors in an emergency situation. School boards must ensure that public schools are registered with the Department of State Police to receive electronic notice of the registration or re-registration of any sex offender within the same or contiguous zip code area of that school division. School boards must develop policies about the availability of information regarding the sex offender registry for parents and develop protocols governing the release of children to persons who are not their parents. All school boards shall provide in every written contract that the contractor does not, and shall not during the performance of the contract for goods and services in the Commonwealth, knowingly employ an unauthorized alien.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 2.2-4311.1, 22.1-79; 22.1-79.3; 22.1-296.1; 22.1-296.2, Immigration Reform and Control Act of 1986, P.L. 99-603 (Fed.)

Last Assessment Period: 8/1/2011 to 9/30/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Minimum Instructional Personnel Requirements

SOE.DOE009

School divisions are required to employ a minimum number of licensed, full-time equivalent instructional personnel deemed sufficient to meet the instructional needs of students attending public schools as set forth in the Standards of Quality. School divisions receiving federal funds must comply with certain employment requirements set forth in the No Child Left Behind Act of 2001.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-253.13:2; State Board of Education Regulations 8 VAC 20-22-10 et seq., 8 VAC 20-90-10 et seq., 8 VAC 20-131-10 et seq., 8 VAC 20-410-10 et seq., 8 VAC 20-420-10 et seq., 8 VAC 20-440-10 et seq.; P.L. 89-10 (Fed.); P.L. 114-95 (Every Student Succeeds Act 2015) (Fed.)

Last Assessment Period: 2/1/2002 to 3/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

School Staffing Ratios

SOE.DOE010

School divisions are required to assign licensed instructional personnel in a manner that produces specific division-wide and school-wide ratios of students in average daily membership to full-time equivalent teaching positions, excluding special education teachers, principals, assistant principals, counselors, and librarians, according to ratios prescribed in the Code of Virginia. School boards must report to the public annually actual pupil teacher ratios excluding resource personnel and pupil/teacher ratios including resource teachers. School divisions must employ a sufficient number of principals, assistant principals, librarians, guidance counselors, elementary resource teachers, reading specialists, technical support, instructional technology resource teachers and/or data coordinators, instructional positions for prevention, remediation and intervention, instructional positions for students of limited English proficiency to meet the staffing requirements prescribed in the Standards of Quality. Superintendents must report annually the division-wide ratios of students to full-time equivalent teaching positions for each grade and school. After September 30 of any school year, anytime the number of students in a class exceeds the class size limit established, the local school division shall notify the parent of each student in such class of such fact no later than 10 days after the date on which the class exceeded the class size limit. Such notification shall state the reason that the class size exceeds the class size limit and describe the measures that the local school division will take to reduce the class size.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-253.13:2; State Board of Education Regulation 8 VAC 20-22-10 et seq.; P.L. 89-10 (Fed.), P.L. 114-95 (Every Student Succeeds Act 2015) (Fed.)

Last Assessment Period: 2/1/2002 to 3/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Provision of School Support Services

SOE.DOE011

School divisions are required to provide those support services necessary for the efficient and cost-effective operation and maintenance of public schools; these positions must be funded from basic aid pursuant to the Appropriation Act.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:2; State Board of Education Regulation 8 VAC 20-131-240

Last Assessment Period: 2/1/2002 to 3/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Professional Development for School Board Members

SOE.DOE012

School boards must require their members to participate annually in professional development on personnel policies and practices; evaluation of personnel; curriculum, and instruction; use of data in planning and decision making; current issues in education; and other areas as specified in the Standards of Quality as part of their service on the local board. In addition, they must require the division superintendent to participate annually in professional development activities at the local, State, or national levels, including the Standards of Quality, Board of Education regulations, and the Guidelines for Uniform Performance Standards and Evaluation Criteria for Teachers, Principals, and Superintendents.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:5

Last Assessment Period: 3/1/2002 to 4/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Management of Student Scholastic Records SOE.DOE013

School divisions must comply with State and federal requirements for the management of and limitation of access to students scholastic records, including prohibition on transmitting personally identifiable information to a federal government agency or an authorized representative of such agency except as required by federal law or regulation. School divisions must notify annually the parent, guardian or other person having control or charge of a student of their rights under the federal Family Educational Rights and Privacy Act (20 U.S.C. § 1232g) and related regulations, notify of the right to review, and to request an amendment of, the student's scholastic record, and must make the student's scholastic record available to them for inspection during the regular school day. School divisions must also notify, as soon as practicable, the parent of any student affected by disclosures in violation of FERPA and must include information on the (i) date, estimated date, or date range of the disclosure; (ii) type of information that was or is reasonably believed to have been disclosed; and (iii) remedial measures taken or planned in response to the disclosure. Local school boards must assign unique student identification numbers, not related to or derived from social security numbers, to each student enrolled in a public elementary or secondary school.

When a student transfers from a local school division, that local school division shall obtain written or electronic documentation of the student's transfer before making any status classification in an information management system prescribed by the Board of Education. The school division superintendent or his designee must transfer the scholastic record of pupils to designated juvenile correctional centers, local jails, or detention centers within five work days. The superintendent or designee, or other school personnel who unlawfully disclose information obtained pursuant to § 16.1-305.1 are guilty of a Class 3 misdemeanor. The principal or designee must record either the official state birth number from the pupil's birth record into the pupil's permanent school record or an affidavit setting forth the pupil's age and explaining the inability to present a certified copy of the birth record.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-3.1, 22.1-254.02, 22.1-287 et seq., and 22.1-289; State Board of Education Regulations 8 VAC 20-150-10 et seq.; Family Educational Rights and Privacy Act (Fed.); 20 USC 1232g (Fed.); 34 CFR 99 (Fed.), and the Individuals with Disabilities Education Act, 20 USC §§ 1400-1485, 34 CFR 300.

Last Assessment Period: 5/1/2003 to 6/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Comprehensive Plan

SOE.DOE014

Local school boards are required to review and adopt biennially a division-wide comprehensive, unified, long-range plan developed with staff and community involvement, including at least one public hearing to solicit comment. The plan must include: objectives and progress on achievement of those objectives; an enrollment forecast and a plan for managing those changes; an evaluation of and, if appropriate, a plan for establishing regional educational programs; a technology plan; a needs assessment; evidence of public participation; any corrective action plans required by law; a plan for parent and family involvement; and high-quality professional development programs that support the recruitment, employment, and retention of qualified teachers and principals. By November 1 of each odd-numbered year, the school board must report to the public the progress on objectives it has made for the previous two school years. Each public school must also prepare a comprehensive plan that shall the school board must consider in the development of the division-wide comprehensive plan. The plan must include strategies for improving student achievement, particularly the achievement of educationally-at-risk students.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-253.13:5 and 22.1-253.13:6

Last Assessment Period: 3/1/2002 to 4/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Annual Report on Schools and Attendance Records

SOE.DOE015

On or before September 15 of each year school divisions are required to submit an annual report to the State Board of Education covering the work of the schools for the year ending the preceding June 30. Teachers must keep an accurate daily record of attendance of all children in accordance with regulations prescribed by the Board of Education. Principals must report student enrollment information to the division superintendent within 10 days of the start of school.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-81, 22.1-259, 22.1-260

Last Assessment Period: 4/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Records of Non-Resident Students with Disabilities

SOE.DOE016

School divisions are required to keep an accurate record of each child who is not a resident of the school division but who is attending a school in the division and to certify this information to the Board of Education by July 1 following the end of the school year in order to receive proper reimbursement. School divisions must provide free and appropriate education, including special education, for children with disabilities who reside within their jurisdiction as well as children enrolled in a full-time virtual school program of the division. School divisions are also required to certify their records and plans for educating such children to the State following the end of the school year.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-101.1, 22.1-215, 22.1-254; State Board of Education Regulations, 8 VAC 20-81-10 et seq.

Last Assessment Period: 6/1/2006 to 7/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Verification of Student Immunization

SOE.DOE018

School divisions must determine that students have been immunized before they can be admitted into the public school system. Certain medical and religious exemptions are allowed. Compliance with the McKinney-Vento Homeless Education Assistance Improvements Act is required when admitting students who are homeless and in need of immunizations. Every school must maintain a school immunization record for each student as part of the mandatory permanent student record, transfer the school immunization record whenever the school transfers any student's permanent academic or scholastic records, and file a report with the local health department within 30 calendar days after the beginning of each school year or entrance of a student.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-271.2; 42 USC 11431 et seq. (McKinney-Vento Homeless Education Assistance Improvements Act) (Fed.)

Last Assessment Period: 6/1/2006 to 7/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Attendance Guidelines for HIV-Infected Student and Persons with Infectious Diseases

SOE.DOE019

School divisions must adopt guidelines for school attendance for children with human immunodeficiency virus. Guidelines must be consistent with the model developed by the State Board of Education. School divisions must provide training to school personnel having direct contact with students on the effects of blood-borne pathogens or certain other infections. School division superintendents shall report to their local health director any incident in which any employee is involved in a possible exposure-prone incident. Persons suffering with contagious or infectious diseases shall be excluded from public schools while in that condition.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-271.3, 22.1-272, 29 CFR 1910.1030 (Blood Borne Pathogen Standards) (Fed.)

Last Assessment Period: 4/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Provision of Free Education

SOE.DOE022

School divisions must provide free education to each person of school age who resides in the division, to include school-age persons who are homeless or residing in the division under a kinship care arrangement.Superintendents must prepare an estimate of funds needed for public schools by prescribed expenditure classifications. Appropriations from localities shall not be less than the cost apportioned to the governing body for maintaining an educational program meeting the standards of quality. School divisions shall not charge tuition to children of active members of the military who are ordered to locate to military housing located in a different school division than the one the child is attending at the time of the order to relocate. These children shall be allowed to continue attending school in the school division they attended immediately prior to the relocation.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: The Constitution of Virginia, Article VIII, § 1; Code of Virginia §§ 22.1-2, 22.1-3, 22.1-94, 22.1-254 et seq.; State Board of Education Regulations, 8 VAC 20-210-10; 42 USC 11431 et seq. (McKinney-Vento Homeless Education Assistance Improvements Act) (Fed.)

Last Assessment Period: 6/1/2006 to 7/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Provision of Free Textbooks

SOE.DOE023

School divisions must provide free textbooks and workbooks required for courses of instruction for all public school children. Local school boards shall either enter into written term contracts or issue purchase orders on an as-needed basis with publishers of textbooks approved by the Board of Education for use in the public schools. Every school board shall order directly from the respective publishers the books needed to supply the public schools in the school division. With the approval of the local school board and the publisher, any private school within the school division that so requests may purchase from the local school board's contract with the publisher.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: The Constitution of Virginia, Article VIII, § 3; Code of Virginia §§ 22.1-238, 22.1-241, 22.1-243

Last Assessment Period: 6/1/2006 to 7/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Use of Approved Textbooks

SOE.DOE024

School divisions must select and utilize instructional materials approved by the Board of Education, except that school divisions may utilize textbooks not approved by the Board provided such books are selected in accordance with regulations promulgated by the Board. Every school must have a copy of the list of textbooks prescribed for use in that division.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-8, 22.1-238 et seq.; State Board of Education Regulations. 8VAC20-720-170, 8VAC20-490-40.

Last Assessment Period: 4/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Length of School Term

SOE.DOE025

In order for a school division to receive its full allocation of State aid, all schools within the division must: maintain a length of term that does not fall below 180 days or 990 hours in any year, make up days missed due to severe weather conditions or other emergency situations according to the prescribed schedule of make-up days, or receive a waiver from the Board of Education for school closings resulting from severe weather conditions or a declared state of emergency.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-98; State Board of Education Regulations 8 VAC 20-521-10 et seq.

Last Assessment Period: 8/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local School Division Policies

SOE.DOE026

School divisions are required to maintain and follow up-to-date policies; ensure that policies take into account the views of teachers, parents, and other concerned citizens; and advise the public annually of the placement and availability of policies. A current copy of the school division's policies, including the Student Conduct Policy, must be posted on the school divisions' Websites. School divisions must develop policies regarding the distribution of political materials by students and the administration of surveys or questionnaires to students. In any administration of a questionnaire or survey requesting students' sexual information, mental health information, medical information, information on health risk behaviors pursuant to 32.1-78.8, other information on controlled substance abuse, or any other information the school board deems sensitive in nature, the school board must notify parents in writing not less than 30 days prior to its administration. The notice must include the nature and types of questions, the purposes and age-appropriateness of the survey, the use of information collected, who will have access to the information, the steps taken to protect student privacy, and whether and how any findings or results will be disclosed. Unless required by federal or state law or regulation, school personnel administering any such questionnaire or survey must not disclose personally identifiable information. School boards also must develop policies and procedures addressing complaints of sexual abuse of a student by a teacher or other school board employee; the identification and handling of suspected concussions in students; prohibited use of electronic cigarettes on a school bus, on school property, or at a school-sponsored activity; and a non-restroom location designated in each school in the division for employees or students who are mothers to express milk for their babies through age one. In addition, each school board shall annually provide to parents educational information on eating disorders for public school students in grades five through twelve consistent with Department of Education guidelines.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-79.3, 22.1-253.13:7, 22.1-271.5, 22.1-271.6, 22.1-273.2, 22.1-79.5, 22.1-79.6.

Last Assessment Period: 7/1/2013 to 8/31/2013

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Professional Development for Teachers and Administrators

SOE.DOE027

School divisions are required to provide a program of high quality professional development, as specified in the Standards of Quality, and must review their professional development program annually. See SOE.DOE014

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-253.13:5, State Board of Education Regulation 8 VAC 20-450-10 et seq.

Last Assessment Period: 3/1/2002 to 4/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Standards of Accreditation Requirements

SOE.DOE030

School divisions must maintain schools that are fully accredited pursuant to the Standards of Accreditation as prescribed by the Board of Education and report annually in a public session the accreditation status of all schools. Each school board must submit corrective action plans for any schools within its school division designated as not meeting the standards as approved by the Board. When failure of schools within a division to achieve full accreditation status is related to division-level failure to implement the Standards of Quality or perform certain actions, the Board may require a division-level academic review and submission of a division corrective action plan. If the Board determines that the proposed corrective action plan is not sufficient to enable all schools within the division to achieve full accreditation, the Board may return the plan to the local school board with directions to submit an amended plan pursuant to Board guidance. The results of the corrective action plan must be reported by the local school board, along with annual progress reports, in public session. If the school division fails to make adequate and timely progress in implementing the corrective action plan or actions identified by an academic review process, then the Board of Education shall withhold payment of some or all At-Risk Add-On funds otherwise allocated to the affected division. The local school board shall be given an opportunity to correct its failure and, if successful in a timely manner, may have some or all of its At-Risk Add-On funds restored at the Board of Education's discretion.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-19, 22.1-253.13:3; State Board of Education Regulations 8 VAC 20-131-10 et seq.; Item 139 (C)(9)(e)(4), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 4/1/2002 to 5/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Elementary and Secondary Education Act Regulations

SOE.DOE031

School divisions receiving funds from the Elementary and Secondary Act of 1965, reauthorized by the Every Student Succeeds Act of 2015, must follow certain federal laws and administrative regulations with respect to student achievement, accountability, teacher quality, parental options, and other requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: P.L. 114-95 (Every Student Succeeds Act 2015) (Fed.)

Last Assessment Period: 2/1/2001 to 3/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Minimum Standards for New Construction and Renovation of School Facilities

SOE.DOE032

All school construction or renovation plans must be approved in writing by the division superintendent with an architect or engineer's statement to insure compliance with minimum standards adopted by the State Board of Education and the Uniform Statewide Building Code, and must be submitted to the Superintendent of Public Instruction.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-138, 22.1-140, Code of Virginia Title 36, Ch. 6; State Board of Education Regulation 8 VAC 20-131-260

Last Assessment Period: 4/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Nondiscriminatory Access to Vacation School or Summer Camp

SOE.DOE033

Any vacation school or summer camp operated by a school division or two or more school boards shall be available to persons of school age within the applicable jurisdiction on a nondiscriminatory basis regardless of whether a person attends public or private schools.

Type: Regulation of Optional Activity

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-211 and 22.1-212

Last Assessment Period: 10/1/2001 to 12/31/2001

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Radon Testing of Public Schools

SOE.DOE035

All public school buildings must have been tested for radon by July 1, 1994. Buildings and additions opened after that date must also be tested. Radon tests shall be conducted pursuant to Environmental Protection Agency procedures for radon measurements in schools, and the division superintendent must report test results to the Department of Health. Each school is to maintain files on its radon test results and make these files available for review.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-138

Last Assessment Period: 6/1/2005 to 7/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

School Transportation

SOE.DOE037

School divisions must comply with Federal Motor Vehicle Safety Standards and State laws and regulations for school buses, equipment, insurance, and driver qualifications if transportation is provided for children. Persons under age 18 are not permitted to drive school buses. Bus drivers must have written employment agreements and substitute bus drivers must meet the same requirements as regular drivers. Bus drivers must not use any wireless telecommunications device, whether handheld or otherwise, while driving a school bus, except in case of an emergency, or when the vehicle is lawfully parked and for the purposes of dispatching. Two-way radio devices authorized by the owner of the school bus are allowed. Schools must conduct drills in leaving buses in emergencies within 90 days of the start of the school term. Any new bus placed into service after July 1, 2007 must be equipped with certain warning devices and all buses must have a mechanical or electrical device for cleaning snow, rain, moisture, or other matter from the windshield. School buses must be painted yellow with the words "School Bus" on the front and rear in letters at least eight inches high. School divisions must ensure certain minimum amounts of vehicle liability insurance. The locality or school board shall be subject to action up to, but not beyond, the limits of valid and collectible insurance. School divisions must provide proof of required vehicle insurance to the Superintendent of Public Instruction in order to receive state school funds. If a school board sells or transfers school buses to another school division or purchases a used school bus, the buses must conform to construction and design specifications effective in the Commonwealth on the date of manufacture and have a valid Virginia State Police inspection. Buses sold or purchased must not have reached the end of useful life according to the school bus replacement schedule utilized by the Department of Education.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-176 et seq., 22.1-190, 22.1-194, 33.2-263, 40.1-100, 46.2-328, 46.2-339, 46.2-340, 46.2-440, 46.2-919, 46.2-919.1, 46.2-1055, 46.2-1089, 46.2-1090, 46.2-1090.1, 46.2-1091, 46.2-1105; State Board of Education Regulation 8VAC 20-70-10 et seq.; P. L. 103-272 (Fed.); 49 USC 105 (Fed.); 49 USC 30125 (Fed.); 49 CFR 571 et seq. (Fed.)

Last Assessment Period: 7/1/2014 to 8/31/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Scheduled for FY15 Assessment.

School Nutrition Programs

SOE.DOE039

School divisions that agree to participate in the federal school nutrition programs must comply with regulations covering meal requirements; determination and service of free, reduced price, and paid meals served; financial and resource management; nonprofit status; procurement; effective use of commodity foods; record keeping; sanitation and health standards; food safety; program evaluation; and establishment of a wellness policy.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Child Nutrition Act of 1966 (Fed.); National School Lunch Act (Fed.); 42 USC 1751 et seq. (Fed.); 42 USC 1771 et seq. (Fed.); P.L. 108-265; 7 CFR 210 et seq. (Fed.) Healthy, Hunger Free Kids Act of 2010 (Pub. L. 111–296)

Last Assessment Period: 1/1/2002 to 3/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Regulation of Food and Drink Sales

SOE.DOE042

School divisions must comply with State and Federal requirements regulating the sale of food and drink during lunch periods. In addition, each local school board shall adopt the state guidelines for competitive foods as part of its local wellness policy. Each public school is limited to no more than 30 school-sponsored fundraisers during each school year during which food that does not meet the nutrition guidelines for competitive foods may be sold to students.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-207.4; State Board of Education Regulation 8 VAC 20-290-10; National School Lunch Act (Fed.); Child Nutrition Act of 1966 (Fed.); 42 USC 1751 et seq. (Fed.); 42 USC 1771 et seq. (Fed,); 7 CFR 210.11 (Fed.); P.L. 108-265 (Fed.)

Last Assessment Period: 2/1/2002 to 4/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

School Breakfast Programs

SOE.DOE043

School divisions are required to establish school breakfast programs in any school in which 25 percent or more of the students are approved in the federally funded free or reduced-price lunch program. Further, school divisions also must annually report on their school breakfast programs to the Department of Education, including the numbers and socioeconomic characteristics of the students participating in the program.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-207.3; State Board of Education Regulation 8 VAC 20-580-10 et seq.; National School Lunch Act (Fed.); Child Nutrition Act of 1966 (Fed.); 42 USC 1751 et seq. (Fed.); 42 USC 1771 et seq. (Fed.); 7 CFR 210 et seq. (Fed.); P.L. 108-265 (Fed.); Healthy, Hunger Free Kids Act of 2010 (Pub. L. 111–296).

Last Assessment Period: 3/1/2002 to 4/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Program of Instruction for Grades K-12

SOE.DOE044

School divisions must develop and implement a program of instruction and adopt a curriculum that is aligned to the Standards of Learning for grades K through 12, as prescribed by the Code of Virginia, and that meets or exceeds the requirements of the Board of Education. The program of instruction shall emphasize essential knowledge and skills, concepts and processes, and the development of the ability to apply such skills and knowledge to the preparation for eventual employment or appropriate training and lifelong learning. In addition, school divisions must implement middle school career exploration opportunities, career and technical education; educational objectives in middle and high school that emphasize economic education and financial literacy; early identification, diagnosis and assistance for students with reading and math problems; art, music, and physical education in the elementary instruction program; a program of physical fitness; a program of student services; and a program of instruction in the high school Virginia and U.S. Government course on all information and concepts contained in the civics portion of the U.S. Naturalization Test. School divisions must also collect and analysize data to evaluate and make decisions about instructional programs.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:1

Last Assessment Period: 4/1/2002 to 5/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Instruction Standards for K-3

SOE.DOE045

School divisions are required to implement programs in grades K through 3 that emphasize developmentally appropriate learning to enhance success.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:1(D)(1)

Last Assessment Period: 4/1/2002 to 5/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Programs to Increase Numbers of Students Earning Diplomas

SOE.DOE046

School divisions are required to implement programs based on prevention, intervention, or remediation designed to increase the number of students who earn a high school diploma and prevent them from dropping out of school.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:1(D)(2)

Last Assessment Period: 5/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Drugs, Substance Abuse, and Drunk Driving

SOE.DOE047

School divisions are required to provide instruction concerning drugs and drug abuse in the elementary and secondary health education programs, and the public safety hazards and dangers of substance abuse, underage drinking, and drunk driving. They must also maintain ongoing in-service substance abuse prevention programs for all school personnel.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-206; State Board of Education Regulations 8 VAC 20-310-10 et seq.

Last Assessment Period: 6/1/2005 to 7/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Physical and Health Education

SOE.DOE048

School divisions are required to emphasize physical and health education throughout their curricula in accordance with State regulations. In addition, local school boards must implement a program of physical activity available to all students in grades kindergarten through five consisting of at least 20 minutes per day or an average of 100 minutes per week during the regular school year and available to all students in grades six through 12 with a goal of at least 150 minutes per week on average during the regular school year. Graduation requirements must include a minimum of two courses in Physical Education and Health.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-207, 22.1-253.13:1; State Board of Education Regulations 8 VAC 20-131-10 et seq., 8 VAC 20-320-10

Last Assessment Period: 6/1/2005 to 7/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: These provisions from the 2016 General Assembly will go into effect for the 2018-2019 school year.

Funds for Driver Education Standards

SOE.DOE050

School divisions must comply with the standardized program and regulations established by the Board of Education for driver education programs in order to receive State funds for driver education programs.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-205, 46.2-334; State Board of Education Regulation 8 VAC 20-340-10 et seq.

Last Assessment Period: 8/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Identification and Enrollment of Gifted Students

SOE.DOE051

School divisions are required to provide a comprehensive plan of services for gifted students from grades K through 12, and must implement early identification of gifted students and the enrollment of such students in appropriately differentiated instructional programs. Local school divisions must report annually to the State on such programs.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-18.1, 22.1-253.13:1(D); State Board of Education Regulation 8 VAC 20-40-10 et seq.

Last Assessment Period: 5/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Educational Alternatives for Students

SOE.DOE052

School divisions are required to implement educational programs for students whose needs are not met in programs prescribed in the Standards of Quality. Such students shall be counted in average daily membership in accordance with the regulations of the Board of Education.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-209.1:2, 22.1-253.13:1(D); State Board of Education Regulation 8 VAC 20-330-10 et seq.

Last Assessment Period: 5/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Programs for Educationally At-Risk Students

SOE.DOE053

School divisions are required to develop and implement programs of prevention, intervention, and remediation, including summer school, for students who are educationally at-risk, including but not limited to those who fail to achieve a passing score on any Standards of Learning assessment in grades three through eight; or who fail an end-of-course test required for the award of a verified unit of credit required for the student's graduation. Prevention, intervention, and remediation programs must include components that are research based. Local school boards must offer an early identification program for students with difficulties in reading and mathematics. In addition, local school divisions shall provide targeted mathematics remediation and intervention to students in grades six through eight who show demonstrated computational deficiencies; shall provide reading intervention services to students in kindergarten through grade three who demonstrate deficiencies based on their individual performances based on the SOL reading test or any reading diagnostic test that meets department criteria and shall provide algebra readiness intervention services to students in grades six through nine who are at risk of failing the Algebra I end-of-course test. Local school divisions shall report the results of the diagnostic tests to the Department of Education on an annual basis, at a time to be determined by the Superintendent of Public Instruction. Each student who receives intervention services will be assessed again at the end of that school year. Funds appropriated for prevention, intervention, and remediation; summer school remediation; at-risk; or algebra readiness intervention services may be used to meet these requirements.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:1 (C) and (D) and 22.1-253.13:3 (C)

Last Assessment Period: 7/1/2015 to 8/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Plans and Procedures for Measuring Progress of At-Risk Students

SOE.DOE054

School divisions are required to implement a plan to make achievements for educationally at-risk students a division-wide priority. The plan must include procedures for measuring the progress of such students. A local school board may establish after school programs designed to prevent at-risk youth from engaging in illegal or gang-related activities for school aged children. Local funds appropriated for K through 12 education may be used to support such after-school programs.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-253.13:1(D) and 22.1-199.5; State Board of Education Regulations 8 VAC 20-630-10 et seq.

Last Assessment Period: 6/1/2002 to 7/31/2002

Last Assessment Finding: Alter

Current Assessment Period:

Comment:

Adult Education Programs

SOE.DOE055

School divisions are required to implement education programs for adults functioning below the high school completion level.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:1(D); State Board of Education Regulation 8 VAC 20-30-10 et seq.; Workforce Investment Act of 1998 (Fed.); P.L. 105-220 (Fed.)

Last Assessment Period: 6/1/2002 to 7/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Student Services in Grades K-12

SOE.DOE058

School divisions are required to provide a program of student services for grades K through 12 that shall be designed to aid students in their educational, social, and career development. School boards must also implement an agreement for obtaining an associate's degree or a one-year Uniform Certificate of General Studies from a community college concurrent with a high school diploma that also specifies the credit available for dual enrollment courses and Advanced Placement courses with qualifying exam scores of three or higher. School boards must also notify students and their parents of the availability of the community college options, dual enrollment and advanced placement classes, the International Baccalaureate Program, and Academic Year Governor's School Programs, the qualifications for enrolling in such classes and programs, and the availability of financial assistance to low-income and needy students to take the Advanced Placement and International Baccalaureate examinations. School divisions must provide annual web site notice of the availability of postsecondary education and employment data published by the State Council of Higher Education.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:1

Last Assessment Period: 7/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Administration of Assessment Instruments SOE.DOE059

School divisions are required to administer appropriate assessments which may include criterion-referenced tests, teacher-made tests, and other assessment instruments, select appropriate industry certification and state licensure examinations, and shall include the Standards of Learning Assessments and the National Assessment of Educational Progress State-by-State Assessment. The Standards of Learning assessments administered to students in grades three through eight shall not exceed (a) reading and mathematics in grades three and four; (b) reading, mathematics, and science in grade five; (c) reading and mathematics in grades six and seven; (d) reading, writing, and mathematics in grade eight; (e) science after the student receives instruction in the grade six science, life science, and physical science Standards of Learning and before the student completes grade eight; and (f) Virginia Studies and Civics and Economics once each at the grade levels deemed appropriate by each local school board. The same criteria must be used for student eligibility, regardless of grade level of course, for an expedited retake of any SOL test with the exception of the writing SOL test. Each school board shall annually certify that it has provided instruction and administered an alternative assessment, consistent with Board guidelines, to students in grades three through eight in each Standards of Learning subject area in which a Standards of Learning assessment was not administered during the school year. All students in grades K-8 are expected to take the SOL assessments, including students with disabilities as prescribed by their IEP or 504 plan and limited English proficient learners as determined by a school-based committee. Each school division shall analyze and report annually to the public, in compliance with any criteria that may be established by the Board of Education, the results from the Stanford 9 Assessment, if administered, industry certification examinations, and the Standards of Learning Assessments.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:3; State Board of Education Regulation 8 VAC 20-131-10 et seq.; Individuals with Disabilities Education Improvement Act of 2004 (Fed.); 20 USC 1412 (Fed.); 34 CFR 300.320 (Fed.)

Last Assessment Period: 7/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Student Achievement and Graduation Requirements SOE.DOE061

School divisions are required to have procedures for locally awarded verified units of credit, and to award diplomas to all secondary school students who earn the units of credit prescribed by the Board of Education, pass the prescribed tests, and meet such other requirements as may be prescribed by the Code of Virginia and the school division and approved by the Board of Education. For students needing credits for graduation, school divisions shall notify parents of the need and the student's right to remain in school in accordance with specified provisions of the law. In addition, if a school division offers elective courses in American Sign Language, it must grant academic credit for course completion on the same basis as the successful completion of a foreign language course. Beginning with first-time ninth grade students in the 2016-2017 school year, students seeking a standard or advanced diploma shall be trained in emergency first aid, cardiopulmonary resuscitation, and the use of automated external defibrillators.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-207.5 and 22.1-253.13:4; Chapter 473, 2004 Virginia Acts of Assembly; State Board of Education Regulation 8 VAC 20-131-10 et seq.

Last Assessment Period: 7/1/2013 to 8/31/2013

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Competency-Based Career and Technical Education Program and Standards

SOE.DOE062

School divisions are required to develop a plan for and implement competency-based career and technical education programs, in accordance with state and federal regulations, to promote knowledge of careers and types of employment, and to ensure that students are prepared to enter employment and continue formal education. The programs must include instruction about employment opportunities, labor market needs, applied basic skills, job-seeking skills, and career guidance for all secondary students, including those identified as disabled. Middle schools must offer at least one career and technical offering and each secondary school must provide at least 11 course offerings in three career and technical program areas. Plans for such programs, developed with the input of business and industry representatives and the Career and Technical Education Advisory Committee, must be submitted to the Superintendent of Public Education in addition to annual funding applications. School boards shall report annually to the Board of Education the number of Board-approved industry certifications obtained, state licensure examinations passed, national occupational competency assessments passed, Virginia workplace readiness skills assessments passed, and the number of career and technical education completers who graduated. Beginning with first-time ninth grade students in the 2013-2014 school year, requirements for the standard diploma must include earning an approved career and technical education (CTE) credential that has been approved by the Board except when a CTE credential in a particular subject area is not readily available or appropriate or does not adequately measure student competency, in which case the student shall receive satisfactory competency-based instruction in the subject area to satisfy the requirements.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-253.13:1(D)(3) and (4) and 22.1-253.13:4, and 22.1-227.1; State Board of Education Regulation 8 VAC 20-120-10 et seq.; Carl D. Perkins Vocational and Technical Education Act of 1998 (Fed.); P.L. 105-332 (Fed.); 20 USC 2301 (Fed.); 34 CFR 400 et seq. (Fed.)

Last Assessment Period: 7/1/2015 to 8/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Automotive technology programs offered by school divisions and the personnel teaching such programs shall be nationally certified beginning July 1, 2013.

Career and Technical Advisory Council

SOE.DOE066

School divisions must establish a general advisory council to provide advice on current job needs and the relevancy of career and technical programs offered to assist in the development of the local plan and application. Councils must be composed of representatives from business, industry, and labor including representation of both sexes and racial and ethnic minorities.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: State Board of Education Regulation 8 VAC 20-120-40, 50, and 70

Last Assessment Period: 8/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Career and Technical Education Student Organizations

SOE.DOE067

Career and technical student organizations must be an integral and active part of each career and technical program established by school divisions. All career and technical students must be provided opportunities to participate in instructional activities of the organization whether or not dues are paid.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-227, State Board of Education Regulation 8 VAC 20-120-160; Carl D. Perkins Vocational and Technical Education Act of 1998 (Fed.); P.L. 105-332 (Fed.); 20 USC 2301 (Fed.); 34 CFR 400 et seq. (Fed.)

Last Assessment Period: 8/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Early Identification and Provision of Special Education Services for Students with Disabilities

SOE.DOE069

School divisions are required to implement early identification of students with disabilities and to enroll such students in appropriate instructional programs consistent with State and federal law.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:1(D); State Board of Education Regulation 8 VAC 20-80-10 et seq.; Individuals with Disabilities Education Improvement Act of 2004 (Fed.); P. L. 108-446 (Fed.); 20 USC 1400 et seq. (Fed.); 34 CFR 300, 303 (Fed.)

Last Assessment Period: 8/1/2002 to 9/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Special Education Plan

SOE.DOE071

School divisions are required to submit an annual plan for special education for the following year and a report indicating the extent to which the plan required by law for the preceding year has been implemented as part of an application for funding. The plan also include compliance with state and federal requirements, budgets outlining the use of federal funds, and any revisions to agreements regarding special education services for students in a regional or local jail. Further, the plan must be approved by the local special education advisory committee and school board and presented to the state special education advisory board prior to submission to the Virginia Department of Education.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-215; State Board of Education Regulations 8VAC20-81-230.; Individuals with Disabilities Education Improvement Act of 2004 (Fed.); 20 USC 1400 et seq. (Fed.); 34 CFR 300, 303 (Fed.)

Last Assessment Period: 10/1/2006 to 11/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Special Education Program Standards

SOE.DOE074

School divisions are required to follow the special education program standards that specify criteria for class size maximums and the operations of programs for students with disabilities. In addition, school divisions must meet criteria for special education teacher endorsements, educational interpreters for students with hearing impairments, and student placements.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: State Board of Education Regulations 8VAC20-31-230 et seq.; Individuals with Disabilities Education Improvement Act of 2004 (Fed.); P. L. 108-446 (Fed.); 20 USC 1400 et seq. (Fed.); 34 CFR 300, 303 (Fed.)

Last Assessment Period: 10/1/2006 to 11/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Reduced Class Size Grant

SOE.DOE075

School divisions receiving Reduced Class Size grant funds to reduce pupil-teacher ratios in grades K through 3 are required to match the grant funds on the basis of the locality's composite index of local ability to pay. Recipients must also notify the Department of Education of their intent to implement reductions by August 1 each year, report compliance by March 31 of each year, and provide compliance data for each participating school of a September 30 pupil/teacher ratio in grades K through 3.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-199.1; Item 139 (C)(10), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 8/1/2002 to 9/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

At-Risk Four-Year-Old Preschool Grant

SOE.DOE076

School divisions receiving At-Risk Four-Year-Old Preschool grant funds to provide quality preschool programs for at-risk four-year-olds and five-year-olds not served by other programs are required to match the grant funds on the basis of the locality's composite index of local ability to pay, capped at 0.50. Any locality which desires to participate in this grant program must submit a proposal through its chief administrator (county administrator or city manager) by May 15 each year and specific program requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-199.1; Item 139 (C)(14), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 8/1/2002 to 9/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virtual Virginia and Multi-Division Online Providers

SOE.DOE078

The Virtual Virginia program creates a statewide delivery of credit courses and staff development programs to address equity and educational disparity problems in schools across Virginia and must be made available to every public high school. This electronic classroom program is a distance-learning program that provides advanced level courses to students in areas of the Commonwealth where a qualified teacher is unavailable, or the number of qualifying students is too few to justify employment of a full-time teacher. Additionally, school divisions may offer online courses to other school divisions through the Virtual Virginia Program upon approval of the course and any local fee or offer instruction to students in multiple school divisions by entering into a contract with any private organization, educational institution, or nonprofit virtual school organization that has been approved by the Superintendent of Public Instruction to operate as a multi-division online provider. The local share of costs associated with the operation of the Virtual Virginia program shall be computed using the composite index of local ability-to-pay.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-212.2, and 22.1-212.23 et seq.; Item 139 (C)(23), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 9/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Limited English Proficient Students

SOE.DOE079

School divisions receiving federal funds shall use them to increase the English proficiency of limited English proficient children by providing high quality language instruction programs based on scientific research. Key components of such programs include a process for identifying students of limited English proficiency, a means of assessing their language skills, and procedures for placing them in an appropriate education program. Local school divisions must employ 17 full-time equivalent instructional positions for each 1,000 students identified as having limited English proficiency and include training for principals and teachers in the skills needed to work with such students.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-212.1, 22.1-253.13:1, 22.1-253.13:2, 22.1-253.13:5; P.L. 89-10 (Fed.); Equal Educational Opportunities Act of 1974 (Fed.); 20 USC 1703 (Fed.); P.L. P.L. 114-95 (Every Student Succeeds Act 2015) (Fed.)

Last Assessment Period: 9/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Family Life Education Materials

SOE.DOE081

School divisions may implement the Standards of Learning for the Family Life Education program as established by the State Board of Education or a Family Life Education program consistent with the guidelines developed by the Board with the goals of reducing the incidence of pregnancy and sexually-transmitted diseases and substance abuse among teenagers. The curriculum must include dating violence and the characteristics of abusive relationships to be taught at least once in middle school at least twice in high school. Any high school family life education curriculum offered by a local school division shall incorporate age-appropriate elements of effective and evidence-based programs on the prevention of dating violence, domestic abuse, sexual harassment, and sexual violence. School divisions are required to develop a summary of the family life education program and to distribute the summary to parents or guardians on request. A complete copy of all printed materials and a description of all audiovisual materials must be kept in the school library or office and made available for review to any parent or guardian during school office hours before and during the school year.

Type: Regulation of Optional Activity

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-207.1, 22.1-207.1:1, 22.1-207.2; State Board of Education Regulation 8 VAC 20-131-170

Last Assessment Period: 9/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Reenrollment Plans

SOE.DOE082

School division superintendents or their designee are required to participate in the development of reenrollment plans for juveniles who are released from a juvenile correctional center. The superintended must also provide the pupil's scholastic records and the terms and conditions of any expulsion which was in effect at the time of commitment or which will be in effect upon release. Whenever the division superintendent is notified that a pupil who last attended a school within the school division is a pupil in a school of a juvenile correction center, a local jail, or detention center, the superintendent or designee must transfer the pupil's scholastic record within five work days.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 16.1-293, 22.1-17.1, 22.1-288.2.C, 22.1-289; State Board of Education Regulations, 8 VAC 20-660-10 et seq.

Last Assessment Period: 8/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Reports of Certain Acts in Schools

SOE.DOE083

School division superintendents are required to report certain incidents such as assaults resulting in bodily injury, threats against school personnel, bomb threats, found weapons, student possession of weapons, the possession of certain substances, stalking, and the theft of prescription medications to the Department of Education annually, and to make such information available to the public. School principals must also report such incidents to local law enforcement and, except where prohibited by law, to the parents of any minor student who is the object of such act.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-279.3:1; State Board of Education Regulation 8 VAC 20-560-10 et seq.

Last Assessment Period: 4/1/2003 to 5/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Notice of Parental Involvement in Schools

SOE.DOE085

School divisions are required to distribute to all parents notice of the requirements of State law relating to parental involvement, a copy of school board standards of student conduct, and a copy of the compulsory school attendance law within one month of school opening. Additionally, schools are required to follow certain procedures regarding parental involvement in enforcing standards of conduct.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-279.3, 22.1-279.6

Last Assessment Period: 4/1/2003 to 5/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Prevention of Violence and Crime on School Property

SOE.DOE086

School divisions are required to develop programs to prevent violence and crime on school property and at school-sponsored events. Each local school board is required to adopt policies for the establishment of threat assessment teams and to meet mandated reporting requirements.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-79.4, § 22.1-279.3:1; § 22.1-279.9

Last Assessment Period: 7/1/2015 to 8/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Sight and Hearing of Pupil to be Tested

SOE.DOE088

School divisions are required to test the sight and hearing of students within 60 days of the start of school. The screening shall assure the identification of children with disabilities, including those related to fine and gross motor functions. Parents must be notified of any defect in hearing or sight.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-273; State Board of Education Regulations 8 VAC 20-250-10 et seq., 8 VAC 20-80-50

Last Assessment Period: 8/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

School Crisis, Emergency Management, and Medical Emergency Response Plan

SOE.DOE090

School divisions are required to conduct safety audits in all public schools in accordance with a list of audit items developed by the Virginia Center for School and Campus Safety, and must develop a written school crisis, emergency management, and medical emergency response plan. A copy of all school safety audits must be made available for public review, and copies of such audits must be submitted to the Virginia Center for School Safety by the division superintendent no later than August 31 of each year. Each school must annually review the written school crisis, emergency management, and medical emergency response plans. The school division must certify this review in writing. Every public school must conduct at least one fire drill per week during the first month of school and at least one fire drill each month for the remainder of the school year. Every public school must conduct two lock-down drills during the first 20 days of school – one of which must occur in September – and two additional lock-down drills during the remainder of the school year – one of which must occur in January. In addition, each school safety audit committee must conduct a school inspection walk-through using a standardized checklist and make the checklist available to the chief law-enforcement officer of the locality upon request.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-137, 22.1-137.1, 22.1-137.2 and 22.1-279.8; State Board of Education Regulation 8 VAC 20-131-260; Statewide Fire Prevention Code Sections 404.2.3 and 405.1

Last Assessment Period: 9/1/2015 to 10/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Early Reading Intervention Program Grant

SOE.DOE091

This program is designed to reduce the number of students needing remedial reading services. Program funds are used by local school divisions for: special reading teachers; trained aides; volunteer tutors under the supervision of a certified teacher; computer-based reading tutorial program; aides to instruct in class groups while the teacher provides direct instruction to the students who need extra assistance; or extended instructional time in the school day or year for these students. A local match of funds is required, as is the use of a diagnostic test, an intervention program, and the reporting of results.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Item 139 (C)(15), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 10/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Notification to Parents of Students with Vision/Hearing Impairments

SOE.DOE093

School divisions are required to annually post on their websites information made available by the Department of Education describing the services available through the Virginia School for the Deaf and the Blind, the Virginia Department for the Deaf and Hard-of-Hearing, and the Virginia Department for the Blind and Vision Impaired. School boards must also ensure the availability of packets of such information in an accessible format for review by parents who do no have Internet access.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-217.01

Last Assessment Period: 10/1/2006 to 11/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Home Instruction

SOE.DOE094

Local school division superintendents are required to monitor the progress of home-schooled students, and must notify the Superintendent of Public Instruction of the number of students in the division receiving home instruction. School boards must implement a plan to notify students receiving home instruction and their parents of the availability of Advanced Placement and Preliminary Scholastic Aptitude Test examinations and financial assistance for low-income and needy students who wish to take them. School boards must implement a plan to make these tests available to children who are home-schooled. Division superintendents and school boards cannot disclose to the Department of Education or any other person or entity outside of the local school division information provided by a parent or student to satisfy home instruction requirements without written parental consent.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-254.1

Last Assessment Period: 8/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Required Local Funding Effort for School Division

SOE.DOE095

Local governing bodies must appropriate funds for the operation of local school divisions by May 15 or within 30 days of the estimate of state funds at a level not less than that apportioned in compliance with the Code of Virginia for meeting the Standards of Quality in local educational programs. Superintendents must submit and school boards must approve an estimate of money deemed to be needed to support the public schools in the next fiscal year; this must be submitted to the local governing body. In addition, superintendents must distribute notification of the estimated per pupil cost and the actual per pupil cost and expenditure for the last fiscal year using a template prescribed by the state Department of Education. School boards must hold at least one properly noticed public hearing prior to the final approval of the budget. School divisions shall annually publish their approved budgets in line item form, including local match, and expenditure reports, on the school division websites and make the approved budget available in hard copy as needed to the public for inspection. School divisions must use a prescribed system of accounting and provide to the school board a monthly statement of funds available for school purposes.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-90, 22.1-93, 22.1-94, 22.1-97, 22.1-115, 15.2-2503

Last Assessment Period: 9/1/2011 to 10/31/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Fees and Charges

SOE.DOE096

School divisions must charge only those fees authorized by the Board of Education and the local school board. Fees cannot be assessed for enrollment for of-age students of residence, prescribed instructional programs, textbooks (unless they are lost or damaged), or transportation to or from school. Scholastic records may not be withheld for nonpayment of fees or charges. School boards must develop a fee policy including the schedule of fees charged by the division, provisions for reducing or waiving fees, and consequences for nonpayment. This policy and fee schedule must be provided annually to parents.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-6; 8 VAC 20-720-80.

Last Assessment Period: 8/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Suspension, Expulsion, Exclusion, and Readmission of Pupils

SOE.DOE097

School divisions must adhere to policies and procedures as set forth in the Code of Virginia in the suspension, expulsion, and exclusion of students for sufficient cause. Truancy cannot be a sufficient cause for suspension. School boards must require specific notifications in oral or written notice to the parent of a student who is suspended from school attendance for not more than ten days, including eligibility for the readmission of students.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-276.01, 22.1-276.2, 22.1-277, 22.1-277.04 through 22.1-277.08, 22.1-277.2

Last Assessment Period: 10/1/2005 to 11/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Jointly Owned and Operated Schools or Programs

SOE.DOE099

School divisions are required to obtain Board of Education consent when operating jointly owned schools, including regional public charter schools or regional residential charter schools. The treasurer of a city or county in which a joint school is located shall be the school's fiscal agent. However, the participating school boards may by agreement select the fiscal agent for the joint school from among the treasurers of the participating divisions.

Type: Regulation of Optional Activity

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-26 and 22.1-118.

Last Assessment Period: 10/1/2005 to 11/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

School Activity Funds

SOE.DOE100

School divisions must follow Board of Education regulations in handling funds derived from extracurricular school activities and activities involving school personnel, students, and property.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-115, 22.1-116; State Board of Education Regulation 8 VAC 20-240-10 et seq.

Last Assessment Period: 10/1/2005 to 11/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Student Code of Conduct

SOE.DOE103

School divisions shall adopt and revise regulations governing student conduct consistent with the guidelines of the Board of Education. The code of conduct regulations shall include proceedings for suspension, expulsion, and exclusion decisions. The code of conduct shall be reviewed biennially to incorporate discipline options and alternatives to preserve a safe, non-disruptive environment. School administrators must have a written procedure, in accordance with guidelines established by the local board, for responding to violent, disruptive or illegal activities by students on school property or during a school-sponsored activity.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-253.13:7, 22.1-276.2, and 22.1-279.6; State Board of Education Regulation 8 VAC 20-131-260.C.3.

Last Assessment Period: 10/1/2005 to 11/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Public School Construction Grants

SOE.DOE104

School divisions eligible to receive construction grants must follow the guidelines as issued by the Board of Education, and must provide matching funds based on the locality's composite index of ability to pay.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-175.1 through 22.1-175.5.

Last Assessment Period: 10/1/2006 to 11/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Internet Use Policy

SOE.DOE105

An Internet use policy is required to be adopted by local school boards and posted on the school division's website. The policy shall contain provisions regarding illegal material, material that could be harmful to juveniles, the blocking of inappropriate websites, disciplinary measures for violations of the policy, and a curriculum component on Internet safety. The policy must be reviewed, amended if necessary, and approved by the local school board every two years. Compliance shall be certified to the Department of Education

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-70.2; Children's Internet Protection Act (P.L. 106-554) (Fed.)

Last Assessment Period: 8/1/2013 to 9/30/2013

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Remediation Programs Evaluation

SOE.DOE107

School divisions are required to annually evaluate remediation programs based on criteria established by the State Board of Education in terms of the pass rate on the Standards of Learning tests, and the demographic and educational characteristics of those students identified for remediation. Funding must be matched by the locality based on the composite index of local ability-to-pay.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-199.2; State Board of Education Regulations 8 VAC 20-630-10 et seq.; Item 139 (C)(9), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 5/1/2003 to 6/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Literary Fund Loan

SOE.DOE108

A school board's application for a loan from the Literary Fund must be authorized by the governing body and the school board. For approved applications, school divisions must submit a copy of the plans and specifications, a letter of approval by the division superintendent, and compliance certification for a licensed architect or engineer. School divisions receiving Literary Fund loans must provide an opinion of bond counsel as to the validity of the loan and the status under federal income tax laws of the interest on the loan and must pay any balance due prior to conveying title to the property if it is sold or transferred.

Type: Regulation of Optional Activity

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-142 through 22.1-161; State Board of Education Regulations Chapter 100.

Last Assessment Period: 11/1/2002 to 12/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Public School Educational Technology Grants Program SOE.DOE110

School divisions receiving grants from the Virginia Public School Educational Technology Trust Fund must provide a 20% local match based on the composite index of ability to pay, and must meet State Board of Education program guidelines. At least 25% of the local match shall be used for teacher training in the use of the technology.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-175.6 through 22.1-175.9; Item 139 (C)(12), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 11/1/2002 to 12/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Evaluation of Superintendent, Teachers, and Principals SOE.DOE111

School divisions must evaluate the division superintendent, teachers, and principals annually consistent with the performance objectives set out in the guidelines for Uniform Performance Standards and Evaluation Criteria for Teachers, Principals and Superintendents. In addition, school boards must evaluate teachers, assistant principals, and principals every year, either formally or informally as specified by the Code, and such evaluations must include student academic progress as a significant component. School boards may increase the term of probationary service required before a teacher becomes eligible for a continuing contract from three years up to five years.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-60.1, 22.1-253.13:5, and 22.1-303

Last Assessment Period: 9/1/2015 to 10/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Possession and Self-Administration of Inhaled Asthma Medications and Epinephrine

SOE.DOE112

School divisions must develop and implement a policy permitting a student with a diagnosis of asthma or anaphylaxis, or both, to possess and self-administer inhaled asthma medications or auto-injectable epinephrine, or both, while at school, at school-sponsored activities, or on a school bus or other school property. Each policy shall include the development of an individualized student health care plan. In addition, school boards shall have written policies for the possession and administration of epinephrine in every school, to be administered by certain employees who are authorized by a prescriber and trained in the administration of epinephrine to any student believed to be having an anaphylactic reaction.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-274.2; State Board of Education Regulation 8 VAC 20-131-260

Last Assessment Period: 9/1/2015 to 10/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Student Searches

SOE.DOE113

School divisions must adopt and revise regulations governing student searches that are consistent with the guidelines established by the Board of Education.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-279.7

Last Assessment Period: 5/1/2003 to 6/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Commercial, Promotional, and Corporate Partnership Policy

SOE.DOE114

School divisions are required to develop and implement a policy relating to commercial, promotional, and corporate partnerships and sponsorships in their public schools.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-89.4

Last Assessment Period: 4/1/2004 to 5/31/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Optional K-5 Education Programs

SOE.DOE116

School divisions establishing optional education programs away from the regular classroom for students in grades K-5 who need to be redirected toward appropriate classroom decorum and acceptable behavior must provide services that will enable the students to benefit from a public education while away from the regular classroom. Such programs must be adequately staffed and facilitate parent participation.

Type: Regulation of Optional Activity

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-200.1

Last Assessment Period: 4/1/2004 to 5/31/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Teacher Intervention/Remediation Training

SOE.DOE117

School divisions are required to provide training to teachers in instructional strategies and techniques for intervention for remediation of students who fail or are at risk of failing the Standards of Learning assessments.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-303

Last Assessment Period: 4/1/2004 to 5/31/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Posting of the National Motto/Bill of Rights/Notice of Duty to Report Child Abuse

SOE.DOE118

School divisions are required to post the Bill of Rights of the Constitution of the United States; the statement, In God We Trust, the National Motto, enacted by Congress in 1956; and a notice of duty to report child abuse or neglect in a conspicuous place in each school of the locality.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-291.3; Chapter 902 of the Acts of Assembly of 2003

Last Assessment Period: 7/1/2008 to 8/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Charter School Applications

SOE.DOE119

Public charter school applications shall be received and reviewed by the Board of Education and local school boards, except when the charter school application is initiated by one or more local school boards. The Board of Education and each local school board shall establish procedures for receiving, reviewing, and in the case of local school boards, ruling upon the applications. Within 90 days of approval of a charter application, the local school board and the management committee of the approved public charter school shall execute a charter contract that clearly sets forth certain expectations, rights, and duties. The 90-day period may be extended by up to 30 days by mutual agreement of the parties. Within 10 days of executing a charter contract, the local school board shall submit to the Board written notification of the charter contract execution, including a copy of the executed charter contract and any attachments.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-212.5 et seq.

Last Assessment Period: 8/1/2013 to 9/30/2013

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Scoliosis Screening

SOE.DOE120

School divisions shall provide parent educational information or implement a program of regular screening for scoliosis of pupils in grades five through ten. Excepted from this requirement are pupils admitted for the first time to a public school who have been so tested as part of the comprehensive physical examination or whose parents have indicated the preference that their children not participate in such screening. Local divisions shall develop procedures for parents to indicate such preference.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-273.1

Last Assessment Period: 9/1/2008 to 10/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Identification of Critical Shortages of Teachers and Administrators

SOE.DOE121

School divisions shall annually survey the division to identify critical shortages of teachers and administrative personnel by subject matter, and report such critical shortages to the local school board, the Superintendent of Public Instruction, and to the Virginia Retirement System.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-79, 22.1-290.01; Item 138 (G)(1), 8VAC20-650-10; Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 9/1/2008 to 10/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Effective July 1, 2004.

Alternatives to Animal Dissection

SOE.DOE122

School divisions shall provide students with alternatives to animal dissection techniques within the relevant public school curriculum or course in accordance with Board of Education guidelines.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-200.01

Last Assessment Period: 11/1/2008 to 12/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Effective July 1, 2004.

Instruction Requirements in Public Schools

SOE.DOE123

School divisions are required to emphasize moral education and to establish a character education program.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-208, 22.1-208.01

Last Assessment Period: 11/1/2008 to 12/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Leave Without Pay for School Division Employees

SOE.DOE124

School divisions are required to adopt policies that allow its employees to take leave without pay in the case of a debilitating or life-threatening illness or injury.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-296

Last Assessment Period: 11/1/2008 to 12/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Enrollment of Children Placed in Foster Care

SOE.DOE125

School divisions are required to cooperate in facilitating the enrollment of a child placed in foster care when the placement occurs across jurisdictional boundaries and to expedite the transfer of the school records of the affected foster child. In addition, school divisions must jointly determine with local departments of social services whether it is in the best interest of the child to remain enrolled at the school where he was previously enrolled prior to the most recent foster care placement. Certain reimbursement requirements between school divisions apply when a child has been relocated between school divisions in situations involving foster care, a licensed child-caring institution, or a group home placement.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-3.4, 22.1-101.1, and 63.2-900.3

Last Assessment Period: 10/1/2013 to 11/30/2013

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Severance Benefits

SOE.DOE126

School boards must publicly announce any severance benefits provided to an official appointed by that board prior to that individual's departure.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 15.2-1510.1

Last Assessment Period: 7/1/2009 to 9/30/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Effective July 1, 2007.

Planning Time for Elementary School Teachers

SOE.DOE127

School boards must ensure that all elementary school teachers are provided at least an average of 30 minutes per day as planning time during the students' school week.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-291.1

Last Assessment Period: 10/1/2011 to 11/30/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Classroom Placement of Twins and Other Multiples

SOE.DOE128

School boards must develop and implement policies to allow a parent of twins or higher order multiples in the same grade level to request that the children be placed in the same classroom or in separate classrooms if they are at the same elementary school.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-79.3

Last Assessment Period: 7/1/2012 to 8/31/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Supplemental Pay for Employees in Military Service

SOE.DOE129

School divisions must provide supplemental pay to public school employees on active duty with the armed forces if the military compensation of such employee is less than the regular salary paid to such employee by the school division.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-289.2

Last Assessment Period: 7/1/2010 to 9/30/2010

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Single-Sex Education

SOE.DOE130

School boards may establish a single-sex class or school in a school division. Participation must be voluntary and the school division must provide a substantially equal coeducational school or class.

Type: Regulation of Optional Activity

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-212.1:1

Last Assessment Period: 7/1/2012 to 8/31/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

School Performance Report Card

SOE.DOE131

Each school shall provide to its students' parents or guardians a school report card including information prescribed by the Board of Education. At the beginning of each school year, each school must also provide information regarding availability of and source for receiving: (1) the learning objectives to be achieved at their child's grade level or, in high school, a copy of the syllabus for each of their child's courses, and a copy of the school division promotion, retention, and remediation policies; (2) the Standards of Learning applicable to the child's grade or course requirements and the approximate date and potential impact of the child's next SOL testing; and (3) notice to students in all grade levels of all requirements for Standard, Advanced Studies and Applied Studies Diplomas, and the board's policies on promotion and retention.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-90.1 and 22.1-253.13:3; 8 VAC 20-131-270

Last Assessment Period: 7/1/2014 to 8/31/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

School Year to begin after Labor Day

SOE.DOE132

Local school boards shall set the school calendar so that the first day for students is after Labor Day. This requirement may be waived by the Board of Education if a school board certifies that it has had a history of excessive closures due to severe weather or emergency situations, if it is involved in dependent programs that would otherwise require the system to begin school before Labor Day, if it offers approved experimental or innovative programs, or if it is entirely surrounded by a school division that opens prior to Labor Day. In addition, any school division that received a waiver to open before Labor Day in the 2011-2012 school year is granted a waiver for the 2016-2017 school year.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-79.1; Item 139 (A)(18) Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 8/1/2012 to 9/30/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Hiring of Teachers, Contracts

SOE.DOE133

Local school divisions must hire teachers using a contract form prescribed by the Board of Education, and follow criteria upon not renewing a contract. Temporary teachers must be at least 18 years of age and shall hold a high school diploma or have passed a high school equivalency examination approved by the Board of Education. Separate contracts meeting prescribed standards must be used for employees in coaching positions or extracurricular activity sponsorships.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §22.1-302 et seq.; 8 VAC 20-440-10 et seq.

Last Assessment Period: 9/1/2014 to 10/31/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Scheduled for FY15 Assessment.

Virginia Index of Performance Recognition

SOE.DOE134

Local school boards shall have policies to recognize and reward individual schools for fully accredited schools and those that are making significant progress toward advanced proficiency.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §22.1-253.13:9; 8 VAC 20-131-325

Last Assessment Period: 9/1/2014 to 10/31/2014

Last Assessment Finding: Alter

Current Assessment Period:

Comment:

Minute of Silence

SOE.DOE135

Local school divisions must establish the daily observance of one minute of silence in each classroom of the division.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-203

Last Assessment Period: 10/1/2013 to 11/30/2013

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Pesticide Application Reporting

SOE.DOE136

Local school divisions shall keep records of any pesticide application on school property that includes the target pest, the formulation applied, and the specific location of the application.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-132.2

Last Assessment Period: 10/1/2012 to 11/30/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Absences to Observe a Religious Holiday

SOE.DOE137

Local school boards must develop policies for excusing students who are absent for observance of a religious holiday. The policies shall ensure that a student shall not be deprived of any award or of eligibility or opportunity to compete for any award, or of the right to take an alternate test or examination, for any which he missed by reason of such absence, if the absence is verified in a manner acceptable to the school board.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-254

Last Assessment Period: 10/1/2012 to 11/30/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

College and Career Preparation Planning and Programs

SOE.DOE138

Effective July 1, 2013, all schools shall begin development of a personal academic and career plan for each seventh-grade student with completion by the fall of the student's eighth-grade year.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:4 (D); 8 VAC 20-131-140.

Last Assessment Period: 9/1/2014 to 10/31/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Scheduled for FY15 Assessment.

Staff Training for Aides working with Students with Autism Spectrum Disorders

SOE.DOE139

By September 1, 2014, each school board shall ensure that aides assigned to work with a teacher who has primary oversight of students with autism spectrum disorders receive training in student behavior management within 60 days of assignment to such responsibility. School boards may provide such training to other employees, including transportation employees.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-298.3

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Eligibility of Students in Military Families for Interscholastic Programs

SOE.DOE140

School divisions are required to deem students in military families eligible for interscholastic programs upon enrollment under certain circumstances. In addition, public elementary or secondary schools may not join an organization governing interscholastic programs which does not deem a student whose parents are in the military and have been ordered to relocate eligible for participation in interscholastic programs under certain circumstances.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-5.1

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 9/1/2016 to 10/31/2016

Comment: Scheduled for assessment in FY2017.

School Health

SOE.DOE141

Each local school board shall ensure that a specific number of staff in school buildings with an instructional and administrative staff have current certification or training in emergency first aid, cardiopulmonary resuscitation, the use of an automated external defibrillator, and training in the administration of insulin and glucagon if one or more students is diagnosed with diabetes. Each local school board may develop a plan for the placement, care, use, and funding of an automated external defibrillator in every school. Each local school board must also permit each enrolled student diagnosed with diabetes and having parental consent and prescriber approval: to carry and use supplies and equipment for immediate treatment of high and low blood glucose levels and to self check blood glucose levels on a school bus, on school property, and at a school-sponsored activity.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-274, 22.1-274.01:1, 22.1-274.4

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 7/1/2016 to 8/31/2016

Comment: Scheduled for assessment in FY2017.

Strategic Compensation Grant Initiative

SOE.DOE143

This initiative and fund provides that local school divisions may submit proposals to the Board of Education to receive grants that may be used as incentives to improve teacher and school performance. Each grant proposal shall outline a strategic compensation model used to disburse awarded funds. Stakeholder involvement is required in the development and implementation of the model. The compensation model shall include measureable and appropriate achievement goals for student academic progress and plans for the professional development of the designated teachers. The compensation model may include tiers or a range of incentives. Any teacher awarded according to the terms of the proposal by the school division in its proposal shall (i) be licensed by the Board; (ii) be employed by the local school board under a contract; (iii) hold an endorsement in his subject area and grade level; (iv) be "highly qualified"; (v) be evaluated using an effective system, consistent with the evaluations and criteria of the Board, including a weight of 40 percent on student academic progress for the summarative rating; and (vi) be rated as "proficient" or better.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-318.1 and 318.2

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Public School Security Equipment Grant Act

SOE.DOE144

This fund will be used to make grants and loans to local school divisions for capital infrastructure improvements related to school safety and security. The Department of Education shall develop guidelines concerning the requirements for applying for a grant and the administration of such grants. Localities receiving the funds must provide a 25% local match.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-280.2:2; Item 139 (C) (13) Chapter 780, 2016 Acts of Assembly

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 7/1/2016 to 8/31/2016

Comment: Scheduled for assessment in FY2017.

Supplementary Written Historical Materials

SOE.DOE145

Teachers must ensure that all supplementary materials used to teach the Declaration of American Independence, the Constitution of the United States, and the Virginia Declaration of Rights contain accurate restatements of the principles contained in such documents.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-201

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 9/1/2016 to 10/31/2016

Comment: Scheduled for assessment in FY2017.

School Board Record-Keeping Requirements

SOE.DOE146

The clerk of the school board must keep in a separate volume the minutes of the meetings of the school board, including all bids submitted on any building, material, supplies, work, or project to be let to contract by such school board, and in another volume a receipt and disbursement record as prescribed by the Board of Education and must keep on file vouchers, contracts, and other official papers. The clerk may keep such volumes, vouchers, contracts, and other official papers electronically.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-77

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Waivers of Minimum Instructional Clock Hours

SOE.DOE147

School divisions which waive the requirement for students to receive 140 clock hours of instruction to earn a standard unit of credit must provide the Board of Education with satisfactory proof, based on Board guidelines, that the applicable students have learned the content and skills included in the relevant Standards of Learning.

Type: Regulation of Optional Activity

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:4

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Broadband Connectivity Capability

SOE.DOE148

By November 1 each year, school divisions shall report to the Department of Education the status of broadband connectivity capability of schools in the division on a form to be provided by the Department. Such report shall include school-level information on the method of Internet service delivery, the level of bandwidth capacity and the degree such capacity is sufficient for delivery of school-wide digital resources and instruction, degree of internet connectivity via Wi-Fi, cost information related to Internet connectivity, data security, and such other pertinent information as determined by the Department of Education. The Department shall provide a summary of the division responses in a report to be made available on its agency Web site.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Item 139 (C) (37), Chapter 780, 2016 Acts of Assembly

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Use of School Property

SOE.DOE149

A school board may authorize the division superintendent to permit use of the school property, including buildings, grounds, vehicles, and other property, under such conditions as it deems will not impair the efficiency of the schools. The division superintendent shall report to the school board at the end of each month any such action. Additionally, local school boards shall provide access to school property to youth-oriented, community organizations such as the Boy Scouts of America and Girl Scouts of the USA, and their volunteers and staff, to distribute and provide instructional materials in order to encourage participation in such organizations and their activities. Any such access provided during the school day shall not conflict with instructional time and may include after-school sponsored activities such as "Back to School" events.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-131; Chapter 647, 2016 Acts of Assembly

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Licensure Accommodations for Career and Technical Education Teachers

SOE.DOE150

A division may hire individuals to teach in a trade or industrial program who have obtained or are working toward an industry credential relating to the program area and who have at least 4,000 hours of recent and relevant employment experience, provided that the division superintendent applies to the Department of Education for an annual waiver of the teacher licensure requirements. A division may employ qualified individuals with three-year licenses to teach high school career and technical education courses for no more than 50 percent of the instructional day or year, on average, provided that the employing school board assigns a mentor to supervise such an individual in his first year of teaching.

Type: Regulation of Optional Activity

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-299.5 and 22.1-299.6

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

State Certified Librarian

SOE.LVA001

Local libraries serving a population of over 15,000 must employ librarians who meet the qualifications established by the State Library Board.

Type: Regulation of Optional Activity

Agency: **The Library of Virginia**

Secretariat: Education

Authority: Code of Virginia §§ 42.1-15.1, 42.1-51, 42.1-52; State Library Board Regulation 17 VAC 15-100-10 et seq.

Last Assessment Period: 2/1/2007 to 4/30/2007

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Library Operations Standards

SOE.LVA003

Local libraries must meet State requirements for personnel, materials and operating procedures in order to qualify for State or federal grants-in-aid.

Type: Non-Discretionary Condition of Aid

Agency: **The Library of Virginia**

Secretariat: Education

Authority: Code of Virginia §§ 42.1-46 et seq.; State Library Board Regulations 17 VAC 15-90-20 et seq., 17 VAC 15-110-10 et seq.

Last Assessment Period: 2/1/2007 to 4/30/2007

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Planning and Development Standards for Public Library Services

SOE.LVA004

Local governments receiving State grants for public libraries must follow the standards endorsed by the State Library Board in planning and developing public library services.

Type: Non-Discretionary Condition of Aid

Agency: **The Library of Virginia**

Secretariat: Education

Authority: Code of Virginia §§ 42.1-46 et seq.; State Library Board Regulations 17 VAC 15-90-20 et seq., 17 VAC 15-110-10 et seq.

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Public Records Act

SOE.LVA005

Local governments are required to comply with regulations issued by the State Library Board regarding the creation, preservation, storage, filing, reformatting, management, and destruction of official public records. Local governments must also comply with regulations issued by the Board for the inventory, scheduling, and microfilming of official public documents which have been determined to have permanent value.

Type: Compulsory Order

Agency: **The Library of Virginia**

Secretariat: Education

Authority: Code of Virginia §§ 42.1-76 et seq.

Last Assessment Period: 5/1/2005 to 7/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Library Acceptable Internet Use Policy

SOE.LVA006

Local library boards or localities without a library board which operate a public library shall establish an acceptable policy for Internet use. The policy required by this section of the law shall be posted online; if the library does not have a website, the policy shall be available to the public upon request.

Type: Regulation of Optional Activity

Agency: **The Library of Virginia**

Secretariat: Education

Authority: Code of Virginia § 42.1-36.1

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Protection from Identity Theft

SOE.LVA007

Local governments shall protect individuals from identity theft by eliminating social security numbers in public records.

Type: Compulsory Order

Agency: **The Library of Virginia**

Secretariat: Education

Authority: Code of Virginia, § 42.1-82; 17 VAC 15-120

Last Assessment Period: 3/1/2012 to 5/31/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Government Challenge Grant

SOE.VCA001

In order to receive matching funds through the Local Government Challenge Grants from the Virginia Commission for the Arts, localities must give financial support to not-for-profit arts organizations in their jurisdictions. The Commission will match money donated from local tax revenues to the arts, up to $5,000.

Type: Non-Discretionary Condition of Aid

Agency: **Virginia Commission for the Arts**

Secretariat: Education

Authority: Virginia Commission for the Arts 2014-2015 Guidelines for Funding

Last Assessment Period: 5/1/2005 to 8/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Artists in Education

SOE.VCA002

In order to receive funds through the Artists in Education Grants Program from the Virginia Commission for the Arts, K-12 schools must match the grant at least dollar for dollar. This program supports residencies, after school and summer arts programs by professional working artists in K-12 schools.

Type: Non-Discretionary Condition of Aid

Agency: **Virginia Commission for the Arts**

Secretariat: Education

Authority: Virginia Commission for the Arts 2014-2015 Guidelines for Funding

Last Assessment Period: 5/1/2005 to 8/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Project Grants

SOE.VCA003

In order to receive matching funds through the Projects Grants program from the Virginia Commission for the Arts, localities or K-12 schools must match the grant at least dollar for dollar. The purpose of this program is to increase opportunities for artists to create and present their work and to expand arts education opportunities for young people.

Type: Non-Discretionary Condition of Aid

Agency: **Virginia Commission for the Arts**

Secretariat: Education

Authority: § 2.2-2508 et seq., Virginia Commission for the Arts 2013-2014 Guidelines for Funding

Last Assessment Period: 7/1/2013 to 8/31/2013

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Workforce Development Program

SOE.VCCS002

Local governments participating in workforce development programs funded through the federal Workforce Investment Act are required to apply for Local Workforce Investment Area Designation, serve as the local grant recipient, assume liability for such funds, appoint members to the Local Workforce Investment Board, and comply with other elements of the State and Federal Workforce Development Program that assist in the employment and training needs of adults, dislocated workers and youth.

Type: Non-Discretionary Condition of Aid

Agency: **Virginia Community College System**

Secretariat: Education

Authority: Code of Virginia §§ 2.2-435.6 et seq., 2.2-2669 et seq.; Office of the Governor, Executive Orders 25 (2006) and 61 (2008); P.L. 105-220 (Workforce Investment Act of 1998) (Fed.), 20 CFR 652 et seq. and 20 CFR 61.315 (Fed.)

Last Assessment Period: 4/1/2004 to 6/30/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Listed in 2007 catalog as GOV.OWD001 after mandate transferred from VEC to Governor's Office for Workforce Development by Executive Order 25 (2006). Previously assessed as SCT.VEC002. Transferred to VCCS on 7/1/08 by Executive Order 61 (2008).

Information Technology Access for the Visually Impaired

SOTECH.VITA001

Local governments that use funds provided by the Commonwealth to purchase information technology for use by employees, program participants, or the public are required to ensure that such technology is adaptable for access by individuals who are visually impaired, provided that the essential elements of the technology are not visual or that non-visual equivalence is unavailable.

Type: Compulsory Order

Agency: **Virginia Information Technologies Agency**

Secretariat: Technology

Authority: Code of Virginia §§ 2.2-3500 et seq.

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment: The Secretary of Technology is required by the Information Technology Access Act to develop a mandatory "technology access clause." The clause is included in Section 9 of the mandated terms and conditions in each of VITA's contract templates, which are used by local governments. The terms and conditions are available at: http://www.vita.virginia.gov/uploadedfiles/VITA_Main_Public/scm/StatutorilyMandatedTsandCs.pdf

Internet Privacy Policy

SOTECH.VITA002

Local governments having an Internet web site must develop an Internet privacy policy and an Internet privacy statement explaining the policy to the public. The policy must be consistent with requirements set out in the Code of Virginia.

Type: Compulsory Order

Agency: **Virginia Information Technologies Agency**

Secretariat: Technology

Authority: Code of Virginia § 2.2-3803 (B)

Last Assessment Period: 8/1/2003 to 10/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Last assessed as SOTECH.DTP002.
The Secretary of Technology is required by the Government Data Collection and Dissemination Practices Act to develop guidelines for developing the policy and statement. The guidelines can be found as part of the Enterprise Technical Architecture, within the Applications Domain, in the "Web Site Topic Report," which is available at: http://www.vita.virginia.gov/uploadedFiles/VITA_Main_Public/Oversight/EA/Website_Topic_Report.pdf

Implementation of E-911 Services

SOTECH.VITA003

Local governments shall be served by an E-911 system by July 1, 2003, unless the 9-1-1 Services Board grants an extension.

Type: Compulsory Order

Agency: **Virginia Information Technologies Agency**

Secretariat: Technology

Authority: Code of Virginia § 56-484.16

Last Assessment Period: 8/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Forfeited Asset Sharing Program (FASP)

SPSHS.DCJS001

Local law enforcement agencies seizing drug-related cash or assets under State law and through State courts are required to comply with policies and procedures established by the Department of Criminal Justice Services. Those regulations require that 90% of forfeitures be returned to the localities that originated the seizures/forfeitures, and that the returned funds must be used for law enforcement purposes.

Type: Regulation of Optional Activity

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 19.2-386.1 et seq.; Criminal Justice Services Board Regulation 6 VAC 20-150-10 et seq.

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Criminal History Record Information

SPSHS.DCJS002

Local criminal justice agencies must establish record keeping procedures that ensure that criminal history record information is accurate, complete, timely, electronically and physically secure, and disseminated only to those legally entitled to receive such information. There are also detailed procedures that must be followed regarding the dissemination of criminal history record information and for expunging records.

Type: Compulsory Order

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 9.1-102; 9.1-126 through 9.1-137, 15.2-1722, 19.2-389, 19.2-390, 19.2-392 through 19.2-392.4; Criminal Justice Services Board Regulation 6 VAC 20-130-10 et seq.

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Byrne Justice Assistance Grant Program SPSHS.DCJS005

Local governments participating in the Justice Assistance Grant program are required to establish and operate programs consistent with guidelines issued by the Department of Criminal Justice Services. Local governments receiving grants are required to report quarterly on expenditures and progress toward achieving their projects' objectives using an online grants management information system. Recipients may also be required to provide cash matching funds.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 9.1-102; Department of Criminal Justice Services Program Guidelines; Omnibus Crime Control Act of 1968, as amended (Fed.)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Juvenile Delinquency Prevention and Juvenile Justice System Improvement Grants SPSHS.DCJS006

Local governments receiving grants for juvenile delinquency prevention and juvenile justice system improvement are required to establish and operate programs to improve services to reduce the likelihood of at-risk youth entering the juvenile justice system and to intervene with delinquent youth. Those applying for funds for prevention programs are required to provide a cash match. All grant recipients must report quarterly on expenditures and on progress toward achieving the objectives of their grants using an online grants management information system. Recipients must also submit annual performance measure reports to the U.S. Office of Juvenile Justice and Delinquency Prevention via an automated data reporting system.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 9.1-102; Department of Criminal Justice Services Program Guidelines; Juvenile Justice and Delinquency Prevention Act (Fed.); 28 CFR 31 (Fed.)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Training Standards for Criminal Justice Personnel

SPSHS.DCJS008

Local criminal justice personnel, including law enforcement officers, auxiliary police officers, courtroom security personnel, process servers, jailers, dispatchers, and criminal justice instructors are required to meet training requirements established by the Criminal Justice Services Board.

Type: Compulsory Order

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 9.1-102; Criminal Justice Services Board Regulations 6 VAC 20-10 through 110, 6 VAC 30-10 through 130, 6 VAC 40-10 through 60, 6 VAC 50-10 through 100, 6 VAC 60-10 through 90, 6 VAC 80-10 through 110

Last Assessment Period: 8/1/2015 to 10/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Crime Victim Services Grants

SPSHS.DCJS011

Local governments receiving victim services grants are required to establish and operate programs to provide services to victims of crime in accordance with Department of Criminal Justice Services guidelines established to implement the Crime Victim and Witness Rights Act. Localities are also required to report quarterly on expenditures and on progress toward achieving the objectives of their grants using an online grants management information system.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 9.1-104, 19.2-11.01, and 19.2-11.3; Department of Criminal Justice Services Program Guidelines; P.L. 98-473 (Victims of Crime Act) (Fed.); Final Program Guidelines, Victims of Crime Act (Fed.)

Last Assessment Period: 7/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Court-Appointed Special Advocate Program (CASA)

SPSHS.DCJS012

Local governments electing to operate court-appointed special advocate programs must comply with regulations governing the selection and training of volunteer advocates, program administration, record keeping and reporting.

Type: Regulation of Optional Activity

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 9.1-151 through 9.1-157; Criminal Justice Services Board Regulations

Last Assessment Period: 8/1/2002 to 10/31/2002

Last Assessment Finding: Alter

Current Assessment Period:

Comment:

Comprehensive Community Corrections Act

SPSHS.DCJS013

Local governments receiving financial assistance from the State for jail construction are required to establish a Community Corrections Agency to the extent that the General Assembly provides funds for establishing such services. All localities participating in the Community Corrections Act must comply with State standards and reporting requirements, including the creation of a community criminal justice board and submission of quarterly expenditure and progress reports.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 53.1-82.1 and 9.1-173 through 9.1-183 (Comprehensive Community Corrections Act for Local-Responsible Offenders); Department of Criminal Justice Services Program Guidelines

Last Assessment Period: 10/1/2002 to 12/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Community Policing Fund

SPSHS.DCJS014

Local governments receiving grants from the Community Policing Fund are required to establish and operate community policing initiatives. Participants are required to provide a 25% cash match or to request a waiver of the match requirement after demonstrating financial hardship, and to report periodically on expenditures and on progress toward achieving the objectives of their grants.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 9.1-102 and 58.1-344.3 (B)(7); Department of Criminal Justice Services Program Guidelines

Last Assessment Period: 8/1/2003 to 10/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

STOP Violence Against Women Grants

SPSHS.DCJS016

Local governments receiving STOP grants to establish and operate programs which combat violent crime against women through enhancement of the criminal justice response or victims services must comply with Department of Criminal Justice Services program guidelines. Localities are required to report quarterly on expenditures and semi-annually on progress toward achieving the objectives outlined in their grants using an online grant management system. Localities are also required to submit annual reports to the federal Office on Violence Against Women.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 9.1-102; Violence Against Women Act of 1994, Violence Against Women Act of 2000, Violence Against Women Act Reauthorization, 2013 (Fed.); Department of Criminal Justice Services Program Guidelines.

Last Assessment Period: 8/1/2003 to 10/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Pretrial Services Act

SPSHS.DCJS017

Local governments receiving financial assistance from the State for jail construction are required to establish a Pretrial Services Agency to the extent that the General Assembly provides funds for establishing such services. All localities participating in a Pretrial Services Act Program must comply with State standards and reporting requirements, including the creation of a community criminal justice board and submission of quarterly expenditure and progress reports.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 19.2-152.2 through 19.2-152.7 and § 53.1-82.1; Department of Criminal Justice Services Grant Guidelines

Last Assessment Period: 8/1/2003 to 10/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

School Resource Officer and School Security Officer Grants

SPSHS.DCJS022

Local governments receiving Virginia School Resource Officer and School Security Officer Program grants are required to provide a cash match based on the Virginia Department of Education's composite index of local ability to pay, and must meet program and reporting requirements set out in the program guidelines. School Resource Officers hired to work in such local programs must be sworn, certified Virginia law enforcement officers with a minimum of three years of law enforcement experience, and must meet state mandated qualification and training requirements. School Security Officers must meet state mandated qualification and training requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 9.1-110; Item 398 (F), Chapter 780, 2016 Acts of Assembly; Department of Criminal Justice Services Board Grant Program Guidelines

Last Assessment Period: 8/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Juvenile Accountability Block Grant

SPSHS.DCJS023

Local governments receiving Juvenile Accountability Block Grants must establish and convene advisory boards; develop plans for the use of the funds; seek the advice of the Chief Judges of the local juvenile courts with respect to the plans; provide cash matching funds equal to 10% of the total program costs, or 50% if the funding is used to construct a permanent juvenile correction facility. All grant recipients must report quarterly on expenditures and on progress toward achieving the objectives of their grants using an online grants management system. Recipients must also submit annual performance measures reports to the U.S. Office of Juvenile Justice and Delinquency Prevention via an automated data reporting system.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Department of Criminal Justice Services Program Guidelines; Department of Justice Authorization Act (P. L. 107-273) (Fed.)

Last Assessment Period: 7/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Sexual Assault Grant Program

SPSHS.DCJS025

Local governments receiving grants from the sexual assault grants program must comply with Department of Criminal Justice Services program guidelines. Localities are required to report annually on expenditures and semi-annually on progress toward achieving the objectives outlined in their grants using an online grants management information system.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 9.1-102; P.L. 98-473 (Victims of Crime Act) (Fed.); Department of Criminal Justice Services Program Guidelines

Last Assessment Period: 8/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Motor Carrier Safety Training

SPSHS.DCJS027

Local law enforcement agencies authorized to perform vehicle safety inspections are required to submit to the Department of Criminal Justice Services the names of each law enforcement officer certified to perform such inspections who have satisfactorily completed a course of instruction as prescribed by the Federal Motor Carrier Safety Administration.

Type: Compulsory Order

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 46.2-1001

Last Assessment Period: 2/1/2003 to 4/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Program last assessed by the Department of State Police. By Action of the 2003 General Assembly (Chapter 82, 2003 Virginia Acts of Assembly) administration of this mandate was transferred to the Department of Criminal Justice Services. Not currently scheduled for reassessment.

Virginia Sexual and Domestic Violence Victim Fund Grants

SPSHS.DCJS028

Local governments receiving Virginia Sexual and Domestic Violence Victim Fund grants to increase the number of prosecutions for domestic violence, sexual assault, and stalking and to expand services available to victims of such crimes must comply with the Department of Criminal Justice Services program guidelines. Localities are required to report quarterly on expenditures and on progress toward achieving the objectives outlined in their grants using an online reporting and grants management information system.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 9.1-116.1, § 16.1-69.48:1; Department of Criminal Justice Services Program Guidelines

Last Assessment Period: 9/1/2009 to 10/31/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Domestic and Sexual Assault Policies

SPSHS.DCJS030

All police and sheriffs' departments shall establish an arrest policy and procedures for domestic violence and family abuse cases. Further, all police and sheriffs' departments and every campus police department shall establish written policies and procedures regarding law enforcement officers' response to alleged criminal sexual assaults. Such policies shall establish policies and procedures, provide guidance as to the department's policy on (i) training; (ii) compliance with §§ 19.2-9.1 and 19.2-165.1; (iii) transportation of alleged sexual assault victims; and (iv) the provision of information on legal and community resources available to alleged victims of sexual assault. Sample model policies are available to all departments through the Department of Criminal Justice Services website. In addition, law enforcement agencies in localities containing public or private nonprofit institutions of higher education must cooperate in establishing written memoranda of understanding with such institions, if requested, addressing the prevention of and response to criminal sexual assault.

Type: Compulsory Order

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 9.1-1300 and 9.1-1301

Last Assessment Period: 8/1/2011 to 10/31/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Residential Substance Abuse Treatment Program

SPSHS.DCJS031

Local governments participating in the grant program must establish and operate programs consistent with federal requirements and guidelines issued by the Department of Criminal Justice Services. They are required to report quarterly on expenditures and progress toward achieving their projects' objectives using an online grants management information system. Recipients may also be required to provide cash matching funds.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: P. L. 103-322 (Violent Crime Control and Law Enforcement Act of 1994); Guidelines issued by the Bureau of Justice Assistance, U. S. Department of Justice; Department of Criminal Justice Services Grant Guidelines.

Last Assessment Period: 7/1/2012 to 9/30/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

De-Certification of Law Enforcement Officers

SPSHS.DCJS032

Sheriffs, Chiefs of Police or agency administrators are required to notify the Criminal Justice Services Board in writing when any certified law enforcement officer or jail officer employed by their agencies has been convicted of or pled guilty to certain specified offenses. Upon receiving such notice, the Board is required to de-certify the officer.

Type: Compulsory Order

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 15.2-1707

Last Assessment Period: 8/1/2015 to 10/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Sexual Assault Services Program

SPSHS.DCJS033

These funds are dedicated solely to provision of direct intervention and related assistance for victims of sexual assault. Local governments receiving grants from the sexual assault services program must comply with Department of Criminal Justice Services program guidelines. Localities are required to report annually on expenditures and on progress toward achieving the objectives outlined in their grants using an online grants management information system.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 9.1-102; Violence Against Women and Justice Department Reauthorization Act, 2005; Violence Against Women Act Reauthorization, 2013; Department of Criminal Justice Services Grant Guidelines.

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 4/1/2017 to 6/30/2017

Comment: Scheduled for assessment in FY2017.

Prevention of Internet Crimes Against Children

SPSHS.DCJS034

Local governments receiving grants from the Internet Crimes Against Children program must comply with Department of Criminal Justice Services program guidelines. Localities are required to report periodically on expenditures and on progress toward achieving the objectives outlined in their grants using an online grants management information system.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 9.1-102; Item 398 (H), Chapter 780, 2016 Acts of Assembly; Department of Criminal Justice Services Grant Guidelines

Last Assessment Period: 8/1/2015 to 10/31/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Multidisciplinary Child Abuse Response Teams

SPSHS.DCJS035

The Commonwealth's Attorney in each jurisdiction is required to establish a multidisciplinary child sexual abuse response team to conduct regular reviuews of new and ongoing reports of felony sex offenses in the jursidiction involving a child and the investigations of those cases. Each team is to consist of the Commwealth's Attorney, a representative of the local law enforcement agency, child protective services, the child advocacy center serving the jurisdiction, if one exists; and a representative of an Internet Crimes Against Children Task Force affiliate agency serving the jurisdiction, if there is one.

Type: Compulsory Order

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 15.2-1627.5; § 3 Chapter 780 and § 3 Chapter 801, 2014 Acts of Assembly

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Multidisciplinary Response Team for Criminal Sexual Assault

SPSHS.DCJS036

The Commonwealth's Attorney in each jurisdiction is required to establish a criminal sexual assault multidisciplinary response team. The team must meet at least annually to (i) discuss implementation of protocols and policies for sexual assault response teams consistent with those established by the Department of Criminal Justice Services and (ii) establish and review guidelines for the community's response, including the collection, preservation, and secure storage of evidence from Physical Evidence Recovery Kit examinations. The following persons or their designees are to be invited to the meeting: the Commwealth's Attorney; sheriff; the director of the local sexual assault crisis center providing services in the jurisdiction; the chief of each police department and the chief of each campus police department of any institution of higher education in the jurisdiction; a forensic nurse examiner or other health care provider who performs Physical Evidence Recovery Kit examinations; the Title IX coordinator of any institution of higher education in the jurisdiction; representatives from offices of student affairs, human resources, and counseling services of any institution of higher education in the jurisdiction; a representative of campus security of any institution of higher education in the jurisdiction that has not established a campus police department; and the director of the victim/witness program in the jurisdiction, if any.

Type: Compulsory Order

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: §15.2-1627.4, Code of Virginia

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Fire Programs Fund

SPSHS.DFP001

Local governments receiving aid to localities from the Fire Programs Fund must use the funding for fire service purposes and may not use such funds to supplant or replace local appropriations. In collecting fire programs funding, local governments must file an annual report on the prior fiscal period's fund usage, as well as a disbursement agreement specifying uses for the current funding period.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Fire Programs**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 38.2-401; Department of Fire Programs Administrative Policies, Section 17.1 - Fire Programs Fund

Last Assessment Period: 2/1/2003 to 4/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Training Standards for Fire Marshals

SPSHS.DFP002

Local fire marshals are required to meet initial and ongoing training standards established by the Virginia Fire Services Board in order to exercise police powers in the conduct of investigations and to issue summons for violations of the State Fire Prevention Code.

Type: Regulation of Optional Activity

Agency: **Department of Fire Programs**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 27-34.2 and 27-34.2:1

Last Assessment Period: 2/1/2003 to 4/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Preliminary Alcohol Analysis of Breath

SPSHS.DFS001

Law enforcement officers, in conducting a preliminary analysis of a person's breath to determine blood alcohol content, must use equipment approved by the Department of Forensic Science.

Type: Regulation of Optional Activity

Agency: **Department of Forensic Science**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 18.2-267 and 46.2-341.25; Regulations for Breath Alcohol Testing 6 VAC 40-20-190-170 through 6 VAC 40-20-190-200

Last Assessment Period: 9/1/2000 to 11/30/2000

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This program was previously administered and assessed by the Department of Criminal Justice Services. Action by the 2005 General Assembly (Chapter 881, 2005 Virginia Acts of Assembly) created a separate Department of Forensic Science.

DNA Samples Required from Certain Convicted Persons

SPSHS.DFS002

Sheriffs, regional jailers and community based probation services agencies shall collect a DNA sample from every person convicted of a felony or a misdemeanor violation of Code of Virginia §§ 16.1-253.2 (violation of a protective order), 18.2-60.3 (stalking), 18.2-60.4 (violation of a stalking protective order), 18.2-67.4 (sexual battery), 18.2-67.4:1 (infected sexual battery), 18.2-67.4:2 (sexual abuse of a child under 15), 18.2-67.5 (C) (attempt to commit sexual battery), 18.2-102 (unauthorized use of animal, aircraft, vehicle or boat), 18.2-121 (entering property of another for purpose of damaging it), 18.2-130 (peeping or spying into dwelling or enclosure), 18.2-370.6 (penetrating the mouth of a child under 13 with lascivious intent), 18.2-387 (indecent exposure), 18.2-387.1 (obscene sexual display) and 18.2-479.1 (resisting arrest) provided a sample has not been previously collected from the individual as indicated by the Compensation Board's Local Inmate Data System (LIDS) DNA sample tracking application. A DNA sample shall also be collected from every juvenile adjudicated delinquent of an act that would be a felony if committed by an adult provided that the juvenile was 14 years of age or older at the time of the commission of the offense and a sample has not been previously collected from the juvenile as indicated by the LIDS DNA sample tracking application. Samples must be forwarded to the Department of Forensic Science within 15 days of collection.

Type: Compulsory Order

Agency: **Department of Forensic Science**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 16.1-299.1, 19.2-310.2 and 19.2-310.3

Last Assessment Period: 9/1/2008 to 11/30/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Testimony Regarding Identification of Drugs

SPSHS.DFS003

Local law enforcement officers may testify at preliminary hearing, or at a misdemeanor trial for possession of marijuana, to the results of field test kits which detect the presence of controlled substances and marijuana when the field test kits utilized have been approved by the Department of Forensic Science.

Type: Regulation of Optional Activity

Agency: **Department of Forensic Science**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 19.2-188.1; Regulations for the Approval of Field Test Kits for Detection of Drugs 6 VAC 40-30-10 through 6 VAC 40-30-80; Regulations for the Approval of Marijuana Field Tests for Detection of Marijuana Plant Material 6 VAC 40-50-10 through 6 VAC 40-50-80.

Last Assessment Period: 9/1/2008 to 11/30/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Operates as a mandate because local law enforcement officers will testify on results of field tests at preliminary hearings or misdemeanor trials for possession of marijuana. Effective prior to 2002.

DNA Samples Required Upon Arrest

SPSHS.DFS004

Sheriffs, regional jailers, and community-based probation services agencies must collect a saliva or tissue sample from every person arrested for any commission or attempted commission of any violent felony as defined in § 19.2-297.1 or certain burglaries as listed in §19.2-310.2:1, provided a sample has not been previously collected from the individual as indicated by the Compensation Board's Local Inmate Data System (LIDS) DNA sample tracking application. The samples must be collected by the agency responsible for booking upon arrest, before the person's release from custody. Samples must be forwarded to the Department of Forensic Science within 15 days of collection. They must be collected in accordance with procedures adopted by the Department of Forensic Science.

Type: Compulsory Order

Agency: **Department of Forensic Science**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 19.2-310.2:1and 19.2-310.3:1; Regulations for the Implementation of the Law Permitting DNA Analysis Upon Arrest for All Violent Felonies and Certain Burglaries 6 VAC 40-40-10 through 6 VAC 40-4—110.

Last Assessment Period: 9/1/2008 to 11/30/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Effective 2003.

Handling and Submission of Physical Evidence Recovery Kits (PERKs)

SPSHS.DFS006

A law enforcement agency that receives notice from a health care provider that a physical evidence recovery kit (PERK) has been collected from a victim of sexual assault who has elected to report the offense shall take possession of the PERK forthwith. The law enforcement agency must submit the PERK for analysis to the Department of Forensic Science within 60 days of receipt unless the PERK falls into one of four enumerated exceptions. Upon completion of the analysis, the Department of Forensic Science will return the PERK to the submitting law enforcement agency, which must store the PERK for a period of 10 years or until two years after the victim reaches the age of majority if the victim was a minor at the time of collection, whichever is longer. After the mandatory storage period has lapsed, the law enforcement agency may destroy the PERK or elect to retain it for a longer period. Upon request, the law enforcement agency shall provide to the victim, a parent or guardian of the victim if the victim was a minor at the time of the offense, or a close relative of a deceased victim, information regarding the submission of the PERK for analysis, the status of any testing, and results of any analysis of the PERK, unless disclosing such information would interfere with the investigation of prosecution of the offense, in which case the estimated date on which the information may be disclosed should be provided, if known. The requesting individual is required to provide the law enforcement agency and the Commonwealth's Attorney a current address and telephone number and keep that information updated.

Type: Compulsory Order

Agency: **Department of Forensic Science**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 19.2-11.7, 19.2-11.8, and 19.2-11.11

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Juvenile Secure Detention Center Report

SPSHS.DJJ001

Local governments or commissions eligible to receive State funds to support the operation of local or regional juvenile secure detention facilities are required to issue a monthly report to the State on the number of child care days registered by each juvenile secure detention center program or facility operated by the locality or commission.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 16.1-322.3

Last Assessment Period: 2/1/2005 to 3/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Delinquency Prevention

SPSHS.DJJ002

To obtain funds for a delinquency prevention and youth development program from the Department of Juvenile Justice, localities shall develop a comprehensive plan based on an objective assessment of the community's needs and resources for developing, coordinating, and evaluating juvenile services within the locality; establish a juvenile services citizen board; and provide a minimum of 25% of the total program budget, excluding the lease of facilities.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 66-27 through 66-35; Board of Juvenile Justice Regulations 6 VAC 35-60-10 et seq.

Last Assessment Period: 2/1/2005 to 3/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: This program is not currently funded and is inactive.

Court Service Unit Standards

SPSHS.DJJ003

Cities and counties operating local service units for juvenile and domestic relations courts shall comply with minimum State staff and personnel standards and provide services determined by the State Board of Juvenile Justice.

Type: Regulation of Optional Activity

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 16.1-233 and 16.1-234; Board of Juvenile Justice Regulations 6 VAC 35-150-10 et seq.

Last Assessment Period: 3/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Court Service Unit Office Space

SPSHS.DJJ004

Cities and counties shall provide suitable quarters, furniture and furnishings, and utilities, including telephone service, for State operated juvenile and domestic relations court service units.

Type: Compulsory Order

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 16.1-234; Board of Juvenile Justice Regulations 6 VAC 35-150-10 et seq.

Last Assessment Period: 3/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Juvenile Detention, Probation, and Court Service Unit Standards

SPSHS.DJJ005

Local governments providing specialized court services shall develop and operate probation, detention, and related court services for the juvenile and domestic relations court in accordance with established regulations.

Type: Regulation of Optional Activity

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 16.1-235; Board of Juvenile Justice Regulations 6 VAC 35-150-10 et seq.

Last Assessment Period: 3/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Transportation of Detained Juveniles

SPSHS.DJJ006

Local governments or regional commissions operating juvenile-secure detention facilities having custody or responsibility for the supervision of a juvenile shall be responsible for transportation of the juvenile to medical appointments, dental appointments, psychological, and psychiatric evaluations. Transportation of juvenile placements shall be the responsibility of the court service unit.

Type: Compulsory Order

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 16.1-254; Board of Juvenile Justice Regulation, 6 VAC 35-101-640

Last Assessment Period: 2/1/2005 to 3/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Community-Based Juvenile Services and Sanctions

SPSHS.DJJ007

To receive funding under the Virginia Juvenile Justice Community Crime Control Act, local governments shall have their biennial plans for community-based residential and nonresidential programs approved by the State Board of Juvenile Justice (SBJJ), and shall comply with SBJJ standards and regulations in the operation of their programs.

Type: Regulation of Optional Activity

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 16.1-309.2 through 309.9; Board of Juvenile Justice Regulations, 6 VAC 35-150-10 et seq.

Last Assessment Period: 2/1/2005 to 3/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Mental Health Screening of Juveniles

SPSHS.DJJ008

The staffs of local or regional juvenile secure detention facilities are required to administer a mental health screening tool to ascertain the need for a mental health assessment for juveniles detained within the facility. If a mental health assessment is determined to be necessary, the assessment shall take place within twenty-four hours of the determination.

Type: Compulsory Order

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 16.1-248.2; Board of Juvenile Justice Regulation, 6 VAC 35-101-820

Last Assessment Period: 4/1/2003 to 6/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Mental Health Transition Plans for Incarcerated Juveniles

SPSHS.DJJ009

Each juvenile court service unit (CSU) and local or regional juvenile secure detention facility operating a post-dispositional detention program shall enter into a single, integrated Memorandum of Understanding (MOU) with the public agencies that are required to participate in the Community Policy and Management Team (CPMT) as established by § 2.2-5205 of the Code of Virginia for each jurisdiction covered by the CSU or post-dispositional detention program. Those entities involved in the CPMT include the community service boards established pursuant to § 37.2-501 of the Code of Virginia, CSUs, departments of health and social services, and local school divisions. Those local agencies agreeing to the terms of the MOU shall attend certain meetings and review cases subject to the regulation.

Type: Regulation of Optional Activity

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 16.1-293.1; Board of Juvenile Justice Regulation, 6 VAC 35-180-10 et seq.

Last Assessment Period: 7/1/2010 to 9/30/2010

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Social Services Collaboration in Transition Planning for the Release of Certain Juveniles

SPSHS.DJJ010

In all cases in which a person who is in the custody of the local department of social services is committed to the Department of Juvenile Justice (DJJ), the local department of social services and DJJ are required to work cooperatively through the duration of the person's commitment to ensure communication of information regarding the status of the person and to facilitate transition planning for the person prior to his release.

No less than 90 days prior to the release of a person who (i) will be under the age of 18 at the time of release, (ii) was in the custody of social services prior to commitment, and (iii) will return to the custody of social services upon release, the local department of social services must collaborate with the juvenile court services unit to develop a plan that prepares the person for transition back into the community, identifies needed services, and details how the services are to be provided. This mandate is applicable for youth who meet the requirements for the Fostering Futures program set out in the 2016 Appropriations Act. The Act expands foster care benefits to youth until their 21st birthday who were adopted at age 16 or older, reach age 18 on or after July 1, 2016; were in the custody of a local department of social services immediately before their 18th birthday or immediately prior to DJJ commiment; are transitioning to self-sufficiency; and are: (i) working at least 80 hours per month; (ii) attending an education program; or (iii) are medically unable to do either activity.

Type: Compulsory Order

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 16.1-293; Item 346 (L), Chapter 780, 2016 Acts of Assembly

Last Assessment Period: 8/1/2013 to 10/31/2013

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Correctional Facility Standards

SPSHS.DOC001

Local governments that operate jails or lockups must comply with Board of Corrections regulations concerning construction, equipment, administration, and operation of local correctional facilities.

Type: Regulation of Optional Activity

Agency: **Department of Corrections**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 53.1-5, 53.1-68, 53.1-80 through 53.1-82.3, 53.1-131.3; Board of Corrections Regulations 6 VAC 15-40-10 et seq., 6 VAC 15-80-10 et seq.

Last Assessment Period: 5/1/2003 to 7/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Correctional Facility Cost-Sharing

SPSHS.DOC002

Local governments shall bear at least one-half of the cost of constructing or renovating correctional facilities, and no State reimbursement shall occur unless plans and specifications have been approved in advance by the Governor. Correctional facility maintenance costs shall be borne by localities.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Corrections**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 53.1-5, 53.1-76, 53.1-80 through 53.1-82.3, 53.1-95.1; Board of Corrections Regulations 6 VAC 15-80-10 et seq.

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Correctional Facility Compliance Audit

SPSHS.DOC005

Local governments that operate jails, lockups, or residential centers are required by the Board of Corrections standards to participate in a compliance audit once every two to three years. The standards require local programs to address (1) life, health, and safety issues; (2) management and administrative matters; and (3) offender programs and services. Local correctional facilities are also required to undergo one annual unannounced inspection by the Board of Corrections as well as one annual unannounced inspection by the State Health Commissioner.

Type: Regulation of Optional Activity

Agency: **Department of Corrections**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 53.1-5, 53.1-68; Board of Corrections Regulations 6 VAC 15-11-10 et seq., 6 VAC 15-20-10 et seq., 6 VAC 15-70-10 et seq.

Last Assessment Period: 8/1/2005 to 10/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Appoint Local Emergency Management Director

SPSHS.VDEM001

Each political subdivision shall have a director of emergency management and shall appoint a coordinator of emergency management.

Type: Compulsory Order

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.19 (B) 1-5

Last Assessment Period: 4/1/2012 to 6/30/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Emergency Operations Plan

SPSHS.VDEM003

All cities and counties are required to develop and maintain a local emergency operations plan, which assigns emergency duties and responsibilities to local government and support agencies, and sets forth procedures for emergency response and recovery. Localities must conduct a comprehensive review and revision of the plan every four years, which must be formally readopted by the locality's governing body.

Type: Compulsory Order

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.19 (E); Federal Civil Defense Act of 1950, as amended (Fed.); Federal Emergency Management Agency Civil Preparedness Guides 1-3, 1-8 (Fed.)

Last Assessment Period: 3/1/2014 to 5/31/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Radiological Emergency Plan

SPSHS.VDEM004

Each political subdivision having a nuclear power station or other nuclear facility within 10 miles of its boundaries shall prepare and keep current an appropriate emergency plan for its area to respond to nuclear accidents at such station or facility.

Type: Compulsory Order

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.19 (E); 42 USC 5131, 5844 (Fed.); P.L. 96-295 (Fed.); 10 CFR 50, 44 CFR 350 (Fed.); Executive Orders 12127, 12148 (Fed.)

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Disaster Assistance to Local Governments

SPSHS.VDEM006

Following a presidential declaration of a major disaster or emergency, local governments may receive disaster assistance to save lives, protect property, and supplement the efforts and available resources to alleviate damage, loss, and suffering caused by the event. Local recipients must agree to: assume the nonfederal share of costs (25%), complete work for which assistance is received, and comply with the provisions of a State-Applicant Disaster Assistance Agreement.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.27; P.L. 93-288 (Fed.); 42 USC 5121 et seq. (Fed.)

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Hazardous Materials Response Team

SPSHS.VDEM007

Local governments entering into cost-sharing agreements with the Department of Emergency Management to receive State funds for the maintenance and operation of advanced hazardous materials response teams agree by contract to dispatch the teams to incidents occurring within an assigned geographic area outside their own political subdivisions.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.36 (A)

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Eliminate

Current Assessment Period:

Comment: Although VDEM recommended to eliminate the catalog entry in 2005, the 2016 staff and Director of VDEM have agreed that SPSHS.VDEM007 is a robust VDEM function and recommends to retain this entry here on out.

SARA Title III Requirements

SPSHS.VDEM008

Local governments must participate in Local Emergency Planning Committees established by the Virginia Emergency Response Council under the authority of Title III of the Superfund Amendments and Reauthorization Act (SARA), also known as the Emergency Planning and Community Right-to-Know Act of 1986. Committees must develop (1) emergency response plans for extremely hazardous substances, (2) procedures for collecting and maintaining listings of such substances, and (3) procedures to respond to requests for information on the presence or release of these substances. Emergency response plans must be reviewed and updated annually.

Type: Compulsory Order

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.40; P.L. 99-499 (Superfund Amendments and Reauthorization Act of 1986, Title III) (Fed.); 42 USC 9601 et seq. (Fed.); 40 CFR Subchapter J (Fed.)

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Appoint Local Hazardous Materials Coordinator

SPSHS.VDEM009

Local governments are required to appoint a hazardous materials coordinator and the individual appointed to that position must have the requisite qualifications as established by the Department of Emergency Management.

Type: Compulsory Order

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.38; P.L. 99-499, Section 303(c)(3) (Fed.)

Last Assessment Period: 6/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Alert and Warning Plan

SPSHS.VDEM010

Local governments with a population greater than 50,000 persons are required to establish an alert and warning plan for the dissemination of adequate and timely warning to the public in the event of an emergency or threatened disaster.

Type: Compulsory Order

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.19 (G)

Last Assessment Period: 1/1/2009 to 3/31/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Emergency Management Assessment

SPSHS.VDEM011

Local governments are required to provide the Virginia Department of Emergency Management an annual emergency management assessment based upon national standards for local emergency management status measurement.

Type: Compulsory Order

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.19 (F)

Last Assessment Period: 1/1/2009 to 3/31/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

State and Local Hazard Mitigation Plans

SPSHS.VDEM012

States must have an approved (Standard or Enhanced) State Mitigation Plan in order to receive assistance under the Stafford Act. Additionally, local and Indian tribal government applicants for project subgrants must have an approved local hazard mitigation plan prior to receipt of either Hazard Mitigation Grant Program (HMGP) or Pre-Disaster Mitigation (PDM) funding.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Federal Regulations Chapter 44, Sections 201.4, 201.5, and 201.6

Last Assessment Period: 7/1/2008 to 9/30/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Disaster Pet Planning/Animal Protection

SPSHS.VDEM013

Localities must coordinate with VDEM in developing emergency response plans to address the needs of individuals with household pets and service animals in the event of a disaster.

Type: Compulsory Order

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §44-146.18(B)19; Federal - Pets Evacuation and Transportation Standards Act of 2006 - Amends the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

Last Assessment Period: 1/1/2010 to 3/31/2010

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

State Homeland Security Grant Program

SPSHS.VDEM014

Local governments receiving funds from the State Homeland Security Grant Program are required to comply with guidelines issued by the Department of Emergency Management and the U.S. Department of Homeland Security. Local governments receiving grants are required to report periodically on expenditures and on progress toward achieving a project's objectives.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Section 2002 of The Homeland Security Act of 2002, as amended (P.L. 107-296), (6 USC § 603) The Department of Homeland Security Appropriations Act, 2014 (P.L. 113-76)

Last Assessment Period: 10/1/2012 to 11/30/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Localities Participation in Statewide Mutual Aid Program

SPSHS.VDEM015

Two or more local governments may participate in a joint/interjurisdictional disaster operation plan or program. They may receive assistance in the event of a disaster or emergency pursuant to a local mutual aid agreement or by the Statewide Mutual Aid Program. They must notify the Virginia Department of Emergency Management if either adopts a resolution to participate or withdraw from the Statewide Mutual Aid Program.

Type: Compulsory Order

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.20

Last Assessment Period: 12/1/2015 to 2/29/2016

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Tax, Fee, and Registratrion Exemption for Out-of-State Disaster/Emergency Related Businesses

SPSHS.VDEM016

Local governments shall not collect any local taxes or fees nor shall they require any otherwise mandatatory licensing or registration for out-of-state businesses, as defined, performing certain disaster-related or emergency-related work within the Commonwealth.

Type: State Fiscal Preemption

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.28:2

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Arrest Report

SPSHS.VSP001

Local law enforcement agencies are required to submit an arrest report for any jailable offense to the Central Criminal Records Exchange (CCRE). The report must contain such information as is required by the CCRE and shall be accompanied by fingerprints and a photograph of the individual arrested.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 19.2-390; Omnibus Crime Control and Safe Streets Act of 1968 as amended by the Crime Control Act of 1973 (Fed.)

Last Assessment Period: 4/1/2003 to 6/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Vehicle Inspection Station Standards

SPSHS.VSP002

Local governments that operate motor vehicle inspection stations must comply with Department of State Police regulations governing such operation.

Type: Regulation of Optional Activity

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 46.2-1163

Last Assessment Period: 6/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Virginia Criminal Information Network

SPSHS.VSP003

Local law enforcement agencies that join the Virginia Criminal Information Network must comply with Department of State Police regulations governing its operation, including mandated training of operators, and must bear a portion of the cost of establishing, operating, and maintaining such systems.

Type: Regulation of Optional Activity

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 19.2-389, 52-12 et seq.; 28 USC 20 (Fed.); 28 USC 534 (Fed.); P.L. 101-647 (Fed.)

Last Assessment Period: 8/1/2003 to 10/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Uniform Crime Reporting System

SPSHS.VSP005

Local law enforcement agencies must report certain crimes and offenses, including certain gang related arrests, to the Department of State Police for statewide crime reporting and for transmittal to the Federal Bureau of Investigation Uniform Crime Reporting System. Monthly electronic files are to be received by the Department of State Police by the 15th of the following month. Failure to report, incomplete and inaccurate reporting could affect allocated funding.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 52-25, 52-28, 52-28.1 and Title 9.1, Chapter 1, Article 8; Virginia UCR Guide Manual; 28 USC 534 (Fed.)

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Missing Children Information Clearinghouse Reporting

SPSHS.VSP006

Local law enforcement agencies must forward reports of missing children to a Missing Children Information Clearinghouse maintained by the Department of State Police, within two hours of receipt of the missing child report. Additionally, requires reporting for persons under 21 who have been defined as children for the purposes of these Code sections.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 52-31 through 52-34 and § 15.2-1718

Last Assessment Period: 5/1/2005 to 7/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Hate Crimes Report

SPSHS.VSP007

Local law enforcement agencies are required to report all hate crimes occurring in their jurisdiction to the Department of State Police.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 52-8.5 and 52-30; 28 USC 534 (Fed.); 28 CFR Part 20 (Fed.)

Last Assessment Period: 2/1/2004 to 4/30/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Criminal Firearms

SPSHS.VSP008

The Department of State Police and local law enforcement agencies must report information on all firearms that come into their possession which are believed to have been used in the commission of a crime to a firearms tracing system maintained by the U.S. Department of Justice.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 52-25.1

Last Assessment Period: 3/1/2002 to 5/31/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Sex Offender and Crimes Against Minors Registry

SPSHS.VSP009

Local law enforcement agencies are required to obtain from offenders all necessary registration information, which includes but is not limited to: fingerprints, palm prints, DNA and a photograph of a type and kind approved by the Department of State Police. The agency shall forthwith forward the registration information to the Department of State Police. The sheriff, jail superintendent or an official of the Department of Corrections is required to give notice to a prisoner for whom registration is required at the time of intake, prior to the day of the offender's release or discharge from commitment, of their duty to register and re-register and to submit to registration. The registration must be completed at the time of intake, on the date of release or discharge. The registration information includes but is not limited to: fingerprints, palm prints, DNA and a photograph of a type and kind approved by the Department of State Police. The facility shall forthwith forward the registration information to the Department of State Police.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 16.1-249.1, 16.1-278.7:01, 16.1-278.7:02, 53.1-23.2, 53.1-116.1, 53.1-116.1:01, 53.1-160.1, 9.1-900 et seq.; Violent Crime Control and Law Enforcement Act of 1994 as amended by the Adam Walsh Child Protection Act (Fed.)

Last Assessment Period: 8/1/2005 to 10/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Criminal Street Gang Reporting

SPSHS.VSP010

Local law enforcement agencies, regional jails, the Department of Corrections, the Department of Juvenile Justice, and regional multi-jurisdictional law enforcement task forces are required to enter the names of persons who have been determined to be a member of a gang into the Virginia Criminal Information Network.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 52-8.6

Last Assessment Period: 5/1/2000 to 7/31/2000

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Program expanded (Chapter 843, 2005 Virginia Acts of Assembly) to require additional reporting. Not currently scheduled for assessment.

Concealed Handgun Authorization/Permit

SPSHS.VSP011

Local law enforcement agencies are required to submit a copy of a favorable review consultation and the Clerk of the Court must submit a copy of the concealed handgun permit to the Department of State Police for entry into the Virginia Criminal Information Network. The Department of State Police is required to notify the court having issued the permit of the arrest, conviction or occurrence of any other event that would disqualify a person from possessing a concealed handgun permit. Local governments shall not require fingerprinting as part of the permitting process. Non-residents of the Commonwealth may apply in writing to the Department of State Police for a five year period to carry a concealed handgun. Upon receipt of such notice of a conviction, the court is required to revoke the permit of a person disqualified and notify the State Police and the person whose permit was revoked of the revocation.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 18.2-308 et seq.

Last Assessment Period: 3/1/2006 to 5/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Unserved Felony Warrants or Capias Reporting

SPSHS.VSP012

Local law enforcement agencies are required to enter unserved felony warrants and capias information into the Virginia Criminal Information Network and the National Crime Information Center within 72 hours of receipt.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 19.2-390

Last Assessment Period: 2/1/2006 to 4/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Registration of Machine Guns

SPSHS.VSP013

Local law enforcement agencies are required to register their department's machine guns with the Department of State Police within 24 hours of acquisition.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 18.2-295

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Protective Order Reporting

SPSHS.VSP015

Local law enforcement agencies are required to enter valid protective order information into the Virginia Criminal Information Network upon receipt.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 16.1-253, 16.1-253.1, 16.1-253.4, 16.1-279.1, 19.2-152.8 through 19.2-152.10, 20-103

Last Assessment Period: 9/1/2006 to 11/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Appointment of Conservator of the Peace

SPSHS.VSP016

The clerk of the circuit court for each jurisdiction is required to forward a copy of the court order of Special Conservator of the Peace appointment to the Department of State Police for entry into the Virginia Criminal Information Network.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 19.2-13

Last Assessment Period: 1/1/2009 to 3/31/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Requirement to Report Suspected Aliens

SPSHS.VSP017

Probation/parole officers are required to report individuals suspected of being illegal aliens when they are referred to probation/parole for a pre- or post-sentence report when convicted in Circuit Court of any felony. Whenever any person is committed to a correctional facility, it shall be the duty of the director, sheriff or other person in charge of such facility to inquire as to whether the person was born in a country other than the United States or is a citizen of a country other than the United States. The sheriff or other officer in charge of the jail shall communicate the results of any immigration alien inquiry that confirms that a person committed to the jail is illegally present in the United States to the Local Inmate Data System. If it is confirmed that the individual is illegally present in the United States, the Department of Corrections, director or other officer in charge shall notify the Central Criminal Records Exchange to be recorded on the person's criminal history. However, notification need not be made to the Central Criminal Records Exchange in certain instances if it is apparent that the status was previously reported while the person was in jail custody, while the person reported to a parole officer after being convicted of a felony, or if the facility makes use of the "Secure Communities" program administered by the U.S. Customs and Immigration Enforcement.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 19.2-294.2, 19.2-83.2, 53.1-218

Last Assessment Period: 1/1/2009 to 3/31/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Program effective July 1, 1994.

Officer Involved Shooting

SPSHS.VSP018

Law enforcement agencies must report all officer involved shootings that result in death or major injury, and indicate whether the shooting was determined to be justified. These shall be included in the annual Crime in Virginia report. Any law enforcement or public safety officer required to make such a report shall receive training concerning such reporting requirement. The completed forms are to be received by the Department of State Police.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 52-25, 52-28, 52-28.2

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Abandoned Inoperable Motor Vehicles

STO.DMV001

Upon certification by a local government that an inoperable motor vehicle left on property within the locality has been disposed of as provided in the Code of Virginia, the Department of Motor Vehicles shall reimburse the locality $50 for each abandoned inoperable motor vehicle disposed of at the expense of the locality. Localities are required to complete forms provided by the Department of Motor Vehicles to prevent the payment of undocumented claims.

Type: Regulation of Optional Activity

Agency: **Department of Motor Vehicles**

Secretariat: Transportation

Authority: Code of Virginia §§ 46.2-1200 through 1207

Last Assessment Period: 5/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Unmarked Police Vehicle License Plates

STO.DMV002

In order to receive regular license plates for use on unmarked vehicles, local governments must certify that the motor vehicles will be used solely for police work.

Type: Regulation of Optional Activity

Agency: **Department of Motor Vehicles**

Secretariat: Transportation

Authority: Code of Virginia § 46.2-750.1

Last Assessment Period: 5/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Community Traffic Safety Grant

STO.DMV003

Local governments may receive Community Traffic Safety grant funds through the Department of Motor Vehicles. To be eligible under this program, localities must establish projects to reduce the number and severity of traffic crashes through enhanced response to accidents, selective traffic law enforcement, identification of pedestrian safety problems, and identification and improvement of locations that have high or potentially high accident experience. Localities receiving this grant funding are required to provide matching funds and to comply with other program requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Motor Vehicles**

Secretariat: Transportation

Authority: Code of Virginia §§ 46.2-222 through 223; Highway Safety Act of 1966, as amended (Fed.); 23 USC 401 et seq. (Fed.)

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Vehicle Registration Withholding Program STO.DMV004

The treasurer or director of finance of any locality may enter into an agreement with the Department of Motor Vehicles (DMV) for DMV to withhold a vehicle registration renewal if the applicant is delinquent in paying local vehicle license fees, personal property tax, or any parking citations issued by any Virginia locality. If the locality participates in the program, it must comply with the requirements specified in the Code of Virginia and the formal agreement between the locality and the Department of Motor Vehicles.

Type: Regulation of Optional Activity

Agency: **Department of Motor Vehicles**

Secretariat: Transportation

Authority: Code of Virginia § 46.2-752(J)

Last Assessment Period: 5/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Vehicle Registration Program STO.DMV005

Local governments that enter into an agreement with the Department of Motor Vehicles (DMV) for DMV to collect local vehicle license fees must comply with statutory requirements and the formal agreement between the locality and DMV.

Type: Regulation of Optional Activity

Agency: **Department of Motor Vehicles**

Secretariat: Transportation

Authority: Code of Virginia §§ 46.2-752, 46.2-756

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Notice to Commissioner of Motor Vehicles Upon Seizure of Vehicles STO.DMV006

If a motor vehicle is seized in a forfeiture proceeding, the Commonwealth's Attorney shall forthwith notify the Commissioner of Motor Vehicles of the seizure and motor number of the seized vehicle by certified mail or electronically in a format approved by the Commissioner. In response, the Commissioner provides a certificate confirming the names of any owners and lien holder, which shall be received in evidence in a court proceeding where the facts of the certificate are material.

Type: Compulsory Order

Agency: **Department of Motor Vehicles**

Secretariat: Transportation

Authority: Code of Virginia §§ 19.2-386.2:1

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Airport Operation Standards

STO.DOAV001

Local governments that operate airports or air navigation facilities must maintain those facilities consistent with State and federal standards.

Type: Regulation of Optional Activity

Agency: **Department of Aviation**

Secretariat: Transportation

Authority: Code of Virginia § 5.1-2.2; 24 VAC 5-20-140, 24 VAC 5-20-300; 14 CFR 139 (Fed.)

Last Assessment Period: 3/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Airport Licensing

STO.DOAV002

Local governments that sponsor airports must secure a license from the Department of Aviation in order to operate or expand a public-use airport. Such license must be renewed every seven years.

Type: Regulation of Optional Activity

Agency: **Department of Aviation**

Secretariat: Transportation

Authority: Code of Virginia §§ 5.1-7; 24 VAC 5-20-120, 24 VAC 5-20-180, 24 VAC 5-20-280

Last Assessment Period: 3/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Airport Property Lease

STO.DOAV003

Local governments that sponsor airports must certify to the Department of Aviation that all leases involving land that is considered dedicated airport property meet the standards of applicable state and federal grant-in-aid obligations.

Type: Regulation of Optional Activity

Agency: **Department of Aviation**

Secretariat: Transportation

Authority: Code of Virginia § 5.1-40

Last Assessment Period: 3/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Airport Grant Application Approval

STO.DOAV004

Local government airport sponsors that apply for federal funds for airport planning or construction projects must have approval of the application from the Department of Aviation prior to submitting it to the Federal Aviation Administration.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Aviation**

Secretariat: Transportation

Authority: Code of Virginia § 5.1-47

Last Assessment Period: 3/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Airport Grant Assurances

STO.DOAV005

Local government airport sponsors that accept grant or loan funds from the Virginia Aviation Board must agree to terms and conditions as set forth by the Board. Such terms and conditions require that the sponsor: maintain the airport for a minimum of twenty years, carry out and complete the project in accordance with the plans and specifications of the project for which the funds were provided, accomplish all procurements in accordance with the Virginia Public Procurement Act, keep the airport free and clear of hazards to air navigation, and not release title to any airport real property without the approval of the Department of Aviation.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Aviation**

Secretariat: Transportation

Authority: Code of Virginia § 5.1-52; 24 VAC 5-20-330

Last Assessment Period: 3/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Airport Safety Overlay Zoning

STO.DOAV006

Local governments in which a public-use airport is located as well as those that are in close proximity to such an airport are required to adopt overlay zoning that keeps the airspace free from obstruction to the safe passage of aircraft.

Type: Compulsory Order

Agency: **Department of Aviation**

Secretariat: Transportation

Authority: Code of Virginia § 15.2-2294; 24 VAC 5-20-190 through 24 VAC 5-20-220

Last Assessment Period: 3/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Based Aircraft Survey

STO.DOAV007

Local governments that sponsor airports must submit a survey of all based aircraft to the Department of Aviation. Such survey must be submitted annually and include ownership and aircraft registration for all aircraft normally sited on the airport as of January 1 of each year.

Type: Regulation of Optional Activity

Agency: **Department of Aviation**

Secretariat: Transportation

Authority: 24 VAC 5-20-350

Last Assessment Period: 3/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Airport Financial Responsibility

STO.DOAV008

Local governments that sponsor airports are required to have bodily injury and property damage liability insurance equal to at least $1 million. Proof of insurance or other acceptable form of financial responsibility must be certified to the Department of Aviation on an annual basis.

Type: Regulation of Optional Activity

Agency: **Department of Aviation**

Secretariat: Transportation

Authority: Code of Virginia §§ 5.1-88.7 through 5.1-88.10; 24 VAC 5-20-350

Last Assessment Period: 3/1/2005 to 5/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Federal Mass Transportation Grants

STO.DRPT003

Local governments receiving federal mass transportation grants which provide funds for planning, operation, capital, and administrative assistance to local public transportation agencies must accept federal mandates as to procurement, project management oversight, project review, safety standards, new bus model testing, alcohol and controlled substance testing of employees, nondiscrimination, labor standards, energy policy, environmental protection, and uniform audits and reporting.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 2.2-4200 et seq.; 23 USC § 324 (Fed.); 49 USC §§ 2000d et seq., 5303, 5304, 5307, 5309, 5310, 5311, 5323, 5331 through 5333, 20140, 31306, 47113 (Fed.); 49 CFR Parts 21, 26, 40, 219, 609, 613, 614, 622, 630, 633, 639, 653, 654, 655, 659, 661, 663, and 665 (Fed.); FTA Circular 5010.1C (Fed.); Omnibus Transportation Employee Testing Act of 1991 (Fed.);

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Public Transit System Financial and Statistical Reports

STO.DRPT004

Public transit systems must file financial and other required statistical data annually with the Commonwealth Transportation Board.

Type: Regulation of Optional Activity

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-219

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Clean Air Act Amendments of 1990

STO.DRPT006

Local governments receiving federal mass transit grant funds for nonattainment areas must ensure that their projects conform to any air quality implementation plan approved under the Clean Air Act Amendments of 1990, to include meeting the plan's emission reduction goals.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: P.L. 101-549 (Fed.); 42 USC § 7506(c) (Fed.); 40 CFR Parts 85 and 86 (Fed.)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Title to Rail Industrial Access Projects

STO.DRPT007

Local governments receiving funds through the Department of Rail and Public Transportation's Rail Industrial Access Program must insure that the State retains a vested interest in the tracks or facilities constructed or procured with the funds.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1600 (F)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Procurement Requirements for Construction of Rail or Public Transportation Facilities

STO.DRPT008

If a local government uses transportation funds to construct transportation facilities, the contracting processes must comply with applicable State procurement statutes.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 2.2-4300 et seq., 33.2-235

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Transportation Services for Individuals with Disabilities

STO.DRPT011

Local governments receiving federal rail or mass transit grant funds must comply with the Americans with Disabilities Act of 1990 by providing accessible service and facilities which accommodate persons with transportation disabilities.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Americans with Disabilities Act of 1990 (Fed.); P.L. 101-336 (Fed.); 104 Stat. 338 (Fed.);42 USC §§ 12141 through 12143 (Fed.); 49 CFR Parts 27, 37, and 38 (Fed.)

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Commonwealth Mass Transit Funds

STO.DRPT013

The Commonwealth Mass Transit Fund shall not be allocated without a local match from the recipient.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 58.1-638(A)(4)(b)(3)

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Rail Industrial Access Program

STO.DRPT014

Local governments participating in the Department of Rail and Public Transportation's Rail Industrial Access Program must request funds by resolution of the governing body, provide right of way at no cost to the program, and provide a 50% match for any funds received in excess of $300,000.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1600; DRPT Rail Industrial Access Program Application Guidance and Procedures (2012)

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Rail Preservation Program

STO.DRPT015

Local governments receiving State grants for rail preservation or improvement projects are required to provide for 30% of project funding using non-State sources. The Commonwealth's interests in such projects must be insured, in that the State retains a vested interest for the useful life of the assets or improvements, i.e. tracks, equipment, materials or facilities constructed or procured with the funds.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1602; 49 USC 322(a)(Fed.); 49 CFR 49 (Fed.); Rail Preservation Program FY2015 Application Procedures

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Alternative Fuel Bus Funds

STO.DRPT016

Local governments in urban areas with populations over 100,000 persons receiving federal grants for urban bus alternative fuels demonstration projects must provide a 20% match.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: P.L. 102-486, Title IV, § 410 (Fed.); 106 Stat. 2884 (Fed.); 42 USC 13236(b) (Fed.)

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Federal Uniform Administrative Requirements

STO.DRPT017

Local governments receiving transportation grants or entering into cooperative agreements with the federal Department of Transportation or its agencies are subject to uniform administrative requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: 49 USC 322(a) (Fed.); 49 CFR Part 49 (Fed.)

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Federal Highway Administration Grants

STO.DRPT018

Local governments receiving Transportation Demand Management grants from the Department of Rail and Public Transportation in connection with highway construction projects funded by the Federal Highway Administration are required to comply with certain federal mandates as to nondiscrimination, record keeping, safety, water and air quality, and lobbying activities.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: 23 USC 109 and 114 et seq. (Fed.); 23 CFR 633 and 635 (Fed.); 49 CFR 20 (Fed.); Form FHWA-1273 (Fed.)

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Rail Enhancement Program

STO.DRPT020

Projects undertaken pursuant to this section shall be limited to those the Board has determined will result in public benefits to a region of the Commonwealth or the Commonwealth as a whole that are equal to or greater than the investment of funds under this section. Such projects must include a minimum of 30 percent cash or in-kind matching contribution from a private source, which may include a railroad, a regional authority, or a local government source, or a combination of such sources.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1601; 49 USC 322(a)(Fed.); 49 CFR 49 (Fed.); Rail Enhancement Fund Application Procedures (2013)

Last Assessment Period: 3/1/2011 to 5/31/2011

Last Assessment Finding: Eliminate

Current Assessment Period:

Comment: The agency recommended that the mandate be eliminated from the catalog as part of its assessment in 2011. The assessment did not recommend elimination of the actual program or any requirements upon local governments. The Commission contends that DRPT's justification to eliminate this program from the Catalog is not sufficient, as it continues to impose a requirement upon local governments that are seeking financial aid.

Intercity Passenger Rail Operating and Capital Fund STO.DRPT021

Local governments receiving funds from the Intercity Passenger Rail Operating and Capital Fund (IPROC) must comply with the terms in the agreement, process for submitting cost estimates and project plans, bidding process (if needed), reporting requirements, invoices, record retention after completion of project and other administrative tasks as determined by the Director of DRPT and the Commonwealth Transportation Board. Projects undertaken pursuant to this section shall be limited to those the Board has determined will result in public benefits to a region of the Commonwealth or the Commonwealth as a whole. Matching funds are not required to receive funds however; local governments cannot use the Commonwealth Transportation Fund to match IPROC funds.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Rail and Public Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1603, Intercity Passenger Rail Operating and Capital Fund PILOT Application Procedures

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Metropolitan Transportation Planning STO.VDOT001

Local governments in urbanized areas that receive federal capital or operating financial assistance under Title 23, USC, Chapter 1, Federal Aid Highways and Title 49, USC, Chapter 53, Public Transportation, must have a comprehensive, cooperative, and continuing transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area. These plans and programs support transportation improvements and subsequent project development activities in the area.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-3201; 23 USC 134 (Fed.), 49 USC 5303 (Fed.); 23 CFR 450 (Fed.)

Last Assessment Period: 4/1/2003 to 6/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Rural Transportation Planning Program STO.VDOT002

The Department of Transportation provides assistance funds to 20 of the 21 planning district commissions in the state to conduct rural transportation planning with local governments that are not members of a metropolitan planning organization. Localities participating in this program are required to provide 20% of the costs.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: 23 USC 135 (Fed.); 23 CFR 450 (Fed.)

Last Assessment Period: 9/1/2003 to 11/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

VDOT Revenue Sharing Program

STO.VDOT003

Localities participating in the Department of Transportation's Revenue Sharing Program must provide matching funds up to $10,000,000 for approved transportation projects. Such projects include (1) improving, constructing, or reconstructing roads in the urban, primary or secondary systems; or (2) bringing certain subdivision streets up to standards sufficient to qualify for State maintenance. In addition, the program allows up to $5 million of the requested revenue sharing funds to be used by a locality for maintenance projects.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-357

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Storm Sewer Cost-Sharing

STO.VDOT004

Local governments desiring to include new storm sewer facilities as components of secondary system improvement projects are required to participate in the costs of those facilities if such are determined by the Commonwealth Transportation Board to be nonessential to the projects.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Commonwealth Transportation Board Policy Handbook, July 2010, p. 5-360.

Last Assessment Period: 4/1/2004 to 4/30/2004

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Traffic Restrictions Policy

STO.VDOT005

Local governments may request the Commonwealth Transportation Board (CTB), or its designee, to prohibit or restrict through trucks on any part of a primary or secondary road in accordance with the "Guidelines For Considering Requests to Restrict Through Trucks on Primary and Secondary Highways" established by the Commonwealth Transportation Board. The requesting local body must hold a public hearing before making the request and there must be a reasonable alternative route. Such restriction may apply to any truck, or truck and trailer, or semitrailer combination, except a pickup or panel truck on a roadway meeting the requirements laid out in the guidelines.

Local governments may request that VDOT restrict residential cut-through traffic on designated secondary roadways in accordance with the "Policy and Procedures for the Control of Residential Cut-Through Traffic" established by the Commonwealth Transportation Board. The requesting local governing body must make the request by formal resolution, and provide the supporting data specified in the Policy.

These requirements are not applicable in localities that own, maintain or operate their own system of highways.

Type: Regulation of Optional Activity

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 46.2-809, 46.2-809.1, Guidelines for Considering Requests to Restrict Through Trucks on Primary and Secondary Highways approved by the Commonwealth Transportation Board (October 16, 2013), Policy and Procedures for the Control of Residential Cut-Through Traffic, approved by the Commonwealth Transportation Board on May 9, 1996.

Last Assessment Period: 9/1/2003 to 11/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Economic Development Access Road

STO.VDOT006

Local governments participating in the Department of Transportation's Economic Development Access Roads Program must request funds by resolution of the governing body, comply with all applicable state and/or federal laws and regulations regarding environmental assessments and remediation at no cost to the program, provide right of way at no cost to the program, and provide matching funds up to $150,000 for allocations over $500,000, yielding a maximum of $650,000. These funds cannot be used for environmental assessments, right-of-way acquisition, or utility improvements and extensions.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1509, 24 VAC 30-271-20

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Airport Access Road

STO.VDOT007

Local governments participating in the Department of Transportation's Airport Access Roads Program for licensed, public-use airports must request funds by resolution of the governing body, comply with all applicable state and/or federal laws and regulations regarding environmental assessments and remediation at no cost to the program, provide right-of-way at no cost to the program, and provide matching funds up to $150,000 for allocations over $500,000, yielding a maximum of $650,000. These funds cannot be used for environmental assessments, right-of-way acquisition, or utility improvements and extensions.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1509, 24 VAC 30-451-10 et seq.

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Recreational Access Road

STO.VDOT008

Local governments participating in the Department of Transportation's Recreational Access Program must request funds by resolution of the governing body, comply with all applicable state and/or federal laws and regulations regarding environmental assessments and remediation at no cost to the program, provide right of way at no cost to the program, provide matching funds up to $100,000 for allocations over $250,000 for a road and up to $15,000 for allocations over $60,000 for a bikeway.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1510, 24 VAC 30-301-20

Last Assessment Period: 7/1/2003 to 9/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Subdivision Street Development Control

STO.VDOT009

The local governing body of any county that has not withdrawn from the state secondary highway system or any town within which the Virginia Department of Transportation maintains the streets, may, by resolution, request the Commonwealth Transportation Board (CTB) to take any new street into the secondary system of state highways for maintenance if such street has been developed and constructed in accordance with the CTB's Secondary Street Acceptance Requirements (SSAR). The SSAR regulation establishes requirements that newly constructed streets will need to meet to be accepted into the secondary system for maintenance and improvement. These regulations ensure that additions to the secondary system enhance the capacity of the overall transportation network and accommodate pedestrians.

The three goals of the legislation underlying the SSAR are: (1) ensuring the connectivity of road and pedestrian networks with the existing and future transportation network; (2) minimizing stormwater runoff and impervious surface area; and (3) addressing performance bonding needs of new secondary streets and associated cost recovery fees.

Type: Regulation of Optional Activity

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-210, 33.2-234, 33.2-335, 33.2-336, and 33.2-705; Virginia Department of Transportation Secondary Street Acceptance Requirements 24 VAC 30-92-10 et seq.

Last Assessment Period: 4/1/2012 to 6/30/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Urban Street Maintenance Payments

STO.VDOT011

Cities and towns above 3,500 population (and certain towns under 3,500) that maintain their own streets are eligible to receive State financial assistance for maintenance, construction, or reconstruction of streets. To be eligible, the streets must meet established pavement and right of way widths and established maintenance standards. The municipality must provide annual categorical reports of expenditures to the Commonwealth Transportation Board, certifying that none of the money received has been expended for anything other than maintenance, construction, or reconstruction of eligible streets, and report on their performance.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-319

Last Assessment Period: 4/1/2003 to 6/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Entrance to State Highway Standards

STO.VDOT012

The location, spacing, and design of all entrances to State highways must be in accordance with the Virginia Department of Transportation's "Access Management Regulations." No entrance to property, whether public or private, may be constructed within the right-of-way until its location, spacing, and design have been approved by VDOT.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-241 and 33.2-245; Land Use Permit Regulations (24 VAC 30-151), Access Management Regulations (24 VAC 30-73)

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: VDOT consolidated the access management regulations for Principal Arterial Highways and for Minor Arterials, Collectors, and Local Streets into one regulation effective December 5, 2013. This regulatory action was taken to eliminate redundant regulations and improve their accuracy and clarity.

Traffic Signs, Signals, and Markings

STO.VDOT013

Traffic signs, signals, and markings placed or erected by local governments or placed by others under authority of the local government, to include placement on private roads open to public traffic, must conform in size, design, and color to those erected for the same purpose by the Department of Transportation as guided or required by the national standard, "Manual on Uniform Traffic Control Devices." In addition, local governments may elect to place traffic signs, signals and markings in accordance with the provisions of the "Virginia Supplement to the Manual on Uniform Traffic Control Devices," to further improve on uniformity and consistency with Virginia statutes.

A county or town requesting that VDOT install signs on state maintained roads/streets providing for additional fines up to a maximum of $200 for exceeding the speed limit must request such signs in the form of a resolution, provide supporting data that a speeding problem exists, that the increased penalty has community support, and that the roadway meets the criteria established by the Commissioner of Highways for installation of such signs.

A county or town may enter into an agreement with the Commissioner allowing the county or town to install and maintain, at locations specified in the agreement, signs alerting motorists that children may be at play nearby. The cost of the signs and their installation shall be paid by the county or town. This is not applicable to any county that has withdrawn its roads from the secondary system of state highways.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-251, 46.2-878.2, 46.2-924, 46.2-1312; 24 VAC 30-315; 23 USC 109(d), 23 USC 402(a)(Fed.); 23 CFR 655.603 (Fed.)

Last Assessment Period: 4/1/2012 to 6/30/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Work Area Protection in Maintenance and Construction Operations STO.VDOT014

The Manual on Uniform Traffic Control Devices (MUTCD) serves as the standard for all traffic control devices installed on any street, highway, or bicycle trail open to public travel. Local governments performing construction and maintenance operations on streets and highways in the State or allowing such work to be performed by others (utility companies, etc.) must comply with the guidelines and uniform traffic control contained in the "Virginia Work Area Protection Manual" (WAPM) when working on VDOT roads or when construction or maintenance operations are federally funded. When working on local roads, the locality may choose to comply with either the MUTCD - Part 6, or the WAPM.

Federal regulations establish baseline requirements for procedures and equipment in public highway work areas. The VDOT standards provided in the WAPM, which have been approved by the Federal Highway Administration, were developed to provide localities with greater flexibility. Observance of the standards established in the MUTCD and the WAPM is required by federal rules.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 46.2-1312; 24 VAC 30-315; 23 CFR 655

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Removal or Relocation of Outdoor Advertising STO.VDOT015

Local governments that remove, under local ordinances, lawfully erected and maintained outdoor advertising signs visible from interstate, federal-aid primary highways (as that system existed on June 1, 1991), or the national highway system must pay just compensation to the owners of the signs and the owners of the property for their removal.

When land containing a billboard is acquired either by eminent domain or by purchase for the purpose of highway or sound wall construction, and the billboard is nonconforming with regard to a local ordinance, the owner of the sign, at his expense shall be permitted to relocate the sign. The new location shall be on the same property, as close as practicable, and the height or angle of the sign may be adjusted to restore its visibility. Sign owners are not authorized to increase the size of such signs. The billboard may remain in its original location provided the owner pays monthly rent to the Commissioner of Highways, or other condemnor, equivalent to the monthly rent received by the property owner prior to acquisition of the land and until the Commissioner of Highways or other condemnor gives notice to its owner that the sign must be removed.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-1230 and 33.2-1217 (E) and (F); 23 USC 131 (b) and (g) (Fed.); 23 CFR Part 750.705 (e) (Fed.)

Last Assessment Period: 7/1/2015 to 9/30/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Transportation Alternatives

STO.VDOT016

Local governments and other eligible sponsors can apply for funding to support projects that go beyond the normal elements of a transportation improvement project with an emphasis on the construction of facilities for the non-motorized users of the transportation system under the FAST Act's Surface Transportation Block Grant (STBG) funding for Transportation Alternatives (TA) program. Local governments receiving funds for TA projects are required to provide a 20% match and comply with various other federal laws and regulations.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Fixing America's Surface Transportation Act (P.L. 114-94) § 1109; 23 USC 133 (h) (Fed.)

Last Assessment Period: 8/1/2005 to 10/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment: In December 2015 the new federal transportation funding program, Fixing America's Surface Transportation Act (FAST Act), was signed into law, replacing the Moving Ahead for Progress in the 21st Century Act (MAP-21). The FAST ACT eliminates the MAP-21 Transportation Alternatives Program (TAP) and replaces it with a set-aside of the FAST Act's Surface Transportation Block Grant (STBG) program funding for transportation alternatives (TA). These set-aside funds can be used for all projects and activities that were previously eligible under TAP.

Road and Bridge Standards

STO.VDOT017

Local governments requiring curbs along their streets that incorporate accessible pedestrian routes must also require that curb ramps be constructed at intersections for use by persons with mobility impairments. The ramps shall comply with the Virginia Department of Transportation's Road and Bridge Standards. Local option, variance, or waiver of these standards is prohibited. Additionally, local governments must incorporate raised truncated domes as detectable warnings for determining the boundary between sidewalks and streets by persons with visual disabilities. Such ramps and detectable warning must comply with Virginia Department of Transportation Road and Bridge Standards.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 15.2-2021; 42 USC 12101 et seq. (Americans With Disabilities Act) (Fed.); 28 CFR 35 (Fed.); 49 CFR Parts 27, 37, 38 (Fed.)

Last Assessment Period: 5/1/2006 to 7/31/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Bridge Safety Inspection Standards

STO.VDOT018

The National Bridge Inspection Standards apply to all bridges located on all public roads. Therefore, local governments must have all bridges and culverts on public roads under their jurisdiction inspected at regular intervals according to National Bridge Inspection Standards.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: 23 CFR 650, Subpart C (Fed.); National Bridge Inspection Standards (Fed.)

Last Assessment Period: 8/1/2005 to 10/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Use of Transportation Funds

STO.VDOT022

If a local government opts to use state transportation funds to construct transportation facilities or requests the Department of Transportation to administer a contract using its funds for the construction of such facilities, the contracting process and quality control processes must comply with applicable State procurement statutes and Department of Transportation policies and procedures.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-215 and 33.2-338

Last Assessment Period: 8/1/2005 to 10/31/2005

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Six-Year Secondary Improvement Plan

STO.VDOT023

The governing body of each county in the secondary highway system has the option, in cooperation with a designated representative of the Department of Transportation, to adopt a six-year secondary road improvement plan. If a plan is adopted, the plan must be officially updated at least once every two years.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-331

Last Assessment Period: 7/1/2005 to 6/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Annual Mileage Report

STO.VDOT025

Counties that maintain their own secondary streets (Arlington and Henrico Counties) are eligible to receive State financial assistance for maintenance, construction, or reconstruction of streets. The county must provide annual categorical reports of expenditures to the Commonwealth Transportation Board, certifying that none of the money received has been expended for anything other than maintenance, construction, or reconstruction of eligible streets, and report on their performance.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-366

Last Assessment Period: 4/1/2003 to 6/30/2003

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Transportation Improvement District

STO.VDOT028

A transportation improvement district (also referred to as a Local Transportation District) may be created to construct or improve any (i) public mass transit system or (ii) highway, or portion or interchange thereof, including parking facilities located within the district.

A district may be created in a single locality or in two or more contiguous localities. The local government(s) wishing to create a transportation improvement district must adhere to a petition, notification, hearing, and resolution process as set forth in Code of Virginia §§ 33.2-2000 et seq.

Type: Regulation of Optional Activity

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-2000 et seq.

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Highway Safety Improvement Program (HSIP) STO.VDOT029

The HSIP is a core Federal-aid funding program established to achieve a significant reduction in traffic fatalities and serious injuries on all public roads based on analysis of safety problems and using strategies identified in the state highway safety plan.

Local governments administering projects approved under the HSIP are required to design and construct the improvements to federal and state standards. Those localities must also comply with various federal regulations concerning hiring consultants, personnel, recordkeeping, purchasing and other related financial matters.

HSIP requires a 10 percent non-federal funding match. To assist localities in qualifying for HSIP projects, VDOT provides the 10 percent funding match for locally administered HSIP projects.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: 23 USC 130 and 148 (Fed.); Fixing America's Surface Transportation Act (P.L. 114-94) § 1113 (Fed.)

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Coordination of State and Local Transportation Planning STO.VDOT030

Local governments must submit comprehensive plans, plan amendments and rezoning proposals that substantially affect transportation on a state controlled highway to VDOT for review and comment. In addition, if the rezoning proposal substantially affects transportation on a state controlled highway, then a traffic impact analysis must be prepared and submitted with the rezoning request.

If a rezoning proposal substantially affects transportation on a state controlled highway, and the proposal location is encompassed within a small area plan for an urban development area or transit-oriented development, then a letter confirming conformance with the small area plan may be submitted in lieu of a rezoning package if a traffic impact analysis was prepared as part of the small area plan and submitted with the small area plan package.

These requirements only apply if the locality's comprehensive plan or plan amendment or a rezoning proposal substantially affects transportation on a state controlled highway.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 15.2-2222.1; Traffic Impact Analysis Regulations 24 VAC 30-155

Last Assessment Period: 8/31/2009 to 10/31/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Load and Resistance Factor Bridge Design STO.VDOT031

If federal funds will be used to construct a bridge, entities (including local governments) constructing such bridges must use American Association of State Highway and Transportation Officials (AASHTO) Load and Resistance Factor (LRFD) Bridge Design Specifications as well as any related LRFD Specifications or Guide Specifications for any bridge designs for which Preliminary Engineering is authorized after October 1, 2007.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Federal Highway Administration (FHWA) letter dated June 28, 2000, and FHWA memo dated January 22, 2007.

Last Assessment Period: 10/1/2009 to 12/31/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Vegetation Control for Outdoor Advertising Signs STO.VDOT032

Localities are prohibited from planting vegetation that obstructs a motorist's view from the highway of an outdoor advertising structure.

Code of Virginia § 32.2-1221 establishes the policies, guidelines and permitting procedure for pruning vegetation that obstructs motorists' views of outdoor advertising signs. The Commissioner of Highways is authorized to administer the permitting process, including within the corporate limits of municipalities. However, where a vegetation control permit is sought in a locality where the public right-of-way is on a road not within the jurisdiction of the Commissioner, or on a road within Henrico County, the Commissioner shall delegate the administration to the applicable locality. Further, the Commissioner must arbitrate disputes between the local government and the sign owner where disagreements arise, and the Commissioner's decision is binding on both parties.

Localities must provide a 30-day written notice to the Commissioner prior to the installation of a local beautification project within the VDOT-maintained right-of-way that may obstruct the motorist's view of an outdoor advertising structure. If the project is in violation of these requirements, in addition to other applicable penalties, the locality shall bear the costs to bring the project into compliance with this section.

Several types of signs are exempt from these permitting requirements, and are listed in Code of Virginia § 33.2-1204.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-1204 and 33.2-1221

Last Assessment Period: 7/1/2015 to 9/30/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Maintenance and Repair of Nonconforming Signs

STO.VDOT033

Local governments are prohibited from setting more restrictive requirements for maintenance and repair of nonconforming outdoor advertising signs than those contained in state regulations (24 VAC 30-120-170). The Commissioner of Highways must arbitrate disputes between local government and sign owners over repair issues and render decisions binding on localities

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1219; 24 VAC 30-120-170

Last Assessment Period: 8/1/2008 to 10/31/2008

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Safe Routes to School Program (SRTS)

STO.VDOT035

Local governments receiving grants must comply with VDOT design requirements, procurement rules, and various federal laws and regulations. VDOT provides TA funds for SRTS through a competitive grant program for eligible infrastructure projects that include sidewalk, traffic calming, crossing, traffic diversion and bicycle facility improvements within approximately two miles of schools. Grants are also available for SRTS non-infrastructure programs that may include public awareness campaigns, traffic enforcement, education, and training on bicycle and pedestrian safety.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Fixing America's Surface Transportation Act (P.L. 114-94) § 1109, 23 USC 133 (Fed.); Virginia's Safe Routes to School Program Guidelines

Last Assessment Period: 8/31/2009 to 10/31/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment: In December 2015 the new federal transportation funding program, Fixing America's Surface Transportation Act (FAST Act) was signed into law, replacing the Moving Ahead for Progress in the 21st Century Act (MAP-21). The FAST ACT eliminates the MAP-21 Transportation Alternatives Program (TAP). The TAP is replaced with a set-aside of the FAST Act's Surface Transportation Block Grant (STBG) program funding for transportation alternatives (TA). These set-aside funds include all projects and activities that were previously eligible under TAP, including the SRTS program (projects and programs that enable and encourage children to safely walk and bicycle to school).

Traffic Signal Photo Enforcement Program Policy

STO.VDOT036

Local governments seeking to implement a traffic signal photo enforcement program are required to complete an engineering safety analysis and establish the length of the yellow signal phase based on the recommended methodology of the Institute of Transportation Engineers (ITE); however, a minimum of 3 seconds of yellow signal time is required. (See VDOT Traffic Engineering Memo 306.1: Calculating Yellow Signal Times). If recommended by the engineering safety analysis, the local government must make reasonable location-specific safety improvements before red light running cameras are implemented at any signalized intersection in the Commonwealth. The improvements may also include making necessary signal timing adjustments to accommodate the minimum yellow time requirement. In addition, localities must conduct public awareness programs, place conspicuous signs near the intersection approaches, include a 0.5 second grace period and conduct monthly evaluations of the traffic light signal violation monitoring system installed at any intersection. The evaluation results must be made available to the public. Localities must also annually certify compliance and make all pertinent records available for inspection or audit by the Commissioner of Highways or the Commissioner of the Department of Motor Vehicles or his designee.

Type: Regulation of Optional Activity

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 15.2-968.1.

Last Assessment Period: 8/31/2009 to 10/31/2009

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Land Use Permits

STO.VDOT037

No work of any nature shall be performed on any real property under the ownership, control, or jurisdiction of VDOT until written permission is granted via either a land use permit or a state-authorized contract let by VDOT.

Any entity, including local governments, seeking a land use permit must supply plans or other documents detailing the requested work. It also must certify that it has obtained all approvals (such as environmental clearances) required by law. Localities must pay permit charges for any work in connection with a proprietary function of the locality, and must maintain in their offices accurate as-built plans and profiles of utility work completed under permits.

Type: Regulation of Optional Activity

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-210; General Rules of the Commonwealth Transportation Board (24 VAC 30-21), Virginia Department of Transportation's Land Use Permit Regulations (24 VAC 30-151)

Last Assessment Period: 2/1/2011 to 4/30/2011

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Removal of Illegal Signs from VDOT Right-of-way

STO.VDOT038

Localities may enter into agreements with VDOT for the purpose of enforcing the regulations regarding illegal signs that have been placed in public rights-of-way, including the removal of these signs, and collection of penalties and costs associated with the removal of the signs. The agreement may allow for the locality to retain any penalties or costs associated with the removal of the signs by the locality. Fairfax County is permitted to retain any penalty or costs associated with the removal of signs; however, Fairfax County must comply with additional requirements, including retaining removed signs for five days so that they may be reclaimed.

Type: Regulation of Optional Activity

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-1224 and 33.2-1225

Last Assessment Period: 7/1/2014 to 9/30/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Public-Private Transportation Act

STO.VDOT039

The Public-Private Transportation Act of 1995 (PPTA), as amended, is the legislative framework enabling the Commonwealth, local governments, and other responsible public entities to enter into agreements authorizing private entities to develop/operate qualifying transportation facilities.

Local governments that accept public-private transportation project proposals must comply with the Act, including the adoption of guidelines to establish the process for the acceptance and review of proposals. The Act also requires specific provisions within the agreements that are to be executed between the public and private entities.

Type: Regulation of Optional Activity

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-1800 et seq.

Last Assessment Period: 7/1/2012 to 9/30/2012

Last Assessment Finding: Retain

Current Assessment Period:

Comment: Previously STO.VAP3-001. The Virginia Office of Public Private Partnerships is now under VDOT.

Virginia Transportation Infrastructure Bank (VTIB)

STO.VDOT040

Local governments can use VTIB funds to finance loans or provide other financial assistance for transportation projects, subject to project eligibility and credit criteria established by the Commonwealth Transportation Board.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 33.2-1500 et seq.; Virginia Transportation and Infrastructure Bank: Program Overview, Guidelines and Selection Criteria

Last Assessment Period: 7/1/2014 to 9/30/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment: The VTIB guidelines and criteria are located online at: http://www.virginiadot.org/projects/virginia_transportation_infrastructure_bank.asp.

Corridors of Statewide Significance STO.VDOT041

Following the adoption of the Statewide Transportation Plan by the Commonwealth Transportation Board, and written notification to affected local governments, each local government through which corridors of statewide significance traverse shall note such on the transportation plan map component of its comprehensive plan. This can be accomplished during the next regular comprehensive plan review; however, the local governing body must acknowledge the existence of corridors of statewide significance within its boundaries before the comprehensive plan review.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 15.2-2232, 33.2-353; 2009 Acts of Assembly, Chapters 670 and 690, enactments 3 and 5.

Last Assessment Period: 4/1/2015 to 6/30/2015

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Local Transportation Plan to be Reviewed by VDOT

STO.VDOT042

As part of a comprehensive plan, local planning commissions are required to prepare and recommend a transportation plan for adoption by the governing body. Such plan shall include new and expanded transportation facilities and recognize and differentiate between different levels of roads. The transportation plan, and any amendment to it, is required to be consistent with the statewide multimodal transportation plan (VTrans), Six Year Improvement Program (SYIP), and the location of state routes approved by the Commonwealth Transportation Board (CTB).

Prior to the adoption of any change to the locality's transportation plan, it must be submitted to the Virginia Department of Transportation (VDOT), and VDOT is required to verify consistency between the local transportation plan and VTrans, SYIP, and location of routes approved by the CTB and provide comments to the locality on such consistency or lack thereof. The locality shall submit a copy of the adopted transportation plan or any amendment to VDOT. If determined to be inconsistent, VDOT shall notify the CTB.

If the CTB finds the local transportation plan, a Metropolitan Planning Organization (MPO) long range plan, or regional Transportation Improvement Program (TIP) is not consistent with VTrans, SYIP, or the location of routes previously approved by the CTB, the CTB shall notify the locality (or MPO) and request an applicable amendment.

If such an amendment is not made by the locality or MPO, the CTB may reallocate funding from that nonconforming project. Additionally, if the locality requests the project be terminated and VDOT does not agree, or the MPO does not allow the project to advance (through inaction with the TIP, for example), the CTB may require reimbursement of all funds expended on the project. Finally, if the locality or MPO requests alterations after design approval and such alterations increase project costs over 10%, the CTB may require the locality (or localities that make up the MPO) to offset the increased project costs. The provisions for the potential reallocation of funding and reimbursement of costs apply to projects on VDOT-controlled roads, and not to projects on locally controlled roads in municipalities and the Counties of Arlington and Henrico.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 15.2-2223 and 33.2-214 (E); Item 452 (C), Chapter 780, 2016 Acts of Assembly

Last Assessment Period: 7/1/2014 to 9/30/2014

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Tunnel Safety Inspection Standards

STO.VDOT043

The National Tunnel Inspection Standards (NTIS) apply to all highway tunnels including privately owned tunnels located on all public roads, on and off Federal-aid highways. Local governments must have all highway tunnels on public roads under their jurisdiction inspected at regular intervals according to National Tunnel Inspection Standards.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: 23 CFR 650, Subpart E (Fed.); National Tunnel Inspection Standards (Fed.)

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment:

Cooperation with Utility Crossings

STO.VDOT044

Localities whose facilities are to be crossed or affected by a project under the PPTA (Public-Private Transportation Act) must cooperate fully with other entities in planning and arranging the manner of the crossing or relocation of the facilities. If the locality and the private entity are not able to agree upon a plan for the crossing or relocation, the private entity is authorized to request the Commonwealth Transportation Board to resolve the matter.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 33.2-1815

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period:

Comment: Previously STO.VAP3-002. The Virginia Office of Public Private Partnerships is now under VDOT.

Port Assistance Grant

STO.VPA001

Local governments requesting financial assistance for port facilities must submit a request to the Virginia Port Authority's Board of Commissioners showing that the project will further the interests of the Commonwealth. Localities are required to provide matching funds.

Type: Non-Discretionary Condition of Aid

Agency: **Virginia Port Authority**

Secretariat: Transportation

Authority: Code of Virginia § 58.1-638; Virginia Port Authority Policy on Grants to Local Governments for Financial Assistance for Port Facilities

Last Assessment Period: 2/1/2006 to 4/30/2006

Last Assessment Finding: Retain

Current Assessment Period:

Comment:

Real Property Tax Exemption for Disabled Veterans and Surviving Spouses SVDA.DVS001

Localities must exempt from taxation up to one acre of land (or more land, at the localities discretion), that is owned by (1) a veteran with a service-connected, permanent, and total disability, (2) the surving spouse of such veterans, and (3) the surviving spouse of any member of the United States armed forces who was killed in action., or (4) the surviving spouse of any member of the United States armed forces who "died of wounds received in action" as determined by the U.S. Department of Defense. The exemption shall remain provided that disabled veteran or surviving spouse occupies the real property as their principal place of residence; and also, in the case of surviving spouses, they do not remarry. If the locality provides an exemption for greater than one acre to elderly and handicapped persons, the locality must also apply the exemption for the same number of acres to disabled veterans and surviving spouses. For surving spouses of members of the United States armed forces killed in action, the exemption shall apply only to the value of the dwelling that is at or below the average assessed value for dwellings situated on property zoned as single family residential within the locality. The value in excess of the average shall be subject to real property taxes. Localities must also exempt from taxation real property improvements made to land surrounding such residences so long as principal use of the improvement is (i) to house or cover motor vehicles or household goods and personal effects and (ii) for other than a business purpose. Localities must also exempt from taxation real property that (i) includes manufactured homes whether or not the wheels and other equipment previously used for mobility have been removed and (ii) applies to residences whether or not the veteran or the spouse owns the land on which the residence is located. This exemption for manufactured homes applies to this tax exemption only. The Commissioner of the Department of Veterans Services provides written guidance to commissioners of revenue or other assessing officers for the application of these exemptions. In addition, the Commissioner will hear and determine appeals of denied exemptions.

Type: State Fiscal Preemption

Agency: **Department of Veterans Services**

Secretariat: Veterans and Defense Affairs

Authority: Code of Virginia §§ 58.1-3219.5 et seq. §§ 58.1-3219.9 et seq.; Constitution of Virginia, Article X, Section 6A

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period: 7/1/2016 to 9/30/2016

Comment: Previously identified as SFIN.TAX013. Scheduled for assessment in FY2017.

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Part B

Mandates Administered by Nonexecutive Agencies and Mandates without State Oversight

Part B lists those mandates not subject to assessment, either because they are administered by nonexecutive branch agencies or because there is no State oversight of the required action. The format for mandates listed in Part B includes the same general information as that used in Part A, but in this section references to the secretariat, assessment schedule, and findings have been omitted.

Underground Utility Damage Prevention Act

IND.SCC001

Local governments that operate utility systems and/or conduct excavation must comply with the Act. In the event of recurring violations of the Act by a locality, the SCC may request the locality to take corrective action, and potentially hold a hearing for the determination of violation, or suggesting further corrective action.

Type: Regulation of Optional Activity

Agency: **State Corporation Commission**

Authority: Code of Virginia § 56-265.32

Comment: Not subject to review: No executive agency oversight. Effective January 1, 2011.

Manner of Installing Underground Utility Lines

IND.SCC002

Local governments that have the right to bury underground utility lines must install lines in accordance with accepted industry standards. The SCC is required to inform the localities of alleged violations of these standards by the localities.

Type: Regulation of Optional Activity

Agency: **State Corporation Commission**

Authority: Code of Virginia § 56-257

Comment: Not subject to review: No executive agency oversight.

Gas Pipeline Safety

IND.SCC003

Local governments that operate natural gas systems are subject to the requirements of the federal Pipeline Safety Act. By agreement with US DOT, the Commission conducts pipeline safety inspections and submits the results to Pipeline and Hazardous Materials Administration ("PHMSA") for any follow up actions.

Type: Regulation of Optional Activity

Agency: **State Corporation Commission**

Authority: Code of Virginia § 56-257.2 (C); 49 U.S.C. §60101 et seq. (Pipeline Safety Act) (Fed.)

Comment: Not subject to review: No executive agency oversight.

Virginia Alcohol Safety Action Program

IND.VASAP001

Local governments that establish a Virginia Alcohol Safety Action Program must provide screening, education, treatment referral, and supervision of persons arrested and convicted of driving under the influence of alcohol or other self administered substances. Participating local governments must comply with the minimum standards and criteria for the implementation and operation of this program.

Type: Regulation of Optional Activity

Agency: **Commission on the Virginia Alcohol Safety Action Program**

Authority: Code of Virginia §§ 18.2-271.1, 18.2-271.2; Virginia Alcohol Safety Action Program Regulation 24 VAC 35-20-10 et seq.

Comment: Not subject to review: No executive agency oversight.

Commonwealth's Development Opportunity Fund IND.VEDP001

Local governments can apply for grants or loans to be used to attract economic development projects that meet statutory capital investment and job creation criteria. Funds may be used for infrastructure improvements, site acquisition, site preparation, building construction, training, or grants or loans to a public entity for the purpose of attracting economic development prospects. Awards are subject to a performance agreement among the prospective company, the locality, and the locality's industrial or economic development authority, if it is a participant. A local match is likely to be required.

Type: Non-Discretionary Condition of Aid

Agency: **Virginia Economic Development Partnership**

Authority: Code of Virginia § 2.2-115; Item 106 (A), Chapter 780, 2016 Acts of Assembly.

Comment: Not subject to review: No executive agency oversight.

Major Employment and Investment Project Site Planning Grant Fund IND.VEDP002

Local governments can apply for grants to be used to assist in site development work for prospective major economic development projects in order to enhance a community's readiness to compete for such projects. Awards are made on a competitive basis, and are subject to a performance agreement between the local government and VEDP. A local match is required, and may be cash or in-kind.

Type: Non-Discretionary Condition of Aid

Agency: **Virginia Economic Development Partnership**

Authority: Code of Virginia § 2.2-2240.2

Comment: Not currently funded. Not subject to review. No executive agency oversight.

Employee Retirement System IND.VRS001

Local governments with a population of 5,000 or more must provide a retirement system for eligible employees either by participating directly in the Virginia Retirement System (VRS) or by establishing a local retirement system which provides a service retirement allowance that equals or exceeds two-thirds of the service retirement allowance at age 65 or older to which the employee would have been entitled had the allowance been computed under the provisions of the VRS. All school divisions are required to enroll teachers in the Virginia Retirement System. Each county and city participating in the VRS shall provide the benefit coverage described in §51.1-138(B) to each deputy sheriff. Each regional jail board and regional jail authority participating in the VRS and each county and city participating in such board or authority shall provide the benefit coverage described in §51.1-138(B) to each sworn officer of a regional jail. The City of Danville shall provide to each deputy sheriff the benefit coverage described in §51.1-138(B).

Type: Compulsory Order

Agency: **Virginia Retirement System**

Authority: Code of Virginia §§ 51.1-132 through 51.1-135, 51.1-138, 51.1-800 et seq.

Comment: Not subject to review: No executive agency oversight.

Pursuant to 2012 Acts of Assembly, Chapter 822, and § 51.1-144 of the Code of Virginia, local government and school board employees are required to begin contributing five percent of their creditable compensation over a phase-in period between July 1, 2012 and July 1, 2016. VRS-participating local governments are required to offset required increases in member contributions by providing corresponding pay increases.

Pursuant to § 51.1-169 of the Code of Virginia, employees of political subdivisions who participate in the hybrid retirement program, which went into effect on January 1, 2014, must participate in the Virginia Local Disability Program (VLDP) or a comparable disability program offered by the political subdivision. The decision by a political subdivision to opt out of VLDP and offer a comparable disability program is irrevocable.

Line of Duty Act Benefits

IND.VRS002

Local governments with employees and volunteers subject to coverage under the Line of Duty Act (LODA) must fund benefit payments to eligible participants and their families that result from deaths or disabilities incurred in the line of duty. By July 1, 2012, localities were required to choose to either: (1) fund these liabilities by joining and contributing to the LODA Fund, or (2) opt-out as a non-participating employer and either self-insure or obtain insurance from a third party. The election to opt-out is irrevocable.

Type: Compulsory Order

Agency: **Virginia Retirement System**

Authority: Code of Virginia §§ 9.1-400 et seq.; Item 269, Chapter 780, 2016 Acts of Assembly.

Comment: Not subject to review: No executive agency oversight.

Please note that HB 1345, which the General Assembly enacted in 2016, transfers certain LODA administrative and eligibility determination responsibilities to the Virginia Retirement System and health insurance administration to the Department of Human Resource Management beginning July 1, 2017. Any political subdivision that opted out of the Line of Duty Act Fund must pay its pro rata share of estimated implementation costs to VRS. VRS will determine nonparticipating employers' pro-rata share of implementation costs during FY2017.

Virginia Workers' Compensation Act

IND.VWC001

Local governments must insure the payment of benefits to workers under the Virginia Workers' Compensation Act for injuries or occupational diseases that are the result of employment. Work-related injuries, accidents and diseases must be reported to the Virginia Workers Compensation Commission within 10 days.

Type: Compulsory Order

Agency: **Virginia Workers' Compensation Commission**

Authority: Code of Virginia §§ 65.2-100 et seq.; Rules of the Workers' Compensation Commission; Regulations 16 VAC 30-30-10 et seq.; 16 VAC 30-80-10 et seq.; 16 VAC 30-91-10 et seq.

Comment: Not subject to review: No executive agency oversight.

Workers' Compensation Information

IND.VWC002

Local government officials who license employers to conduct business under Chapter 37 of Title 58.1 must provide employers requesting such licenses with information concerning the statutory workers' compensation insurance requirements. The information to be distributed by the local government officials is supplied by the Virginia Workers' Compensation Commission.

Type: Compulsory Order

Agency: **Virginia Workers' Compensation Commission**

Authority: Code of Virginia § 65.2-800

Comment: Not subject to review: No executive agency oversight.

Notification of Rights for Victims of Crime

IND.VWC003

Local law enforcement officers in counties, cities, and towns must make reasonable efforts, where practicable, to notify victims of crime of their rights by distributing notices to each victim. In cases where a claim is pending before the Criminal Injuries Compensation Fund, when an associated criminal prosecution has been concluded in the circuit court the attorney for the Commonwealth shall promptly notify the Commission. If a criminal prosecution occurs regarding the alleged crime, the attorney for the Commonwealth shall request the court to order restitution. Any such restitution shall be paid over to the Comptroller for deposit into the Criminal Injuries Compensation Fund to the extent of the amount of the award paid from the Fund.

Type: Compulsory Order

Agency: **Virginia Workers' Compensation Commission**

Authority: Code of Virginia § 19.2-368.17, 19.2-368.5(D)

Comment: Not subject to review: No executive agency oversight.

Issuance and Service of the Commission's Subpoenas

IND.VWC004

The clerk of any court of record shall, upon the application of any party in interest to a proceeding pending under Title 65.2, issue a subpoena for the attendance at such proceeding of any witness whose testimony is sought. The return of any subpoena so issued shall be made to the Commission. The county or city sheriff or town sergeant, and their respective deputies, shall serve subpoenas of the Commission or its deputies and shall receive the same fees as are now provided by law for like civil actions.

Type: Compulsory Order

Agency: **Virginia Workers' Compensation Commission**

Authority: Code of Virginia § 65.2-202(B), 65.2-202(C)

Comment: Not subject to review: No executive agency oversight.

Contractors Workers' Compensation Coverage Required Prior to Issuance of Business License

IND.VWC005

Prior to the issuance or renewal of a business license, localities shall require contractors to provide written certification of workers' compensation coverage. The locality shall forward those certifications to the Virginia Workers' Compensation Commission who shall conduct periodic audits to ensure compliance.

Type: Compulsory Order

Agency: **Virginia Workers' Compensation Commission**

Authority: Code of Virginia § 58.1-3714

Comment: Not subject to review. No executive agency oversight.

Support for Courts and Magistrates

JUD.SUPCT001

Localities must provide quarters, equipment, furniture, and other necessary support for courts and magistrates.

Type: Compulsory Order

Agency: **Supreme Court**

Authority: Code of Virginia §§ 15.2-1656, 15.2-1638, 16.1-69.35, 16.1-69.50, 16.1-69.51:1, 16.1-234, 17.1-118, 17.1-212, 17.1-241, 17.1-246, 17.1-253, 19.2-48.1

Comment: Not subject to review. No executive agency oversight. Statutory requirement.

Indigent Representation

JUD.SUPCT002

Localities must compensate court-appointed counsel for indigents charged with local offenses that might result in imprisonment.

Type: Compulsory Order

Agency: **Supreme Court**

Authority: Code of Virginia § 19.2-163; US Const., amend. VI.

Comment: Not subject to review. No executive agency oversight. Constitutional and statutory requirement.

Juror Costs

JUD.SUPCT005

Localities must provide reimbursement for jurors in all civil cases and in the trial of misdemeanor cases when the defendant is charged on a local warrant or summons.

Type: Compulsory Order

Agency: **Supreme Court**

Authority: Code of Virginia § 17.1-619

Comment: Not subject to review. No executive agency oversight. Statutory requirement.

Drug Treatment Court Programs

JUD.SUPCT006

Localities that intend to establish a drug treatment court or continue the operation of an existing drug treatment court are required to establish an advisory committee for the courts.

Type: Non-Discretionary Condition of Aid

Agency: **Supreme Court**

Authority: Code of Virginia § 18.2-254.1 (Drug Treatment Court Act); and Item 40 (H), Chapter 780, 2016 Acts of Assembly.

Comment: Not subject to review. No executive agency oversight. Statutory requirement.

Comparative Report Transmittal and Annual Financial Report

LEG.APA001

Local governments must submit a standardized transmittal statement of revenues and expenditures accompanied by their final audited financial report with the Auditor of Public Accounts annually on or before November 30. Towns having a population of fewer than 3,500 are excluded unless they maintain a separate school division.

Type: Compulsory Order

Agency: **Auditor of Public Accounts**

Authority: Code of Virginia § 15.2-2510

Comment: Not subject to review: No executive agency oversight.

Annual Audit

LEG.APA002

Local governments must have an annual audit performed on all accounts and records by an independent certified public accountant in accordance with the specifications furnished by the Auditor of Public Accounts. Towns having a population of less than 3,500 are excluded unless they maintain a separate school division.

Type: Compulsory Order

Agency: **Auditor of Public Accounts**

Authority: Code of Virginia § 15.2-2511

Comment: Not subject to review: No executive agency oversight.

National Affordable Housing Act Program NSO.001

Local governments that wish to receive federal funding from State housing programs through the 1990 National Affordable Housing Act must develop a five-year comprehensive housing affordability strategy.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: 24 CFR 91 (Fed.)

Comment: Not subject to review: No State oversight.

Vehicle Inspection Sticker NSO.002

Vehicles found to be free of defects in inspections conducted by local law enforcement officers in a selected set of cities and counties who are certified to conduct such safety inspections must be issued 90-day stickers.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 46.2-1001

Comment: Not subject to review: No State oversight.

Noise Abatement NSO.003

On nonfederal aid highway projects, the Department of Transportation will construct and maintain reasonable and feasible noise abatement measures, provided; (1) the local jurisdiction through which the project traverses agrees to assume 50 percent of the cost of the abatement measure and (2) the local jurisdiction has an ordinance requiring developers to provide noise abatement for all new residential and other noise sensitive developments adjacent to existing or approved future highway corridors.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: Department of Transportation Regulation 24 VAC 30-80-10 et seq.

Comment: Not subject to review: No State oversight.

Preparation of Local Plan by Licensed or Certified Professional NSO.005

Local governments must establish a procedure to ensure that plans, specifications, or calculations prepared in connection with improvements to real property be prepared by a licensed or certified architect, professional engineer, land surveyor, or landscape architect.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 54.1-410

Comment: Not subject to review: No State oversight.

Local Planning Commission NSO.006

Local governments must create a local planning commission to promote the orderly development of the locality and its environs.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2210

Comment: Not subject to review: No State oversight.

Comprehensive Plan Adoption NSO.007

Local governments must adopt comprehensive plans for land use development. In preparing the plan, the local planning commission shall survey and study such matters as set forth in statute. At least once every five years the comprehensive plan shall be reviewed by the local commission to determine whether it should be amended.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-2223 et seq.

Comment: Not subject to review: No State oversight.

Subdivision Ordinance Adoption NSO.008

Local governments must adopt ordinances regulating the subdivision of land and its development.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2240 et seq.

Comment: Not subject to review: No State oversight.

Board of Zoning Appeals NSO.009

Local governments enacting zoning ordinances are required to establish a board of zoning appeals to hear appeals on specific sections of the ordinances that create undue hardships on the public.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2308

Comment: Not subject to review: No State oversight.

Affordable Housing Promotion NSO.010

Local governments must include within their comprehensive plan, an element to provide for the construction, rehabilitation and maintenance of affordable housing sufficient to meet current and future needs of residents at all levels of income in the locality.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2223

Comment: Not subject to review: No State oversight.

Local Government Personnel Procedures NSO.011

Local governments having more than fifteen employees must adopt a personnel classification plan for service and a uniform pay plan. They are required to establish a grievance procedure that conforms to the State grievance procedure; otherwise the State's grievance procedures will apply. Local government grievance procedures must be certified as conforming, and a copy of the certification must be filed with the clerk of the circuit court having jurisdiction in the locality in which the procedure is to apply. Local governments must publicly announce any severance benefits provided to any departing appointed official, and must provide written benefit information to certain employees.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-1506, 15.2-1507, 15.2-1507.1, 15.2-1510.1, 15.2-1511.1

Comment: Not subject to review: No State oversight.

Federal Anti-Discrimination Policy

NSO.014

Local governments must comply with federal anti-discrimination policy. Employment discrimination against any person under any local program or activity receiving federal assistance is prohibited.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P.L. 88-352 (Civil Rights Act of 1964); P.L. 90-202; P.L. 92-318; P.L. 97-424 (Fed.)

Comment: Not subject to review: No State oversight.

Constitutional Officer Personnel Benefits

NSO.015

Every county and city shall annually provide for each employee of constitutional officers at least two weeks paid vacation, at least seven paid sick days, and such holidays as are prescribed by State law. If any such employee or deputy is required to work on a legal holiday, he or she will be provided with an equal amount of paid compensatory time in the same calendar year.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-1605

Comment: Not subject to review: No State oversight.

Paid Leave for Military Duty

NSO.016

Local governments must provide all officers and employees of local governments with paid leave of absence for military duty, not to exceed 15 workdays per federal fiscal year.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 44-204

Comment: Not subject to review: No State oversight.

Uniform Fiscal Year

NSO.017

Local governments and school divisions must use a uniform fiscal year that begins on July 1 and ends on June 30.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2500

Comment: Not subject to review: No State oversight.

Standards for Local Budget

NSO.018

Local governments must follow a budget process that complies with State requirements for content, form, publication, and public hearings. These requirements are optional for localities that have a charter or special law containing budget provisions.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-2503 et seq., 22.1-93

Comment: Not subject to review: No State oversight.

Virginia Public Procurement Act NSO.019

Local governments must do their purchasing of goods and services, including professional services, in accordance with the Virginia Public Procurement Act or adopt alternative provisions based on competitive principles. Towns having a population of fewer than 3,500 are exempt from most provisions of the Act.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 2.2-4300 et seq.

Comment: Not subject to review: No State oversight.

Real Estate Reassessment Notification NSO.020

Local governments must provide notice by mail to each property owner of any reassessment of real estate, or of any change in the assessed value of any real estate in addition to the tax rate for the past two tax years, the total tax levies from the past two tax years, the percentage change from the past two tax years, and information on accessing and reviewing such information. Upon request of a taxpayer or their representative, the assessing officer shall also provide information regarding the methodology used to calculate the property's assessed value in addition to a written explanation or justification for an increase in the property's assessed value. Local governments may adjust the tax rate for taxes due on or before June 30 of each year by May 15 of that tax year.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 58.1-3330 et seq.

Comment: Not subject to review: No State oversight.

Contractors License Required for Building Permit NSO.021

Localities are required to ascertain that contractors hold valid State contractors licenses prior to issuing building permits.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 54.1-1111

Comment: Not subject to review: No State oversight. Previously also included provisions of NSO.116.

Community Oriented Policing Services Grant (COPS) NSO.022

Local governments receiving Community Oriented Policing Services (COPS) grant funds must hire and train new police officers or rehire officers that have been laid off due to budgetary reductions. In addition, some grant funds will be available for activities that increase the number of officers deployed in community-oriented policing. Localities receiving funds for such activities are required to provide a 25% match.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P.L.(Violent Crime Control and Law Enforcement Act of 1994) (Fed.)

Comment: Not subject to review: No State oversight.

Family and Medical Leave NSO.023

Local governments having more than 50 employees must provide up to 12 weeks of unpaid, job-protected leave per year, with health insurance, for the birth or adoption of a child or the serious illness of the employee or an immediate family member.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P.L. 103-3 (Family and Medical Leave Act) (Fed.)

Comment: Not subject to review: No State oversight.

Lobbying Disclosure NSO.024

Local governments seeking federal funds are required to submit a lobbying disclosure form.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 101-121 (Fed.); 15 CFR 28 (Fed.)

Comment: Crosscutting requirement that applies to many or all federal assistance programs. Not subject to review: No State oversight.

Homeownership and Opportunity for People Everywhere Program (HOPE) NSO.025

Local governments receiving federal funding through the Homeownership and Opportunity for People Everywhere Programs must comply with program requirements. The program provides grants for public and Indian housing homeownership in order to expand the number of tenants in such housing who can purchase their units.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 101-625 (Title IV, Subtitle B) (Fed.)

Comment: Not subject to review: No State oversight.

Head Start Program NSO.026

Local governments participating in the Head Start Program must comply with certain federal program requirements. The program's objectives are to provide comprehensive health, educational, nutritional, social, and other services primarily to preschool economically disadvantaged children.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: P.L. 97-35 (Omnibus Budget Reconciliation Act of 1981) (Fed.)

Comment: Not subject to review: No State oversight.

Summer Food Service Program NSO.027

Local governments participating in the Summer Food Service Program for children must comply with the program requirements. This provides nonprofit food service programs for needy children during the summer months and at other approved times, when area schools are closed for vacation.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: P.L. 79-396 (Fed.); 7 CFR 225 (Fed.)

Comment: Not subject to review: No State oversight.

Mandatory Arrest in Family Violence Cases

NSO.028

Local law enforcement officers are required to arrest the predominant physical aggressor in cases of family violence, unless special circumstances would dictate a course of action other than an arrest. The officer shall file a written report including arrest information and any incident in which the officer has probable cause to believe family abuse has occurred, and if no arrest occurred, a statement regarding the special circumstances that would dictate why no arrest occurred. Unless the person arrested is a minor, officers are required to pursue an emergency protective order. Upon request, officers are required to assist victims of family abuse with transportation to a hospital, safe shelter, or magistrate.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 19.2-81.3

Comment: Not subject to review: No State oversight.

Police Misconduct

NSO.029

Local governments with ten or more law enforcement officers are required to establish procedures allowing citizens to register complaints about misconduct by law enforcement officers.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 9.1-600

Comment: Not subject to review: No State oversight.

Federal Labor Standards

NSO.030

Local governments receiving federal mass transit grants must follow federal labor standards.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: 49 USC 5333 (b) (Federal Transit Act of 1964, as amended) (Fed.)

Comment: Crosscutting requirement that applies to many or all federal assistance programs. Not subject to review: No State oversight.

Single Audit

NSO.031

The federal Office of Management and Budget (OMB) has established specific audit requirements for local governments that receive federal financial assistance. The OMB has also defined federal government responsibilities for implementing and monitoring the requirements.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: Office of Management and Budget Circular A-133 (Fed.)

Comment: Crosscutting requirement that applies to many or all federal assistance programs. Not subject to review: No State oversight.

Age Discrimination Act of 1975

NSO.032

Prohibits discrimination based on age in programs receiving federal financial assistance.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 94-135; 95-478

Comment: Not subject to review: No State oversight.

Age Discrimination in Employment Act Amendments of 1986 NSO.033

Prohibits mandatory retirement at age 70, with a seven-year delay in coverage for police, fire fighters, and college professors. Also, prevents discrimination on the basis of age in local government employment. These requirements were enacted as part of the amendments to the Age Discrimination in Employment Act of 1967.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 90-202; P. L. 93-259; P. L. 99-592

Comment: Not subject to review: No State oversight.

Architectural Barriers Act NSO.034

Requires federally funded buildings, facilities, and public conveyances to be accessible to the physically handicapped.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 90-480

Comment: Not subject to review: No State oversight.

Base Closure and Community Redevelopment Act of 1994 NSO.035

Requires local governments to submit to the U. S. Department of Housing and Urban Development their plans for reuse of closed military bases which balance the needs of the homeless against the economic and redevelopment needs of the community.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 103-421

Comment: Not subject to review: No State oversight.

Cable Communications Policy Act of 1984 NSO.036

Preemption of local regulation of basic cable rates by the Federal Communications Commission.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: P. L. 98-549

Comment: Not subject to review: No State oversight.

Child Nutrition Act of 1966 NSO.037

Prohibits local sales taxes on food purchases made with funds from the Special Supplemental Nutrition Program for Women, Infants, and Children.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: 42 USC 1786

Comment: Not subject to review: No State oversight.

Civil Rights Act of 1968 NSO.039

Prohibits discrimination against any person in the sale or rental of federally assisted housing.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 90-284

Comment: Not subject to review: No State oversight.

Civil Rights Restoration Act of 1987 NSO.040

Expands institutional coverage of laws prohibiting racial, gender, handicapped, and age discrimination by recipients of federal assistance.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 100-259

Comment: Not subject to review: No State oversight.

Clean Air Act NSO.041

Requires local governments to participate in state plans to implement regulations and statutes governing air emissions from stationary and mobile sources. For those localities in non-attainment areas the statute requires that a number of corrective activities be undertaken.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 91-604; P. L. 95-95

Comment: Not subject to review: No State oversight.

Clean Water Act NSO.042

Requires local government facilities discharging into public water to meet certain regulations. Those regulations also set standards for improving and maintaining water quality and requires permitting of point source discharges.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: P. L. 92-500; P. L. 95-217; P. L. 96-88; P. L. 100-1

Comment: Not subject to review: No State oversight.

Consolidated Omnibus Budget Reconciliation Act of 1985 NSO.043

Extends Medicare hospital insurance taxes and coverage to all new local government employees.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 99-509

Comment: Not subject to review: No State oversight.

Davis-Bacon Act

NSO.044

Requires that locally prevailing wages are paid to construction workers employed under federal contracts and assistance programs.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 74-403

Comment: Not subject to review: No State oversight.

Drug-Free Schools and Community Act

NSO.045

School divisions receiving federal funds are required to adopt a drug-free school policy.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 101-226

Comment: Not subject to review: No State oversight.

Drug-Free Workplace Act of 1988

NSO.046

Requires certification by all federal grantees and contractors of a drug-free workplace and creation of employee awareness, sanctions, and treatment programs.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 100-690

Comment: Not subject to review: No State oversight.

Education Amendments of 1972

NSO.047

Prohibits discrimination on the basis of sex in federally assisted education programs.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 92-318

Comment: Not subject to review: No State oversight.

Individuals with Disabilities Education Act

NSO.048

Provides a free appropriate education to all children with disabilities.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: 20 USC 1400 et seq.

Comment: Not subject to review: No State oversight.

Endangered Species Act of 1973 NSO.049

Provides for the conservation, protection, restoration, and propagation of species of fish, wildlife, and plants facing extinction. Various federal agencies work to implement the Act by monitoring potential destruction of natural habitats by local government construction projects. This mandate was amended further by the Endangered Species Act of 1982 (P. L. 97-304).

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 93-205

Comment: Not subject to review: No State oversight.

Equal Access Act NSO.050

Requires public secondary schools to allow student religious groups to meet at the school under certain conditions.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 98-377

Comment: Not subject to review: No State oversight.

Equal Employment Opportunity Act of 1972 NSO.051

Prohibits discrimination on the basis of race, color, religion, sex, or national origin in local government employment.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 92-261

Comment: Not subject to review: No State oversight.

Fair Housing Act Amendments of 1988 NSO.052

Prohibits discrimination in housing and extends Civil Rights Act of 1968 to cover the handicapped and families with children.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 100-430

Comment: Not subject to review: No State oversight.

Fair Labor Standards Act Amendments of 1974 NSO.053

Extends federal minimum wage and overtime pay protection to local government employees.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 75-718; 93-259

Comment: Not subject to review: No State oversight.

Federal Water Pollution Control Act NSO.054

Requires local governments undertaking construction projects which necessitate the dredging and filling of wetlands and land adjacent to other water bodies to obtain a permit from the U. S. Army Corps of Engineers. Also establishes federal effluent limitations to control the discharge of pollutants from public treatment facilities.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: P. L. 92-500; P. L. 95-217; P. L. 100-4

Comment: Not subject to review: No State oversight.

Flood Disaster Protection Act of 1973 NSO.055

Requires local governments to participate in the National Flood Insurance Program and to adopt land use regulations for their flood plain which meet the federal criteria before federal assistance can be granted for the acquisition or construction of property in identified flood hazard areas.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 93-234

Comment: Not subject to review: No State oversight.

Food Stamp Act of 1977 NSO.056

Prohibits local sales taxes on purchases made with Food Stamps.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 99-198

Comment: Not subject to review: No State oversight.

Housing and Community Development Act of 1974 NSO.057

Prohibits discrimination based on race, color, national origin, sex, age, or handicap.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 97-35

Comment: Not subject to review: No State oversight.

ICC Termination Act of 1995 NSO.058

Prohibits local governments from discriminating against railroads when imposing local taxes.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 104-88

Comment: Not subject to review: No State oversight.

Immigration Status NSO.059

Requires local governments to use the Systematic Alien Verification for Entitlement (SAVE) program to verify the immigration status of alien applicants for certain federal, state, and local programs, and in certain instances, deny such benefits. Local government employers are also prohibited from discrimination against job applicants on the basis of their national origin or citizenship status.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 99-603 (Immigration Reform and Control Act of 1986), P.L. 104-193 (Personal Responsibility and Work Opportunity Reconciliation Act of 1996), P.L. 104-208 (Illegal Immigration Reform and Immigrant Responsibility Act of 1996)

Comment: Not subject to review: No State oversight.

Juvenile Justice and Delinquency Prevention Act of 1974 NSO.060

Requires local governments to provide separate facilities for juveniles in detention centers that hold both juveniles and adults.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 102-586

Comment: Not subject to review: No State oversight.

Lead Containment Control Act of 1988 NSO.061

Requires local and private nonprofit elementary and secondary school and day care centers to test drinking water coolers to test and remedy lead contamination problems. This requirement is a component of the Safe Drinking Water Act Amendments.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 100-572

Comment: Not subject to review: No State oversight.

Multiethnic Placement Act NSO.062

Prohibits an agency or entity that receives federal assistance and is involved in adoptive or foster care placements from delaying or denying the placement of a child solely on the basis of race, color, or national origin of the adoptive or foster parent or parents involved.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 103-382

Comment: Not subject to review: No State oversight.

National Apprenticeship Act of 1937 NSO.063

Requires local governments offering apprenticeship related programs registered with the U. S. Department of Labor to comply with equal opportunity and affirmative action regulations.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: P. L. 75-308

Comment: Not subject to review: No State oversight.

National Environmental Policy Act of 1969 NSO.064

Local governments undertaking construction projects using federal funds may be required to prepare an environmental impact statement.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 91-190; 94-52; 94-83

Comment: Not subject to review: No State oversight.

National Historic Preservation Act of 1966 NSO.065

Requires local governments undertaking projects with federal funds to take into account the effect such actions might have on properties listed or eligible for listing on the National Register of Historic Places.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 89-665

Comment: Not subject to review: No State oversight.

Ocean Dumping Ban Act NSO.066

Prohibits ocean dumping of sludge from local government sewage treatment facilities.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 100-688

Comment: Not subject to review: No State oversight.

Older Worker's Benefit Protection Act NSO.067

Requires public employee benefit programs to be adjusted in order to provide equal benefits to older and younger workers.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 101-433

Comment: Not subject to review: No State oversight.

Omnibus Budget Reconciliation Act of 1990 NSO.068

Requires mandatory Social Security coverage to all local government employees not otherwise covered by a public employee retirement system.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 101-508

Comment: Not subject to review: No State oversight.

Public Health Service Act NSO.069

Requires local governments to expand community health services to the mentally ill, drug users, substance abusers, pregnant women, and other persons enumerated in the Act.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 102-321

Comment: Not subject to review: No State oversight.

Rehabilitation Act of 1973 NSO.070

Prohibits discrimination on the basis of physical or mental handicap in federally assisted programs.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 93-112

Comment: Not subject to review: No State oversight.

Residential Lead-Based Paint Hazard Reduction Act of 1992 NSO.071

Requires local governments responsible for federally assisted housing to assess, inspect, reduce, or abate lead hazards in such housing. This requirement is found in Title X of the Housing and Community Development Act of 1992.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 102-550

Comment: Not subject to review: No State oversight.

Social Security Amendments of 1983 NSO.072

Prohibits local governments from withdrawing from Social Security coverage, and accelerates scheduled increases in payroll taxes and payment of payroll taxes by local governments.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 98-21

Comment: Not subject to review: No State oversight.

Uniformed Services Employment and Reemployment Rights Act (USERRA) NSO.073

Requires local governments to reemploy veterans returning from leave of absence at the seniority and rate of pay commensurate with continuous service.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 101-353

Comment: Not subject to review: No State oversight.

Stewart B. McKinney Homeless Assistance Act NSO.074

Requires local governments to provide community mental health services for the homeless.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 100-77

Comment: Not subject to review: No State oversight.

Tax Reform Act of 1986 NSO.075

Requires issuers of tax-exempt bonds (e.g., local governments) to rebate excess arbitrage profits to the United States. Requires that an information statement, Form 8038, be filed with the Internal Revenue Service for all governmental bond issues.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: P. L. 99-514

Comment: Not subject to review: No State oversight.

Uniform Relocation and Real Properties Acquisition Policies Act of 1970 NSO.076

Establishes federal policies and reimbursement procedures for property acquisition under federally assisted programs.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 91-646

Comment: Not subject to review: No State oversight.

Urban Mass Transportation Act of 1964 NSO.077

Requires local governments receiving loans or grants for urban mass transportation systems to comply with the standards of the Davis-Bacon Act.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: P. L. 88-365

Comment: Not subject to review: No State oversight.

Voting Rights Language Assistance Act NSO.078

Requires local governments to provide bilingual assistance to voters if a locality has more than 10,000 citizens who share a minority or if more than 5% of the voting-age citizens are members of a language minority.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 89-110; 97-205; 102-344

Comment: Not subject to review: No State oversight.

Water Quality Act of 1987 NSO.079

Requires local governments to comply with requirements for identifying and controlling nonpoint pollution. Establishes new requirements for testing and permitting discharges from storm sewers owned by local governments. Directs the Environmental Protection Agency to develop regulations governing toxic wastes in sewage sludge.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 100-4

Comment: Not subject to review: No State oversight.

Water Resources Development Act of 1986 NSO.080

Requires local governments that participate in federal water resources projects to share the costs of such projects.

Type: Non-Discretionary Condition of Aid

Agency: **No State Oversight**

Authority: Water Resources Development Act of 1986

Comment: Not subject to review: No State oversight.

Health Insurance Portability and Accountability Act of 1996 NSO.081

Requires local governments to provide documentation of prior health coverage to persons leaving their employ. Further, local governments employing persons possessing documentation of prior health coverage must credit such coverage against the preexisting health condition requirements of their health care plans.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 104-191

Comment: Not subject to review: No State oversight.

Equal Educational Opportunities Act of 1974 NSO.082

Requires local governments to operate educational programs in a manner that does not deny equal educational opportunity to an individual on account of his or her race, color, sex, or national origin.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: 20 USC Sec. 1703

Comment: Not subject to review: No State oversight.

Road and Transportation Improvements Map NSO.083

Local governments must include in their comprehensive plans a map showing road improvements and transportation improvements, including the cost estimates of such road and transportation improvements as available from the Virginia Department of Transportation, taking into account the current and future needs of residents in the locality while considering the current and future needs of the planning district within which the locality is situated.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2223

Comment: Not subject to review: No State oversight.

Cluster Zoning NSO.084

Certain local governments that enact zoning ordinances must include therein provisions requiring clustering of single-family dwellings to preserve open space. This requirement applies to any county or city that had a population growth rate of 10% or more from the next-to-latest to latest decennial census year, based on population reported by the United States Bureau of the Census. However, the requirement does not apply to any such county or city that has a population density of more than 2,000 people per square mile, according to the most recent report of the United States Bureau of the Census.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2286.1

Comment: Not subject to review: No State oversight.

Principles of New Urbanism and Traditional Neighborhood Development NSO.086

In urban development areas designated in their comprehensive plans, local governments must incorporate principles of traditional neighborhood design, which may include but need not be limited to (i) pedestrian-friendly road design, (ii) interconnection of new local streets with existing local streets and roads, (iii) connectivity of road and pedestrian networks, (iv) preservation of natural areas, (v) mixed-use neighborhoods, including mixed housing types, with affordable housing to meet the projected family income distributions of future residential growth, (vi) reduction of front and side yard building setbacks, and (vii) reduction of subdivision street widths and turning radii at subdivision street intersections.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2223.1(B)(6)

Comment: Not subject to review: No State oversight.

Eligibility of Virginians Applying for Medicaid Benefits NSO.087

Local departments of social services are responsible for determining eligibility for Virginians applying for Medicaid benefits, and must obtain documentary evidence of citizenship and identity from applicants.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Deficit Reduction Act of 2005 (Fed.)

Comment: Not subject to review: No State oversight.

Fraud, Waste, and Abuse Hotline NSO.088

Any fraud, waste, and abuse auditor appointed by the governing body of certain localities shall be responsible for administering a telephone hotline, and a website, if cost-effective, through which employees and residents of the locality may report anonymously any incidence of fraud, waste, and abuse within the local government. This applies to localities with a population of at least 10,000, or any town constituting a separate school division regardless of its population.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2511.2

Comment: Not subject to review: No State oversight.

State Agency Review of Preliminary Plats

NSO.089

If approval of a feature or features of a preliminary plat by a state agency is necessary, the local planning commission or subdivision agent shall forward the preliminary plat to the appropriate state agency or agencies for review within ten business days of receipt of such preliminary plat.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2260

Comment: Not subject to review: No State oversight.

Displacement of Private Waste Companies

NSO.090

Localities that provide solid waste collection service in areas already served by private companies must first hold a public hearing and make certain written findings. Localities must then either delay implementation of collection service for five years or pay displaced companies an amount equal to twelve months of the companies' gross receipts derived from the area where private service is being displaced.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-934

Comment: Not subject to review: No State oversight.

Capital Improvement Programs

NSO.091

Local planning commissions that prepare capital improvement programs must include estimates of the cost of the facilities and life-cycle costs, including any road and transportation improvements and the means of financing them, proposed to be undertaken in the ensuing fiscal year and in the following four years.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2239

Comment: Not subject to review: No State oversight.

Dog Licenses

NSO.092

County and city governments shall impose a license tax on the ownership of dogs within their jurisdiction.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 3.2-6524 et seq.

Comment: Not subject to review: No State oversight.

Classification & Taxation of Commercial & Industrial Real Property for Transportation Improvements

NSO.093

Counties and cities within the boundaries of the Northern Virginia Transportation Authority and the Hampton Roads Metropolitan Planning Area may tax certain commercial and industrial real property at a higher rate to generate revenue for transportation improvements. The properties may be within the locality at large or within a special regional transportation district. Local assessors must separately classify real property subject to the tax. The tax must be imposed annually. All revenues generated thereby must be used for transportation projects that benefit the locality or the regional transportation district.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 58.1-3221.3, 58.1-2606

Comment: Not subject to review: No State oversight.

Road Impact Fees

NSO.094

Localities that have adopted zoning and (i) have a population of at least 20,000 and a growth rate of at least 5% or (ii) have a growth rate of 15% or more may establish impact fee service areas and impose impact fees on new development within the areas. The locality must establish an impact fee advisory committee composed of a certain membership to advise the locality prior to adopting an ordinance establishing the areas within its comprehensive plan. After conducting the requisite analysis, the locality must adopt a road improvements plan into its comprehensive plan and capital improvements program, and six-year program if applicable. The locality must update the analysis at least once every two years. The enabling ordinance must set forth a schedule of impact fees. All impact fees collected with a service area shall only be expended for road improvements benefiting that service area. The amount of impact fees for a specific development shall be determined at the time of site plan or subdivision approval, and shall be collected at the time of building permit issuance.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2317 et seq.

Comment: Not subject to review: No State oversight.

General Impact Fees

NSO.095

Localities that have established an urban transportation service district may impose impact fees on by-right residential subdivisions outside such districts, but only if the subject parcel is currently zoned agricultural. Impact fees collected shall only be used for public facilities impacted by residential development. Any cash or in-kind proffers collected shall be a credit against the impact fees. The locality's comprehensive plan must include methodologies for computing impact fees and credits. To take advantage of this authority, localities must establish an urban transportation service district and adopt an impact fee ordinance by December 31, 2008.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2328 et seq.

Comment: Not subject to review: No State oversight.

Urban Transportation Service Districts (UTSDs) NSO.096

Counties with populations over 90,000 that do not maintain their own roads may establish urban transportation service districts upon agreement between the locality and the Commonwealth Transportation Board. Such counties must take over maintenance of roads within the districts, but will receive per lane-mile maintenance payments from VDOT equal to those made to cities and towns. Density in UTSDs must be one residential unit per gross acre or greater. Counties with UTSDs may impose an additional real estate tax to pay for road improvements within the UTSD.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2403 et seq.

Comment: Not subject to review: No State oversight.

Americans with Disabilities Act NSO.097

Local governments must not discriminate against disabled persons in employment and services, or in the operation of public transportation programs. Buildings and facilities constructed or altered by local governments must be designed in compliance with federal standards to ensure that they are accessible and usable by people with disabilities.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P.L. 101-336, 42 USC 12101 et seq. (Fed.)

Comment: Not subject to review: No State oversight.

Housing Loans and Grants NSO.098

Localities that make loans or grants to individuals for the purpose of rehabilitating or purchasing owner-occupied residences in conservation or rehabilitation districts must publish annual reports listing the properties purchased or rehabilitated and the amounts of any loans or grants made. Ordinances enabling such programs must apply the income guidelines issued by the Virginia Housing Development Authority in determining eligibility for assistance. Localities must offer financial institutions the opportunity to participate in loan programs.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-958

Comment: Not subject to review. No state oversight.

Allowances to Injured Deputy Sheriffs NSO.099

Any deputy sheriff who suffers injury as defined in Title 65.2 and who receives an allowance from the employing locality that is less than 100 percent of the deputy's regular compensation, is entitled to use any accrued vacation, compensatory, or sick leave to supplement the allowance up to 100 percent of regular compensation. The locality must continue pay the employer's share of the cost of health insurance for the deputy for as long as the deputy remains employed by the locality.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-1511.01

Comment: Not subject to review. No state oversight.

Land Use Adjacent to Certain Jet Bases NSO.100

Any locality in which a U.S. Navy Master Jet Base, or auxiliary landing field used in connection with flights from such jet base, is located shall adopt zoning ordinances that require certain actions to mitigate land use conflicts and noise nuisances [currently applies only to the City of Virginia Beach].

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 2.2-2666.3; 2008 Acts of Assembly, Chapter 653

Comment: Not subject to review. No state oversight.

Municipal Permits for Overweight and Oversize Vehicles NSO.101

Cities and towns must issue, without cost, permits authorizing overweight and oversize vehicles hauling certain products and comprising certain equipment to operate on their roads. These include Virginia-grown produce; specialized mobile equipment; pipe-cleaning, hydro-excavating, and water-blasting equipment; concrete; coal; excavated material; and other products and equipment such as articulated buses and empty coal-hauling equipment.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 46.2-1142, 46.2-1143, 46.2-1143.1, 46.2-1146, 46.2-1147, 46.2-1148, 46.2-1149, 46.2-1149.4, 46.2-1149.5

Comment: Not subject to review. No state oversight.

Fair and Accurate Credit Transactions Act of 2003 (FACT) NSO.102

Local governments that bill customers for services already used, such as water, sewer, or gas services, are considered creditors under federal law and must implement federal policies to prevent identity theft. Creditors must develop a written program that identifies and detects the relevant warning signs ("red flags") of identity theft.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Fair and Accurate Credit Transactions Act of 2003, §§ 114 and 315; 16 CFR 681 (Fed.)

Comment: Not subject to review. No state oversight.

Manufactured Homes, Zoning Ordinances NSO.103

Localities with zoning ordinances must treat manufactured housing in agricultural districts or districts having similar classifications in the same manner as traditional site-built housing, with respect to development standards, so long as the unit is placed on a permanent foundation and on individual lots.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2290

Comment: Not subject to review: No State oversight.

Group Homes, Zoning Ordinances NSO.104

Zoning ordinances shall consider a residential facility or group home, licensed by the Department of Behavioral Health and Developmental Services, in which no more than eight individuals with mental illness, mental retardation, or developmental disabilities reside, with one or more resident or non-resident staff persons, as a residential occupancy by a single family.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2291 (A)

Comment: Not subject to review: No State oversight.

Assisted Living Facilities, Zoning Ordinances NSO.105

Zoning ordinances shall consider a residential facility or group home, licensed by the Department of Social Services, in which no more than eight aged, infirm, or disabled persons reside, with one or more resident counselors or other staff persons, as residential occupancy by a single family.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2291 (B)

Comment: Not subject to review: No state oversight. Previously applicable to a select group of localities, this mandate was expanded statewide by Chapters 796 and 847, 2010 Virginia Acts of Assembly.

Family Day Homes, Zoning Ordinances NSO.106

Zoning ordinances shall consider a family day home (in-home child care) for up to four children, exclusive of the provider's own children and any who reside in the home, as residential occupancy by a single family. This is not applicable to Arlington and Fairfax Counties, and the Cities of Alexandria, Fairfax, and Falls Church.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2292

Comment: Not subject to review: No State oversight.

Temporary Family Healthcare Structures, Zoning Ordinances NSO.107

Zoning ordinances shall consider temporary family healthcare structures (i) for use by a caregiver in providing care for a mentally or physically impaired person and (ii) on property owned or occupied by the caregiver as his residence as a permitted accessory use in any single-family residential zoning district on lots zoned for single-family detached dwellings. The statute further restricts local regulation of such structures, including, but not limited to: (i) a prescribed definition for such structures, including permissible occupants of the structure, (ii) limiting local permit fees to no more than $100, and (iii) providing 60 days for removal of the structure after it is no longer medically necessary.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2292.1

Comment: Not subject to review: No State oversight.

Cash Proffers, Temporary Restriction on Collection

NSO.108

Provides that cash proffers for residential construction, on a per-dwelling unit or per-home basis, shall not be collected or accepted by any locality until after completion of the final inspection and prior to the issuance of a certificate of occupancy, regardless of the language included within the proffer. This provision will expire on July 1, 2017.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2303.1:1

Comment: Not subject to review: No State oversight. The expiration of this mandate was extended in 2012 from July 1, 2014 to July 1, 2017.

Transfer of Development Rights

NSO.109

Any locality may establish procedures, methods, and standards for the transfer of development rights. In order to implement the provisions of this act, a locality shall adopt an ordinance that provides for the specific requirements for recordation, monitoring ownership, assessing the appropriate infrastructure needs of the receiving property, and the perpetual preservation of the sending property.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-2316.1 et seq.

Comment: Not subject to review: No State oversight.

Penalties for Pollutants in Public Sewer Systems

NSO.110

A locality may adopt an ordinance, establishing a uniform schedule of civil penalties for violations of ordinances governing the introduction of pollutants and wastes into the locality's public sewer system. The locality must provide for notice, hearing, and appeal of such penalties. Such civil penalties may not be more than $100 for the initial summons and not more than $150 for each additional summons and not more than a total of $3,000 for a series of specified violations.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-2122 (10)

Comment: Not subject to review: No State oversight.

Wireless Telecommunication Antenna Sites

NSO.111

Local governments must act upon zoning applications for a wireless telecommunication antenna site within 150 days for a new tower site, or within 90 days for a co-located antenna. Additionally, a locality cannot deny an application solely because wireless service is already available from another provider.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: 47 USC 332 (c)(7)(B) (Telecommunications Act of 1996)(Fed.); 24 FCC Rcd 13994.

Comment: Not subject to review: No State oversight.

Personal Property Taxation of Servicemembers and their Spouses NSO.112

Local governments must consider members of the Armed Services, and their spouses to be residents of their home jurisdiction for personal property taxation purposes, so long as the member is living within the jurisdiction solely for military purposes.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: P. L. 108-189 (Servicemembers Civil Relief Act); P. L. 111-97 (Military Spouses Residency Relief Act) (Fed.)

Comment: Not subject to review: No State oversight.

Virginia Freedom of Information Act NSO.114

Local governments must comply with the Virginia Freedom of Information Act by providing ready public access to records in the custody of public officials and by allowing free entry to meetings of public bodies where public business is being conducted. Local governments with a population of more than 250 must post a FOIA rights and responsibilities document on their website and must designate and identify a local FOIA officer that is well versed in provisions of FOIA and undergo an annual training. Information about the FOIA officer must also be available on their website.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 2.2-3700 et seq; § 2.2-3705.2

Comment: Not subject to review: No executive agency oversight. Previously catalogued as JUD.SUPCT004.

Locality to Provide Office Space for Certain Officials NSO.115

The governing body of each county and city shall, if there are offices in the courthouse of the respective counties and cities available for such purposes, provide offices for the treasurer, Commonwealth's Attorney, sheriff, commissioner of the revenue, commissioner of accounts, and school division superintendent.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-1639

Comment:

Professional License Required for Business License NSO.116

Localities are required to ascertain that contractors, architects, and professional engineers hold valid State licenses prior to issuing business licenses.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 54.1-410.1 and 54.1-1111

Comment: Previously catalogued as part of NSO.021.

Notification of Zoning Administrator's Actions

NSO.117

A property owner must be provided written notice within ten days of the locality receiving a request for the zoning administrator's (or other administrative officer's) written order, requirement, decision or determination, in situations where the requestor is not the property owner. Notification may be provided by the locality, or by the requestor, with proof of notification to the locality. Should the response from the zoning administrator result in an appeal to the Board of Zoning Appeals or the governing body, the appeal shall only be binding if the property owner was notified.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-2204, 15.2-2301, and 15.2-2311

Comment:

Agricultural and Forestal Districts

NSO.118

Localities that have enacted an Agricultural and Forestal District ordinance must adhere to the prescribed application and review procedure, including appointing a program administrator to administer the program as well as an advisory committee that is responsible for making recommendations to the local Planning Commission. Under specified circumstances, the local governing body may designate the Planning Commission to act for and in lieu of an advisory committee.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-4300 et seq.

Comment:

Return of Property Acquired through Eminent Domain

NSO.119

If a property is acquired in fee simple through eminent domain proceedings, and is subsequently not needed, or if construction of improvements has not commenced within 20 years, then the property must be offered for sale to the previous owners at the price paid by the condemner plus six percent interest, provided that the condemner may increase the price by the fair market value of any improvements made by the condemner. This is not applicable to properties acquired for transportation projects or to other transactions, including those initiated by local governments, which were commenced prior to July 1, 2011.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia, §§ 25.1-100 et seq.

Comment:

Tourism Zones & Tourism Projects of Regional Significance

NSO.120

One-percent of state sales tax revenue generated by a qualifying tourism project within a tourism zone may be returned to the locality for the purpose of providing gap financing for such tourism project, provided that the locality passes an ordinance adopting a tourism plan; identifies an appropriate tourism project by ordinance; and, by ordinance, directs one-percent of the local sales generated on the premises to the same purpose. For qualifying tourism projects of regional significance, localities are entitled to 1.5 percent of sales tax revenue provided the locality conducts the same actions as for a qualifying tourism project.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 58.1-3851 et seq.

Comment:

Public-Private Education Facilities and Infrastructure Act of 2002 NSO.121

Local governments that accept public-private capital project proposals must comply with the Act, including the adoption of reasonable guidelines that encourage competition and guide the selection process. In addition, whenever a local government rejects a proposal that purports to produce specific cost savings, the local government must specify the basis for the rejection.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 56-575.1 et seq.

Comment:

Location, Character, and Extent of Public Facilities NSO.122

No street or connection to an existing street, park or other public area, public building or public structure, public utility facility or public service corporation facility may be constructed, established, or authorized until the planning commission has determined that such facility's location, character, and extent are in substantial accord with the adopted comprehensive plan. The planning commission may, and must-if requested by the local governing body-hold a public hearing in conjunction with such determination. Further, the local governing body may overrule the commission's determination. Exceptions to this review requirement are provided for (1) railroads, (2) underground electric and natural gas distribution, (3) certain components of subdivision and site plans, (4) when such facility is shown on an adopted master plan or accepted through a proffered condition made pursuant to § 15.2-2303 and (5) telecommunications towers if the prpoposed telecommunications tower or facility is located in a zoning district that allows such use by right.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2232

Comment:

Stormwater Utility NSO.123

Any locality, or two or more localities cooperatively, by ordinance, may establish a utility or enact a system of service charges to support a local stormwater management program. Localities can create a private property utility program that are pursuant to rules of public stormwater management utility companies. Income derived from such shall be dedicated special revenue, may not exceed the actual costs incurred by the locality, and may only be used to pay for the specified activities of the stormwater utility. The charges assessed may be billed to property owners or occupants, and shall be based upon an analysis that demonstrates the rational relationship between the amount charged and the services provided. Additionally, waivers of the fees are required or optional under specified circumstances.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2114

Comment:

Compensation for Livestock and Poultry Killed by Canines

NSO.124

Owners of livestock or poultry killed or injured by a dog, hybrid canine, wolf, or coyote, shall be entitled to up to $750 per animal or $10 per fowl provided that (i) the canines and livestock do not have the same owner; (2) evidence is provided within 60 days showing the quantity and value of the livestock, and why it is believed a canine caused the death or injury; (3) the animal control officer or other officer has been notified within 72 hours of the discovery; and (4) the claimant has exhausted his legal remedies against the canine owner, if known. The locality may waive the 72 hour notification and legal exhaustion requirements by ordinance, provided the ordinance instead requires an animal control investigation that supports such claim.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 3.2-6553, 3.2-6581, 3.2-6584

Comment:

Overtime Compensation for Law-Enforcement and Fire Protection Employees

NSO.125

Law-enforcement and fire protection employees shall be required to be paid overtime compensation or leave for all hours of work between the maximum permitted under the Fair Labor Standards Act and the hours for which the employee receives his salary, or if paid on an hourly basis, the hours for which the employee receives hourly compensation. Further, for the purpose of determining entitlement to overtime compensation, all hours in which an employee works or is in a paid status shall be counted as hours of work, meaning annual leave or sick leave that are used would be counted toward worked hours. Employers with fewer than 100 law-enforcement employees are exempt.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 9.1-700 and 9.1-701

Comment:

Renewable Energy Generation Facility Ordinances

NSO.126

Local ordinances that address the siting of renewable energy facilities that utilize solar or wind resources shall be consistent with the Commonwealth Energy Policy, provide reasonable criteria for the protection of the locality and include reasonable provisions establishing setbacks, noise limitations, buffer requirements, and standards for the decommissioning of the facility.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 67-102 and 67-103

Comment: Originally catalogued as SCT.DMME003

Gun Buyback Programs

NSO.127

In order for a locality to participate in a program in which individuals are given a thing of value in exchange for surrendering a firearm to the locality, the locality must first adopt an authorizing ordinance. Such ordinance shall require that any firearm received shall be offered and duly advertised for public auction or sealed bidding to an authorized firearms dealer. After such offering, the locality may then dispose of any remaining firearms in the manner it deems proper, which may include destruction or sales to a licensed dealer.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-915.5

Comment:

Cemeteries, Zoning Ordinances NSO.128

For the purpose of land use approval, zoning ordinances shall consider cemeteries to also include specified accessory uses. In the event that a conditional use permit or similar legislative approval is required, mausoleums, columbaria, chapels, administrative offices, and maintenance and storage areas that are shown in such approval for the permit shall not require any additional local legislative approval, provided that such structures and uses are developed in accordance with the original legislative approval.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2288.5

Comment:

Local Regulation of Taxicabs NSO.129

Local governments may regulate, by ordinance, the number of taxicab operators and vehicles, and adopt reasonable associated regulations, including, but not limited to rates or fares and minimum insurance requirements. Such regulation shall not: prescribe wages to be paid to operators; require claims practices beyond those contained in § 46.2-2056; impose additional financial requirements to qualify as self-insured beyond those contained in § 46.2-2053; or reduce the number of taxicabs permitted to be operated by a taxicab operator, other than for non-use or for cause as defined by such ordinance, which may include a decrease in demand for service.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 46.2-2067

Comment:

Helicopter Landing Sites, Zoning Ordinances NSO.130

Zoning ordinances shall not totally ban departures and landings within the locality by non-commercial helicopters for personal use; however, a special exception, special use permit, or conditional use permit may be required for repetitive departures and landings on the same parcel.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2293.2

Comment:

Locally-designated Historic Districts NSO.131

Any locality may adopt an ordinance designating significant historic or cultural landmarks or districts, or corridors used to access such landmarks or districts. Such ordinance may require review and approval of any construction activity or demolition, provided a review board is established, and a specified review and appeal procedure is established. In addition, prior to the designation or expansion of a district, the opportunity for public input must be provided, an inventory of all landmarks, buildings, or structures in the district must be conducted, and written criteria shall be established to determine which properties shall be included. The local ordinance also shall permit a property owner to demolish or raze a structure within the district, provided a specified procedure has been followed whereby the owner has unsuccessfully attempted to sell the property in a specified timeframe.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2306

Comment:

Cash Proffers, Limitation on Increases by Indexing NSO.132

No locality shall either request or accept a cash proffer whose amount is scheduled to increase annually, from the time of proffer until tender of payment, by a percentage greater than the annual rate of inflation, as calculated by referring to the Consumer Price Index for all urban consumers (CPI-U), 1982-1984=100 (not seasonally adjusted), as reported by the United States Department of Labor, Bureau of Labor Statistics or the Marshall and Swift Building Cost Index.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2303.3 (B)

Comment:

Proffered Zoning Conditions not to Contain any Waiver of Legal Rights NSO.133

No locality shall request or accept any provision of any proffer entered in which the profferor purports to waive future legal rights against the locality or its agents. Any such proffer provision contained in a proffer entered and enacted on or after January 1, 2012, shall be severable from the remainder of the proffer and shall be void ab initio. In the event that a proffer containing such a provision is entered and enacted on or after January 1, 2012, the rezoning to which the proffer containing such provision is attached shall not be nullified, rescinded, or repealed, however described or delineated, by reason of any alleged breach of such a provision by the profferor, notwithstanding any provisions of the proffer to the contrary.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2303.3 (C)

Comment:

Restriction on Fees Chargeable to Religious Institutions NSO.134

Localities shall not charge any fee to any church, synagogue, or other place of worship unless authorized by general law or special act of the General Assembly. This does not apply to any fire prevention inspection fees.

Type: State Fiscal Preemption

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-108.1

Comment:

Liens for Nonpayment of Water and Sewer Bills NSO.135

Local governments may place a lien on property in the amount of the number of months of delinquent utility charges, plus any penalties and interest, and reasonable attorney fees and collections charges, not to exceed 20% of the delinquent amount. No lien may be placed for an amount less than $25. If a property is tenant-occupied, a lien may only be placed if the locality has: (1) provided the owner with notification that a lien can be placed due to his tenant's nonpayment, (2) sent a copy of the tenant's final bill to the owner, (3) obtained a security deposit from the tenant equal to 3-5 months worth of charges and applied the deposit toward the charges, (4) already attempted reasonable collection efforts, (5) provided the owner 30 day's notice, along with a copy of the final bill to allow the owner to pay and avoid the lien. A security deposit shall not be required in instances where the tenant receives government rental assistance.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2119

Comment:

Required Transportation Expenditures in Northern Virginia and Hampton Roads

NSO.136

Each city or county located in Planning District 8 (Northern Virginia) or Planning District 23 (Hampton Roads) as of January 1, 2013 shall expend or disburse for transportation purposes each year an amount that is at least equal to the average annual amount expended or disbursed for transportation purposes by the city or county, excluding bond proceeds or debt service payments and federal or state grants, between July 1, 2010 and June 30, 2013. In the event that any city or county does not meet this requirement, that locality shall not be entitled to certain tax and fee revenue the following year.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Chapter 766, 2013 Acts of Assembly

Comment:

Use of Proffered Cash Funds

NSO.137

Cash proffers are prohibited from being applied to capital improvements to existing facilities that do not expand capacity, or any operating expenses for existing facilities. Localities may utilize any proffered payments for alternative improvements of the same category and in the same vicinity of the improvement for which the cash was originally proffered, following notification of the profferor, and a public hearing.

Localities that accept cash payments that are proffered on or after July 1, 2005 shall, within 12 years of receiving full payment of all cash proffered pursuant to an approved rezoning application, begin site design or construction for the improvements for which the cash is proffered. In the event that a locality does not utilize these funds within the specified 12 year period, for the original or alternative improvement, the locality shall forward those funds to the Commonwealth Transportation Board, for allocation to that locality's secondary system construction program or urban system construction program.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2303.2

Comment:

Replacement of Trees Lost due to Site Development

NSO.138

Any locality with a population density of at least 75 persons per square mile may adopt an ordinance that requires the planting and replacement of trees during the development process. The ordinance shall require that trees be replaced so that after 20 years, 10 to 20 percent of the site will be provided with tree canopy coverage, depending on the zoning or use of the site. Any such ordinance shall provide for reasonable provisions to reduce the canopy requirement in exchange for preservation of existing trees, or deviations for specified uses or land features such as farms or wetlands. All trees shall be planted in accordance with either the specifications jointly adopted by the Virginia Nurserymen's Association, the Virginia Society of Landscape Designers and the Virginia Chapter of the American Society of Landscape Architects, or the road and bridge specifications of the Virginia Department of Transportation.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-961

Comment: Newly identified.

Planning Commission to Consult with Military Installations

NSO.139

The planning commission of any locality shall consult with the installation commander of any military installation that will be affected by potential development within the locality so as to reasonably protect the military installation against any adverse effects that might be caused by the development.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2211

Comment:

Local Personnel Policy for Use of Public Property

NSO.140

All localities, except towns with a population of less than 3,500 that do not have a personnel policy, shall establish personnel policies covering the use of public property by officers and employees of the locality. Such policies shall address the use of telephones, computers, and related devices and peripheral equipment that are the property of the locality for (i) personal use, to the extent that such use interferes with the employees' productivity or work performance, or (ii) political activities.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-1505.2

Comment:

Limited Local Regulation of Farm Wineries and Breweries

NSO.141

Localities are limited as to how certain activities may be regulated at licensed farm wineries or breweries, and any such regulation must be reasonable and take into account its economic impact to the facility. Specifically, localities shall not regulate the production of wine or beer, or the harvesting of the ingredients, shipment, storage, and on-premise tasting or sales. “Usual and customary” activities and events at these facilities cannot be prohibited unless there is a substantial public health, safety or welfare issue. Localities also cannot regulate a licensed farm winery or brewery with a noise ordinance more restrictive than their general noise ordinance, except with respect to outdoor amplified music.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-2283.3 and 15.2-2283.3:1

Comment:

Preliminary Subdivision Plats

NSO.142

Localities may only require a preliminary subdivision plat submission for plats involving 50 or fewer lots; however, a landowner shall be permitted to submit a preliminary plat involving 50 or fewer lots at their option.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2260

Comment:

Limited Regulation of Certain Activities in Agricultural Districts NSO.143

Localities are prohibited from regulating certain agritourism activities unless there is a substantial impact on the health, safety, or general welfare of the public, and must permit these uses by-right in agricultural districts. Localities are prohibited from enacting a noise ordinance for such uses that is more restrictive than the general noise ordinance, except with respect to outdoor amplified music.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2288.6

Comment:

Boundary Adjustment Notification Requirements NSO.144

Localities are required to notify affected landowners, via first-class mail, of proposed voluntary boundary adjustments between two or more localities. An affidavit that such mailing was made shall be made by each locality and filed with the petition to adjust the boundary.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-3107

Comment:

Right to Farm NSO.145

Within agricultural zoning districts, localities must permit production agricultural or silvicultural activities as by-right uses. Localities are also prohibited from enacting any ordinance that would unreasonably restrict or regulate certain farming structures and activities unless the restrictions have a relationship with the health, safety, and general welfare of its citizens.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 3.2-301

Comment:

Constitutional Officer Funding Notification Requirement and Appeals NSO.146

Localities are required to notify any constitutional officer of the locality if their proposed budget is reduced at a rate greater than the average rate for all other agencies funded by the general fund – excluding schools – at least 14 days prior to adoption of the budget. Localities are required to consider any written objection of the officer made within 7 days of the notice.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-2506

Comment:

Provision of Certain Supplies and Equipment to Circuit Court Clerks

NSO.147

Localities are required to provide certain supplies including books, stationery, furniture, storage items, electronic equipment and systems, and other equipment and appliances which may be necessary for the proper conduct of such offices.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-1656

Comment:

Transportation of Individuals under a Temporary Detention Order or Emergency Custody Order

NSO.148

The primary law enforcement agency (sheriff or police department) from the jurisdiction served by the community services board that designated the person to perform the evaluation of an individual for an emergency custody order (ECO), or from the jurisdiction in which the individual subject to a temporary detention order (TDO) resides, or any other willing law enforcement agency, must execute the ECO or TDO and provide transportation for an individual under an ECO or a TDO when ordered to do so by a magistrate. The law enforcement agency executing the order shall also notify the appropriate community services board.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 37.2-808 and 37.2-810

Comment:

Locality Notification System

NSO.149

Any locality may by ordinance establish a system to deliver notifications to residents by email, phone, text message or other similar means of communication. Such ordinance shall be adopted only after a public hearing and shall contain an opt-in provision for non-emergency notifications.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 15.2-925.1, Chapter 192, 2015 Acts of Assembly

Comment: Localities that enacted such a system prior to 7/1/2015 are exempt from the provisions of § 15.2-925.1.

Local Government Conflict of Interest Disclosures

NSO.150

Disclosure forms of personal interest and other related information shall be filed with the Virginia Conflict of Interest and Ethics Advisory Council by the following individuals: (1) members of the local governing body or (2) school board, (3) local consitutional officers, (4) certain local government employees occupying positions of trust (as designated by local ordinance/policy) for cities, counties, and towns with populations in excess of 3,500; (5) members of any locally established authority with the power to issue bonds or expend more than $10,000 in any fiscal year; (6) and non-salaried members of local boards and commissions. Forms shall be filed upon assuming office and annually/semiannually thereafter (depending on the position). Such individuals shall also make certain disclosures and/or abstain from certain actions when they have a personal interest in particular matters in their offical local government duties with the exception of certain provisions. Local government officers and employees must also disclose their receipt of certain gifts in excess of $100 and must seek approval from the Council for any gifts of travel in excess of $100.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 2.2-3100 et. seq.

Comment:

Notice of Local Governments' Intent to Purchase Services

NSO.151

Any local government that intends to purchase services found on the Department of Planning and Budget's commercial activities list for an amount greater than $25,000 shall post notice of such purchase on its website where all public notices for procurement opportunities are located or on the Department of General Service's "Future Procurement" listing. Local governments shall also provide the opportunity for comment by or submission of information from the private sector on each such intended purchase.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 2.2-614.4

Comment:

Notice of Certain Changes in Prisoner Status to Victims of Crime

NSO.152

Sheriffs and superintendents shall notify crime victims via first class mail, telephone, or both: (1) prior to the release or discharge, or (2) as soon as practicable following the transfer, escape, or name change of the prisoner in their custody whose offense was related or linked to the crime victim. The notice shall be given at least 15 days prior to the release or discharge and as soon as practicable following a transfer, escape, or name change.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia § 53.1-133.02

Comment:

Land Bank Entities

NSO.153

Localities or two or more localities combined are authorized to establish a land bank entity to assist in addressing vacant, abandoned, and tax-delinquent real properties. Land bank entities may receive funding through grants and loans from participating localities, the Commonwealth, the federal government, and other public and private sources. Land bank entities may acquire real property or receive transfers within participating localities. A locality may deem paid in full all accumulated taxes, penalties, interest, and other costs on any tax-delinquent property in exchange for conveyance of the property by the owner to a land bank entity. Participating localities must remit to the land bank entity up to 50 percent of the real property taxes collected on real property conveyed by a land bank entity for up to 10 years after the conveyance.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 58.1-3970.2; Chapter 75, § 15.2-7500-15.2-7512

Comment: Recommendation of the Virginia Housing Commission.

Nonconforming Uses and Rezoning

NSO.154

If a use does not conform to the zoning prescribed for the district in which such use is situated, and if (i) a business license was issued by the locality for such use and (ii) the holder of such business license has operated continuously for at least 15 years and has paid all local taxes related to such use, the locality shall permit the holder of such business license to apply for a rezoning or a special use permit without charge by the locality or any agency affiliated with the locality for fees associated with such filing. All localities must comply with regulations concerning nonconforming uses for zoning.

Type: State Fiscal Preemption

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-2307

Comment:

Onsite Sewage System

NSO.155

Local governments may require installation, maintenance and operation of, regulate and inspect onsite sewage systems or other means of disposing sewage. A local government may adopt an ordinance for establishing a uniform schedule of civil penalties not to exceed $100 per violation for violations of specified provisions for the operation and maintenance of alternative and conventional onsite sewage systems whose locations are on record with the locality and meet certain other criteria. Localities shall not prohibit use of alternative onsite sewage systems that have been approved by the Virginia Department of Health if a sewer or sewage disposal facility is not available.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-2157

Comment:

Conditional Rezoning Proffers

NSO.156

No locality will request or accept any unreasonable proffer as defined in connection with a rezoning or proffer condition amendment as a condition of approval of a new residential development or new residential use. A locality may not deny any rezoning application or an application for amendment to an existing proffer for a new residential development or new residential use where the denial is based on the applicant's refusing to submit or subject to an unreasonable proffer. An offsite proffer is considered unreasonable unless it addresses an impact to an off-site public facility such that (a) the new residential development or new residential use creates a need or identifiable portion of a need for one or more public facility improvements in excess of existing public facility capacity and (b) each residential application receives a direct and material benefit from a proffer made with respect to any such public utility improvements.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 15.2-2303.4

Comment:

Public Safety Records

NSO.157

Local governments must notify the Secretary of Public Safety and Homeland Security or their designee of a request for records pertaining to public safety excluded under the provisions of FOIA and the response made by the local government.

Type: Compulsory Order

Agency: **No State Oversight**

Authority: Code of Virginia §§ 2.2-3705.2

Comment:

Passing Stopped School Buses & Summons Process

NSO.158

A locality that has authorized by ordinance the installation and operation of a video-monitoring system on school buses for recording violations of unlawfully passing a stopped school bus may execute a summons for such violation by mailing a copy of the summons to the owner of a vehicle that unlawfully passed a stopped school bus. Every mailing shall include a notice of (1) summoned person's ability to rebut the presumption that they were the operator of the vehicle at the time of the violation by affidavit and (2) instructions for filing the affidavit and address where it should be filed. Localities may direct that any civil penalty levied for this violation shall be payable to the local school division.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia § 46.2-844

Comment:

Penalties for Unregistered Vehicles in the Commonwealth

NSO.159

Any locality may adopt an ordinance imposing a penalty of up to $250 upon the resident owner of any motor vehicle that has not been registered, following the end of the 30-day statutory grace period. The locality may impose the penalty upon the resident owner annually for as long as the motor vehicle remains unregistered in Virginia. The ordinance shall include a resonable method for assessing and collecting the penalty, whether by civil, criminal, or administrative process, and shall identify the employees or agents of the locality who are to execute such assessment and collection.

Type: Regulation of Optional Activity

Agency: **No State Oversight**

Authority: Code of Virginia §§ 46.2-662

Comment:

Appendices

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Appendix A

Executive Order 58 (2007)

Establishment of Procedures for Agency Assessment of Mandates on Local Government

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COMMONWEALTH OF VIRGINIA

OFFICE OF THE GOVERNOR

EXECUTIVE ORDER 58 (2007)

Establishment of Policies and Procedures for Agency Assessment of Mandates on Local Government

By virtue of the authority vested in me as Governor under Article V of the Constitution of the Commonwealth of Virginia and under the laws of the Commonwealth, including but not limited to Sections 2.2-613 and 15.2-2903(6) of the *Code of Virginia*, and subject to my continuing and ultimate authority and responsibility to act in such matters, I hereby establish policies and procedures by which the executive agencies of the Commonwealth shall critically assess and periodically reassess all mandates imposed on localities administered by such agencies. Unnecessary, redundant and conflicting mandates imposed on localities siphon local governments' limited resources thereby contributing to fiscal stress and hardship and detracting from other needs and priorities. Thus, the purpose of the mandate assessment process is to determine which mandates, if any, may be altered or eliminated without interruption of local service delivery and without undue threat to the health, safety, and welfare of the residents of Virginia.

A. Mandates Defined

1. For purposes of implementing Section 15.2-2903(6) of the *Code of Virginia*, a mandate shall be defined as a constitutional, statutory, or administrative measure or action that places a requirement on local governments.

2. Mandates placing requirements on local governments shall be classified as compulsory orders, non-discretionary conditions of aid, regulation of optional activities, or state fiscal preemption. These classifications shall be defined as follows:

   (a) Compulsory Orders are measures or actions that impose requirements with which localities must comply in the performance of their prescribed responsibilities.

(b) Non-Discretionary Conditions of Aid are requirements which are imposed as a condition of receiving state and/or federal financial aid for which localities are obligated or encouraged to apply.

(c) Regulations of Optional Activities are measures imposing state and/or federal government requirements relative to the performance of activities that are not mandated but are subject to such regulation if performed.

(d) State Fiscal Preemption is a measure or action that results in a net reduction of revenues collected by a locality or restricts a locality's authority to collect such revenues.

B. Requirements

1. Assessment of Current Mandates

The executive agencies of the Commonwealth shall assess all local government mandates, which they currently administer, as determined by the Commission on Local Government and specified in the most recent edition of the Catalog of State and Federal Mandates on Local Governments, as follows:

(a) All assessments performed by agencies pursuant to Section 2.2-613 and Section 15.2-2903(6) of the *Code of Virginia* shall be conducted consistent with the standardized assessment form adopted by the Commission on Local Government.

(b) During the mandate assessment process, agencies should solicit fiscal impact data and evaluative comment from affected local governments and indicate on the assessment form the localities providing the data or that no data was provided.

(c) Agencies shall initiate, conduct and complete the assessment of all mandates within the scheduled assessment periods established by the Commission on Local Government pursuant to the provisions of this Executive Order.

(d) Agencies shall submit their assessments, including any recommendations regarding the alteration or elimination of mandates, to the appropriate Cabinet Secretary for endorsement or amendment.

(f) Completed assessments, signed by the Agency Head and approved by the Cabinet Secretary, shall be submitted by the agency to the Commission on Local Government no later than five business days after the conclusion of the scheduled assessment period.

(g) The Commission on Local Government shall distribute copies of all completed assessments received from state agencies to the Governor, the Secretary of Commerce and Trade, the Clerks of the House of Delegates and Senate, the Virginia Association of Counties (VACo) and the Virginia Municipal League (VML) and to other interested parties upon request.

2. Annual Specification of Scheduled Assessment Periods

(a) Each year at such time as the Commission on Local Government shall designate, agencies shall submit to the Commission a proposed schedule establishing specific dates for the assessment periods for new or newly identified mandates, as specified in Section 4 of this Executive Order, or for the reassessment of existing mandates as may be appropriate pursuant to Section 5 of this Executive Order.

(b) The Commission on Local Government shall adopt the schedule for assessment periods proposed by the agencies, unless, in its judgment, substantial reason exists for modification.

(c) The Commission on Local Government shall submit the adopted schedule for assessment periods to the Secretary of Commerce and Trade and the Governor for their review and approval.

(d) Subsequent to approval by the Secretary of Commerce and Trade and the Governor, the Commission on Local Government shall forward copies of the schedule for assessment periods to the affected agencies.

(e) Subsequent to approval by the Secretary of Commerce and Trade and the Governor, the Commission on Local Government shall file copies of the schedule for assessment periods and any modifications thereof with the Clerks of the House of Delegates and the Senate. The Commission shall also file copies of the schedule and any modifications with VACo and VML and with the Registrar of Regulations for appropriate publication in The Virginia Register.

3. Modification of Scheduled Assessment Periods

(a) With due notice and sufficient cause, approved agency assessment periods may be subsequently modified by the Commission on Local Government upon its own initiative or upon the request of the administering agency, affected local governments, VACo or VML.

(b) All modifications to agency assessment periods approved by the Commission on Local Government shall be subject to the approval of the Secretary of Commerce and Trade.

4. New and Newly Identified Mandates

(a) The Commission on Local Government shall endeavor to identify for inclusion in the next annual catalog of state and federal mandates, as prescribed by Section 15.2-2903(7) of the *Code of Virginia*, all mandates on local government not previously cataloged.

(b) Executive agencies are directed to assist the Commission on Local Government in identifying new mandates which they will administer as well as mandates not previously identified which they are currently administering.

(c) Executive agencies administering such mandates shall be responsible for their assessment consistent with the relevant sections of this Executive Order.

(d) No mandate shall be subject to assessment by any agency until it has been in effect for a minimum of two years.

5. Reassessment of Mandates

(a) No mandate that has been assessed or reassessed by any agency through the assessment period concluding in April 2007 shall again be subject to reassessment unless such reassessment is requested by the Commission on Local Government after the Commission has duly considered input from local governments, state agencies, interest groups and the public.

(b) No mandate shall be subject to reassessment more than once every four years unless such mandate has been so substantially modified as to create a new mandate. Any mandate so

modified shall not be subject to assessment by any agency until it has been in effect in its modified form for a minimum of two years.

(c) All reassessments of mandates shall be scheduled and conducted consistent with the relevant sections of this Executive Order.

This Executive Order rescinds Executive Memorandum 1-98 issued on October 29, 1998.

This Executive Order shall be effective upon its signing and shall remain in full force and effect until amended or rescinded by further Executive Order.

Given under my hand and under the Seal of the Commonwealth of Virginia this 11th day of October, 2007.

Timothy M. Kaine, Governor

Attest:

Secretary of the Commonwealth

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Appendix B

Schedule of Assessment Periods for the Executive Agency Assessment of Cataloged Mandates

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Catalog of State and Federal Mandates on Local Governments - 2016

Schedule for the Assessment of State and Federal Mandates on Local Governments

Pursuant to the provisions of Sections 2.2-613 and 15.2-2903(6) of the Code of Virginia, the following schedule, established by the Commission on Local Government and approved by the Secretary of Commerce and Trade and Governor Terence R. McAuliffe, represents the timetable that the listed executive agencies will follow in conducting their assessments of certain State and federal mandates that they administer on local governments. Such mandates are either new (in effect for at least 24 months) or newly identified. In conducting these assessments, agencies will follow the process established by EXECUTIVE ORDER 58 which became effective October 11, 2007. These mandates are abstracted in the CATALOG OF STATE AND FEDERAL MANDATES ON LOCAL GOVERNMENTS published by the Commission on Local Government.

STATE AND FEDERAL MANDATES ON LOCAL GOVERNMENTS

Approved Schedule of Assessment Periods – July 2016 through June 2017
For Executive Agency Assessment of Cataloged Mandates

AGENCY		CATALOG		ASSESSMENT
Mandate Short Title		NUMBER		PERIOD
CRIMINAL JUSTICE SERVICES, DEPARTMENT OF				
Sexual Assault Services Program		SPSHS.DCJS033		04/01/2017 to 06/30/2017
EDUCATION, DEPARTMENT OF				
Teacher License Required		SOE.DOE004		07/01/2016 to 08/31/2016
Eligibility of Students in Military Families for Interscholastic Programs		SOE.DOE140		09/01/2016 to 10/31/2016
School Health		SOE.DOE141		07/01/2016 to 08/31/2016
Public School Security Equipment Grant Act		SOE.DOE144		07/01/2016 to 08/31/2016
Supplementary Written Historical Materials		SOE.DOE145		09/01/2016 to 10/31/2016
ENVIRONMENTAL QUALITY, DEPARTMENT OF				
Stormwater Local Assistance Funds		SNR.DEQ045		09/01/2016-11/30/2016
GAME AND INLAND FISHERIES, DEPARTMENT OF				
Wildlife Displayed in Schools		SNR.DGIF008		07/01/2016-09/30/2016
HEALTH, VIRGINIA DEPARTMENT OF				
Disposition of Dead Bodies		SHHR.VDH030		10/01/2016 to 12/31/2016
MARINE RESOURCES COMMISSION, VIRGINIA				
Wetlands Zoning Ordinance in Tidewater		SNR.MRC001		04/01/2017 to 06/30/2017

Catalog of State and Federal Mandates on Local Governments - 2016

AGENCY		CATALOG		ASSESSMENT
Mandate Short Title		NUMBER		PERIOD
SOCIAL SERVICES, DEPARTMENT OF				
Child Protective Services		SHHR.DSS031		03/01/2017 to 05/31/2017
Provision of Independent Living Services for Current or Former Children in Foster Care		SHHR.DSS076		09/01/2016-11/30/2016
Written Interagency Agreements with Local School Divisions for Investigating Child Abuse and Neglect		SHHR.DSS077		03/01/2017-05/31/2017
TAXATION, DEPARTMENT OF				
Tax Exemption for Certified Pollution Control, Recycling, and Solar Energy Equipment and Facilities		SFIN.TAX014		07/01/2016 to 09/30/2016
Real Property Tax Exemption or Deferrals for the Elderly and Disabled		SFIN.TAX020		08/1/2016 to 10/31/2016
Food and Beverage and Meals Tax Exemption for Nonprofit Fundraising Sales		SFIN.TAX021		09/1/2016 to 11/30/2016

Appendix C

Changes in Mandates Since Issuance of the 2015 Catalog of State and Federal Mandates on Local Governments

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Appendix C: Changes in Mandates Since Issuance of the 2015 Catalog of State and Federal Mandates on Local Governments

Appendix C offers a condensed listing of new mandates on local governments, newly identified mandates not previously reported, significantly modified (expanded) existing mandates, mandates that have been eliminated, other changes and minor edits reflected in this 2016 edition of the catalog.

Except those mandates that were eliminated since the publication of the 2015 catalog, each mandate identified in this section has a complete entry in the body of the catalog. Mandates listed in Appendix C are grouped according to administering agency with the citation containing the short title, identification number, and the number of the page in the catalog on which the relevant entry is found.

Changes in Mandates
Since the Publication of the 2015 Edition of the Catalog

NEW MANDATES	CODE NUMBER
Compulsory Order	
Education, Department of	
Broadband Connectivity Capability	SOE.DOE148
Forensic Science, Department of	
Handling and Submission of Physical Evidence Recovery Kits (PERKs)	SPSHS.DFS006
State Police, Department of	
Officer Involved Shooting	SPSHS.VSP018
Transportation, Department of	
Tunnel Safety Inspection Standards	STO.VDOT043
No State Oversight	
Conditional Rezoning Proffers	NSO.156
Regulation of Optional Activity	
Education, Department of	
Licensure Accommodations for Career and Technical Education Teachers	SOE.DOE150
Game and Inland Fisheries, Department of	
Feeding Of Deer	SNR.DGIF009
Social Services, Department of	
Support Payments for Public Service	SHHR.DSS081
No State Oversight	
Land Bank Entities	NSO.153
Passing Stopped School Buses & Summons Process	NSO.158

NEWLY IDENTIFIED MANDATES	CODE NUMBER
Compulsory Order	
Agriculture and Consumer Services, Department of	

Animal Control and Law Enforcement Animal Recordkeeping Requirements	SAF.VDACS013
Criminal Justice Services, Department of	
Multidisciplinary Response Team for Criminal Sexual Assault	SPSHS.DCJS036
Education, Department of	
Use of School Property	SOE.DOE149
Housing and Community Development, Department of	
Local Building Permit Fee Levy	SCT.DHCD021
Motor Vehicles, Department of	
Notice to Commissioner of Motor Vehicles Upon Seizure of Vehicles	STO.DMV006

Non-Discretionary Condition of Aid

Housing and Community Development, Department of	
Virginia Main Street (VMS)	SCT.DHCD022
Rail and Public Transportation, Department of	
Intercity Passenger Rail Operating and Capital Fund	STO.DRPT021

Regulation of Optional Activity

No State Oversight	
Onsite Sewage System	NSO.155
Penalties for Unregistered Vehicles in the Commonwealth	NSO.159

State Fiscal Preemption

No State Oversight	
Nonconforming Uses and Rezoning	NSO.154

EXPANDED MANDATES

Compulsory Order

Education, Department of

School Staffing ratios (SOE.DOE010) was expanded to include that local school divisions must notify parents of each student in a class that exceeds the class size limit established and reasons why the class exceeds class limit and measures taken to reduce class sizes in the future after September 30 of any school year. Every Student Succeeds Act 2015 (Federal).

Standards of Accreditation Requirements (SOE.DOE030) was expanded to include that the Board of Education is given the option - if a corrective action plan is not sufficient enough to enable schools in the district to achieve full accreditation - to return the plan and have a revised version resubmitted. A

locality will have to report progress in public session and submit annual progress reports. A locality can be at risk of losing At-Risk Add-On Funds if they do not follow the steps outlined above by the Board. 2016 Acts of Assembly, Chapter 780.

Charter School Applications (SOE.DOE119) was expanded to include requiring a charter contract by the local school board and management committee of charter school within 90 days of approval of the charter school. Local school boards have 10 days after executing a charter contract to submit a written notification of the charter contract execution and any relevant documents including the contract to the Board of Education. 2016 Acts of Assembly, Chapter 770.

Health, Department of

Emergency Medical Services Criminal History Record Information (SHHR.VDH032) was expanded to include localities that adopt an ordinance on obtaining criminal history records of employees and volunteers for emergency medical services must require the applicant to submit fingerprints. Localities must notify the Office of Emergency Medical Services regarding a volunteer or employee's eligibility for employment based on criteria set forth for those job classifications once they receive a report from the Central Criminal Records Exchange. 2015 Acts of Assembly, Chapter 362.

Juvenile Justice, Department of

Social Services Collaboration in Transition Planning for the Release of Certain Juveniles (SPSHS.DJJ010) was expanded to include foster care benefits to youth adopted at age 16 or older until their 21st birthday, given requirements set out in guidance by the Department of Social Services. 2016 Acts of Assembly, Chapter 780.

Social Services, Department of

Confidentiality of Client Social Services Program Records (SHHR.DSS052) was expanded so that localities must notify victims of domestic violence or victims of a similar nature when release of information if required by statutory or court mandate. 2016 Acts of Assembly, Chapter 670.

No State Oversight

The Virginia Freedom of Information Act (NSO.114) was expanded such that localities are required to identify a local FOIA officer who is well versed in the provisions of FOIA and who must undergo an annual training. This information and a FOIA rights and responsibilities document are required to be posted on a local government's website. 2016 Acts of Assembly, Chapter 748.

Regulation of Optional Activity

Game and Inland Fisheries, Department of

Hunting Firearm Restrictions (SNR.DGIF005) was expanded to grant authority to localities to post an ordinance regulating type of firearm, firearm caliber, and type of ammunition to be used for hunting and permitting hunting of groundhogs and coyotes with a rifle of a caliber larger than .22 rimfire. 2016 Acts of Assembly, Chapter 64.

State Fiscal Preemption

Veterans Services, Department of

Real Property Tax Exemption for Disabled Veterans and Surviving Spouses (SVDA.DVS001) was expanded to include surviving spouses of any member of the United States armed forces who "died of wounds received in action" as determined by the U.S. Department of Defense as being exempt from local property taxation. Localities must also exempt from taxation real property improvements made to land

surrounding such residences within the guiedlines provided in the code. Localities must also exempt from taxation real property that (i) includes manufactured homes and (ii) residences whether or not the veteran or the spouse owns the land on which the residence is located. 2016 Acts of Assembly, Chapters 393 and 485

ELIMINATED MANDATES

Compulsory Order

Transportation, Department of

Urban Street Construction (STO.VDOT010) has been eliminated due to the repeal of the code section authorizing this mandate effective July 1, 2016. 2016 Acts of Assembly, Chapter 684.

State Fiscal Preemption

Accounts, Department of

District Courts Deposit of Fines and Fees (SFIN.DOA001) has been eliminated because the code section authorizing this mandate was removed from the budget bill. 2016 Acts of Assembly, Chapter 780.

MANDATES REMOVED FROM CATALOG

Compulsory Order

Public-Private Partnerships, Virginia Office of

Cooperation with Utility Crossings (STO.VAP3-002) has been removed from the catalog and renamed to STO.VDOT044 since the Office of Public-Private Partnerships is now under the purview of the Virginia Department of Transportation.

Rail and Public Transportation, Department of

Voter Registration for Disabled Users of Transit and Paratransit Services (STO.DRPT019) has been removed from the catalog because it has been consolidated under Polling Place and Registration Facilities (SOA.ELECT003).

Non-Discretionary Condition of Aid

Rail and Public Transportation, Department of

Disadvantaged Business Participation in Contracts (STO.DRPT005) has been removed from the catalog because it has been consolidated under Federal Mass Transportation Grants (STO.DRPT003).

Drug and Alcohol Testing of Employees Engaged in Light Rail or Transit Operations (STO.DRPT012) has been removed from the catalog because it has been consolidated under Federal Mass Transportation Grants (STO.DRPT003).

Regulation of Optional Activity

Public-Private Partnerships, Virginia Office of

Public-Private Transportation Act (STO.VAP3-001) has been removed from the catalog and renamed to STO.VDOT039 since the Office of Public-Private Partnerships is now under the purview of the Virginia Department of Transportation.

OTHER CHANGES

Compulsory Order

Agriculture and Consumer Services, Department of

Training Standards for Animal Control Officers (SAF.VDACS008) was updated to required training for animal control officers within one year of date of hire instead of two years. Exceptions are provided for officers who simultaneously attend a law-enforcement academy. 2016 Acts of Assembly, Chapter 172.

Criminal Justice Services, Department of

Domestic and Sexual Assault Policies (SPSHS.DCJS030) was updated to include a provision that requires local law enforcement departments to cooperate with local universities and colleges in the area on a response to domestic violence, if requested. Local law enforcement agencies already have to have an established arrest policy and procedure for domestic violence and familiy abuse cases under this mandate. 2016 Acts of Assembly, Chapter 481.

Education, Department of

Teacher License Required (SOE.DOE004) was updated by taking out the provision that local school divisions can employ those who hold a three-year eligibility license issued by the division prior to July 1, 2013. The provision that school divisions must provide the State Board of Education information regarding the issuance of local eligibility licenses if these licenses were issued prior to July 1, 2013, was also redacted. 2013 Acts of Assembly, Chapter 650.

Provision of Free Education (SOE.DOE022) was updated to add that local school divisions must offer free education to children who are residing in a school division under a kinship care arrangement. 2016 Acts of Assembly, Chapter 388.

Program of Instruction for Grades K-12 (SOE.DOE044) was updated to reflect that local school divisions must include a program of instruction in the Virginia and U.S. Government high school course on all information and concepts contained in the civics portion of the U.S. Naturalization Test. 2016 Acts of Assembly, Chapter 737.

Physical and Health Education (SOE.DOE048) was updated to reflect changes in physical education requirements for public schools. These include a program of physical activity for 20 minutes per day or an average of 100 minutes per week for K-5 and available to all students in grades 6-12 with a goal of at least 150 minutes per week. 2016 Acts of Assembly, Chapter 146.

School Crisis, Emergency Management, and Medical Emergency Response Plan (SOE.DOE090) was updated so that public schools must conduct at least one fire drill per week during the first month of school and at least one fire drill each month for the remainder of the school year and conduct two lock-down drills during the first 20 days of school – one of which must occur in September – and two additional lock-down drills during the remainder of the school year – one of which must occur in January. 2016 Acts of Assembly, Chapter 524.

Possession and Self-administration of Inhaled Asthma Medications and Epinephrine (SOE.DOE112) was updated to include school boards needing to have written policies for the possession and administration of epinephrine in every school with information on which employees are authorized by a prescriber and

trained in administration of epinephrine. 2013 Acts of Assembly, Chapter 617.

Elections, Department of

Voting Equipment, Systems, and Staffing Requirements (SOA.ELECT001) was updated to reflect that localities must follow certain procedures for storage and use of voting or counting machines with removal data storage devices. 2016 Acts of Assembly, Chapter 218.

Electoral Board, Registrar and Officers of Election (SOA.ELECT002) was updated with several small updates. These include required training provided by state board for general registrars and officers of election training. Updates also include the requirement for minutes of local electoral board's meetings and using official email address and fax number for forwarding absentee voter materials electronically. 2016 Acts of Assembly, Chapters 13, 16, 403, and 752.

Polling Place and Registration Facilities (SOA.ELECT003) was updated because Voter Registration for Disabled Users of Transit and Paratransit Services (STO.DRPT019) was consolidated into this catalog entry.

Social Services, Department of

Foster Care Services (SHHR.DSS032) was updated to include that local boards of social services may grant waivers regarding State Board's standard for foster home approval (not related to safety). 2016 Acts of Assembly, Chapter 25.

Criminal History and Central Registry Check for Placements of Children (SHHR.DSS072) changed the term "certain barrier crimes" to "an offense as defined the in the Code of Virginia." 22VAC 40-191- 10 et seq.

State Police, Department of

Criminal Firearms (SPSHS.VSP008) was updated to change the title from "Criminal Firearms Clearinghouse" to "Criminal Firearms." Language was also changed to reflect that the firearms tracing system is maintained by the U.S. Department of Justice. Previously, reports of firearms were kept in a Criminal Firearms Clearinghouse maintained by the Department of State Police. 2016 Acts of Assembly, Chapter 214.

Taxation, Department of

Recordation and Will Taxes (SFIN.TAX006) was updated to reflect more guidelines on collecting recordation taxes and taxes on wills. This includes a restriction on collecting a recordation tax for any deed of trust that secures a loan made by a locality to a borrower whose household income does not exceed 80% of the area median household established by the U.S. Department of Housing and Urban Development. Additionally, localities that are grantors are prohibited from collecting a grantor's tax on a judicial sale of tax-delinquent property. 2016 Acts of Assembly, Chapter 662.

Transportation, Department of

Cooperation with Utility Crossings (STO.VDOT044) was previously classified as STO.VAP3-002.

Virginia Retirement System

Line of Duty Act Benefits (IND.VRS002) was updated in the comments to clarify payment terms for localities that choose to opt-out of the Line of Duty Act. 2016 Acts of Assembly, Chapter 677.

No State Oversight
Location, Character, and Extent of Public Facilities (NSO.122) was updated to include proposed telecommunications towers as exempt from being required from planning commission approval if the tower or facility is located in a zoning district that allows them by right. 2016 Acts of Assembly, Chapter 613.

Limited Local Regulation of Farm Wineries and Breweries (NSO.141) was updated to clarify terms for farm winery and land zoned agricultural. 2016 Acts of Assembly, Chapter 710.

Non-Discretionary Condition of Aid

Criminal Justice Services, Department of
School Resource Officer and School Security Officer Grants (SPSHS.DCJS022) was updated to clarify that School Resource Officers hired in local programs must be certified Virginia Law Enforcement officers with a minimum of three years of law enforcement experience and meets state mandated qualifications and training. 2016 Acts of Assembly, Chapter 780.

Historic Resources, Department of
Grants to Certified Local Governments (SNR.DHR002) was updated to include localities that receive project grants to provide training for their architectural review commissions as one of projects to receive a grant for. National Historic Preservation Act (1980 amendments) (Fed).

Cultural Resource Survey and Planning Cost-Share Program (SNR.DHR003) was updated to reflect that a 50% cash match is strongly encouraged but not required in complying with applicable sections of the Virginia Public Procurement Act. 2016 DHR Cost Share Program.

Housing and Community Development, Department of
Industrial Revitalization Fund (Derelict Structures Fund) (SCT.DHCD012) was updated to reflect that the 100% match required for the funds may come entirely from private sources. 2016 Industrial Revitalization Fund Program Design (p. 2).

Transportation, Department of
Transportation Alternatives (STO.VDOT016) was updated to reflect that the Moving Ahead for Progress in the 21st Century Act (MAP-21) is replaced by the Fixing America's Surface Transportation Act (FAST Act). Local governments are still allowed to apply for transportation alternative grants under the FAST Act. 2015 Fixing America's Surface Transportation Act (FAST Act).

Safe Routes to School Program (SRTS) (STO.VDOT035) was updated to reflect that the Moving Ahead for Progress in the 21st Century Act (MAP-21) is replaced by the Fixing America's Surface Transportation Act (FAST Act). Local governments are still allowed to apply for Safe Routes to School grants under the FAST Act. 2015 Fixing America's Surface Transportation Act (FAST Act).

Regulation of Optional Activity

Education, Department of
Family Life Education Materials (SOE.DOE081) was updated to reflect that any high school family life curriculum shall include age-appropriate elements of effective and evidence-based programs on the prevention of dating violence, domestic abuse, sexual harassment, and sexual violence. 2016 Acts of Assembly, Chapter 434.

Environmental Quality, Department of

Virginia Pollution Discharge Elimination System Permit (SNR.DEQ020) was updated to reflect that MS4 permit holders can acquire and use sediment credit for purposes of compliance with any waste load allocations. 2016 Acts of Assembly, Chapter 126.

Housing and Community Development, Department of

Virginia Enterprise Zone Grant Program SCT.DHCD010 was updated to reflect additional requirements for localities that receive Enterprise Zone grants including offering local incentives (directly or through assigned agents) and developing periodic reports and compliance with program regulations. 2005 Acts of Assembly, Chapter 884.

Social Services, Department of

Child Day Centers, Camps, and Schools Licensing (SHHR.DSS051) was updated with language "local governments that operate a child welfare agency, which includes…" and changed "child caring institutions" to children's residential facility." Standards for Licensed Child Day Centers 22VAC 40-185 et seq.; Standards for Licensed Children's Residential Facilities 22VAC40 – 151; Standards for Licensed Family Day Homes 22VAC 40-111.

Transportation, Department of

Public-Private Transportation Act (STO.VDOT039) was previously classified as STO.VAP3-001.

No State Oversight

Stormwater Utility (NSO.123) was updated to reflect that localities may establish a stormwater management private property program pursuant to the rules of public stormwater management utility companies. 2016 Acts of Assembly, Chapter 587.

Liens for Nonpayment of Water and Sewer Bills (NSO.135) was updated to reflect that local governments may place a lien on property in the amount of the number of months of delinquent utility charges. This replaces the previous limit of up to three months.

7. Minor Edits

Notification of Rights for Victims of Crime IND.VWC003

Local law enforcement officers in counties, cities, and towns must make reasonable efforts, where practicable, to notify victims of crime of their rights by distributing notices to each victim. In cases where a claim is pending before the Criminal Injuries Compensation Fund, when an associated criminal prosecution has been concluded in the circuit court the attorney for the Commonwealth shall promptly notify the Commission. If a criminal prosecution occurs regarding the alleged crime, the attorney for the Commonwealth shall request the court to order restitution. Any such restitution shall be paid over to the Comptroller for deposit into the Criminal Injuries Compensation Fund to the extent of the amount of the award paid from the Fund.

Type: Compulsory Order

Agency: **Virginia Workers' Compensation Commission**

Secretariat: Independent Agencies

Authority: Code of Virginia § 19.2-368.17, 19.2-368.5(D)

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period

Comment: Not subject to review: No executive agency oversight.

7. Minor Edits

Support for Courts and Magistrates JUD.SUPCT001

Localities must provide quarters, equipment, furniture, and other necessary support for courts and magistrates.

Type: Compulsory Order

Agency: **Supreme Court**

Secretariat: Judicial Branch

Authority: Code of Virginia §§ 15.2-1656, 15.2-1638, 16.1-69.35, 16.1-69.50, 16.1-69.51:1, 16.1-234, 17.1-118, 17.1-212, 17.1-241, 17.1-246, 17.1-253, 19.2-48.1

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period

Comment: Not subject to review. No executive agency oversight. Statutory requirement.

7. Minor Edits

Indigent Representation JUD.SUPCT002

Localities must compensate court-appointed counsel for indigents charged with local offenses that might result in imprisonment.

Type: Compulsory Order
Agency: **Supreme Court**
Secretariat: Judicial Branch
Authority: Code of Virginia § 19.2-163; US Const., amend. VI.

Last Assessment Period:
Last Assessment Finding:
Current Assessment Period
Comment: Not subject to review. No executive agency oversight. Constitutional and statutory requirement.

7. Minor Edits

Juror Costs JUD.SUPCT005

Localities must provide reimbursement for jurors in all civil cases and in the trial of misdemeanor cases when the defendant is charged on a local warrant or summons.

Type: Compulsory Order
Agency: **Supreme Court**
Secretariat: Judicial Branch
Authority: Code of Virginia § 17.1-619

Last Assessment Period:
Last Assessment Finding:
Current Assessment Period
Comment: Not subject to review. No executive agency oversight. Statutory requirement.

7. Minor Edits

Drug Treatment Court Programs JUD.SUPCT006

Localities that intend to establish a drug treatment court or continue the operation of an existing drug treatment court are required to establish an advisory committee for the courts.

Type: Non-Discretionary Condition of Aid
Agency: **Supreme Court**
Secretariat: Judicial Branch
Authority: Code of Virginia § 18.2-254.1 (Drug Treatment Court Act); and Item 40 (H), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period:
Last Assessment Finding:
Current Assessment Period
Comment: Not subject to review. No executive agency oversight. Statutory requirement.

7. Minor Edits

Comparative Report Transmittal and Annual Financial Report LEG.APA001

Local governments must submit a standardized transmittal statement of revenues and expenditures accompanied by their final audited financial report with the Auditor of Public Accounts annually on or before November 30. Towns having a population of fewer than 3,500 are excluded unless they maintain a separate school division.

Type: Compulsory Order

Agency: **Auditor of Public Accounts**

Secretariat: Legislative Branch

Authority: Code of Virginia § 15.2-2510

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period

Comment: Not subject to review: No executive agency oversight.

7. Minor Edits

Real Estate Reassessment Notification NSO.020

Local governments must provide notice by mail to each property owner of any reassessment of real estate, or of any change in the assessed value of any real estate in addition to the tax rate for the past two tax years, the total tax levies from the past two tax years, the percentage change from the past two tax years, and information on accessing and reviewing such information. Upon request of a taxpayer or their representative, the assessing officer shall also provide information regarding the methodology used to calculate the property's assessed value in addition to a written explanation or justification for an increase in the property's assessed value. Local governments may adjust the tax rate for taxes due on or before June 30 of each year by May 15 of that tax year.

Type: Compulsory Order

Agency: **No State Oversight**

Secretariat: No State Oversight

Authority: Code of Virginia §§ 58.1-3330 et seq.

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period

Comment: Not subject to review: No State oversight.

7. Minor Edits

Local Government Conflict of Interest Disclosures NSO.150

Disclosure forms of personal interest and other related information shall be filed with the Virginia Conflict of Interest and Ethics Advisory Council by the following individuals: (1) members of the local governing body or (2) school board, (3) local consitutional officers, (4) certain local government employees occupying positions of trust (as designated by local ordinance/policy) for cities, counties, and towns with populations in excess of 3,500; (5) members of any locally established authority with the power to issue bonds or expend more than $10,000 in any fiscal year; (6) and non-salaried members of local boards and commissions. Forms shall be filed upon assuming office and annually/semiannually thereafter (depending on the position). Such individuals shall also make certain disclosures and/or abstain from certain actions when they have a personal interest in particular matters in their offical local government duties with the exception of certain provisions. Local government officers and employees must also disclose their receipt of certain gifts in excess of $100 and must seek approval from the Council for any gifts of travel in excess of $100.

Type: Compulsory Order

Agency: **No State Oversight**

Secretariat: No State Oversight

Authority: Code of Virginia § 2.2-3100 et. seq.

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period

Comment:

7. Minor Edits

Control of Dangerous and Vicious Dogs SAF.VDACS009

Local animal control officers or other local law enforcement officers who believe that a dog is dangerous or vicious shall apply to a magistrate to issue a summons requiring the owner to appear before a general district court. If the court finds the dog to be vicious as defined in the statute, the court shall order the dog to be euthanized. If the court finds the dog to be dangerous as defined in the statute, it shall require the owner to obtain a dangerous dog registration certificate from the locality in which he resides. The animal control officer shall electronically submit information concerning the dangerous dog to the Virginia Dangerous Dog Registry, which is maintained by the State Veterinarian. If the owner of a dangerous dog is charged with a violation of the dangerous dog statute, the animal control officer shall confine the dangerous dog. Local treasurers shall collect all fees associated with dangerous dog registration and annually remit to the State Veterinarian a portion of such fees for maintenance of the Virginia Dangerous Dog Registry.

Type: Compulsory Order

Agency: **Department of Agriculture and Consumer Services**

Secretariat: Agriculture and Forestry

Authority: Code of Virginia § 3.2-6540

Last Assessment Period: 7/1/2015 to 9/30/2015

Last Assessment Finding: Retain

Current Assessment Period

Comment: Registry became operational July 1, 2007.

7. Minor Edits

Home Investment Partnership Act Program (HOME) SCT.DHCD007

Local governments participating in the Home Investment Partnerships Act must comply with federal and State requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Code of Virginia § 36-139; Board of Housing and Community Development Virginia Indoor Plumbing Rehabilitation Program Guidelines; P. L. 101-625, 24 CFR 92 (Fed.)

Last Assessment Period: 4/1/2005 to 6/30/2005

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Neighborhood Stabilization Program (NSP 1 & 3) SCT.DHCD016

Local governments receiving financial aid from the Neighborhood Stabilization Program (NSP 1 & 3) must comply with program application requirements, recordkeeping requirements and established deadlines. There are requirements for an audit for entities that expend financial assistance of $750,000 or more in federal awards. All organizations that are funded by this program are required to submit financial statements to the Department of Housing and Community Development in accordance with the Department's Audit Policy.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Housing and Community Development**

Secretariat: Commerce and Trade

Authority: Title III, Section 2301, Housing and Economic Recovery Act of 2008, P. L. 110-289; Section 1497, Dodd–Frank Wall Street Reform and Consumer Protection Act, P. L. 111-203.

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period

Comment:

7. Minor Edits

National Emissions Standards for Hazardous Air Pollutants (NESHAP) SCT.DOLI002

Local governments must comply with federal Environmental Protection Agency regulations, incorporated by reference by the Department of Labor and Industry, governing the demolition and renovation of facilities and the disposal of asbestos-containing wastes from such operations. If a locality wishes to adopt or amend a local NESHAP ordinance, the Safety and Health Codes Board must approve, and the new ordinance must be at least as stringent as the Board's regulation; the more stringent regulation will supersede § 40.1-51.41.

Type: Compulsory Order

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 40.1-51.23 et seq.; Department of Labor and Industry/Safety and Health Codes Board Regulations 16 VAC 25-30-10 et seq.; P.L. 99-519 (Fed.); 42 USC 7401 et seq., 40 CFR 61.140 et seq. (Fed.)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Occupational Safety and Health Act SCT.DOLI003

Local governments must provide for the occupational safety and health of their employees through compliance with the State enforcement of the federal Occupational Safety and Health Act of 1970, its rules and regulations, as well as any unique requirements of the Virginia State plan program.

Type: Compulsory Order

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 40.1-1, 40.1-2.1, 40.1-22, 40.1-49.3 et seq.; Department of Labor and Industry/Safety and Health Codes Board Regulations 16 VAC 25-60-10 through 16 VAC 25-190-10 et seq.; 29 CFR 1910, 1915, 1917, 1926, 1928 (Fed.); P.L. 91-596 (Fed.)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Voluntary Apprenticeship Programs SCT.DOLI005

Local governments that offer apprenticeship programs and choose to register the programs with the Department of Labor and Industry must comply with the Department's regulations governing the administration of apprenticeship programs and the requirements for apprenticeship related instruction.

Type: Regulation of Optional Activity

Agency: **Department of Labor and Industry**

Secretariat: Commerce and Trade

Authority: Code of Virginia §§ 40.1-117 through 40.1-126 (Voluntary Apprenticeship Act); Department of Labor and Industry/Apprenticeship Council Regulations 16 VAC 20-21-10 et seq.; P.L. 75-308 (National Apprenticeship Act of 1937) (Fed.)

Last Assessment Period: 7/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period

Comment: Incorporates SOE.VCCS001.

7. Minor Edits

Tax Exemption for Certified Pollution Control, Recycling, and Solar Energy Equipment and Facilities SFIN.TAX014

Certain certified pollution control equipment and facilities; certain recycling equipment; certain waste recovered gas equipment; and certain business-owned solar energy equipment, facilities, or devices are exempt from local taxation. The effective date of exemption is the date the property or facility is placed in service.

Type: State Fiscal Preemption

Agency: **Department of Taxation**

Secretariat: Finance

Authority: Code of Virginia § 58.1-3660; Constitution of Virginia, Article X, Section 6(d)

Last Assessment Period: 3/1/2014 to 5/31/2014

Last Assessment Finding: Retain

Current Assessment Period 7/1/2016 to 9/30/2016

Comment: Effective for tax years beginning on or after January 1, 2011. Scheduled for assessment in FY2017.

7. Minor Edits

Adult Protective Services SHHR.DARS004

Local social services agencies are required to identify specific protective services for adults found to need protective services and to provide those services to the extent that federal or State matching funds are available. The local agency must conduct investigations into reports that older and/or incapacitated adults are abused, neglected, or exploited, and must also submit reports on protective services.

Type: Compulsory Order

Agency: **Department for Aging and Rehabilitative Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 51.5-148, 63.2-1603 et seq.; Department for Aging and Rehabilitative Services, Adult Protective Services Manual, Chapter 2.

Last Assessment Period: 1/1/2003 to 3/31/2003

Last Assessment Finding: Retain

Current Assessment Period

Comment: Last assessed as SHHR.DSS037.

7. Minor Edits

Energy Assistance Program SHHR.DSS001

Local social services agencies must accept applications and determine the eligibility of clients for the energy assistance programs (i.e., Fuel, Crisis, and Cooling Assistance) in accordance with federal law and State regulations. They must administer the energy assistance program using federal grant funds and any other available funds.

Type: Compulsory Order

Agency: **Department of Social Services**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 63.2-100, 63.2-805; Department of Social Services Regulation 22 VAC 40-680-10 et seq.; P.L. 97-35, as amended; P.L. 105-285 and P.L. 109-58 (Fed.)

Last Assessment Period: 4/1/2006 to 6/30/2006

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

New Hires Reporting SHHR.DSS065

Employers, including local governments, must report new hires, unless exempted by statute or regulation, to the Virginia New Hire Reporting Center, operated under the Division of Child Support Enforcement, within 20 days of the initial hire.

Type: Compulsory Order
Agency: **Department of Social Services**
Secretariat: Health and Human Resources
Authority: Code of Virginia § 63.2-1946

Last Assessment Period: 10/1/2002 to 11/30/2002
Last Assessment Finding: Retain
Current Assessment Period
Comment:

7. Minor Edits

State/Local Hospitalization Program Eligibility SHHR.DSS068

Local social services agencies are required to determine eligibility upon application by local residents for assistance from the State/Local Hospitalization Program administered by the Department of Medical Assistance Services.

Type: Compulsory Order
Agency: **Department of Social Services**
Secretariat: Health and Human Resources
Authority: Code of Virginia § 32.1-347

Last Assessment Period: 2/1/2004 to 4/30/2004
Last Assessment Finding: Retain
Current Assessment Period
Comment: The General Assembly has not allocated funding for the program, so it has been suspended. Local social services agencies are not required to determine eligibility for the program unless funding is restored. With the implementation of the Affordable Care Act in 2013, the need for the SLH program has diminished. The Code of Virginia retains language at 32.1-374 authorizing the program.

7. Minor Edits

Annual Credit Checks for Children in Foster Care SHHR.DSS075

Local departments shall conduct annual credit checks on children aged 14 years and older who are in foster care to identify cases of identity theft or misuse of personal identifying information of such children. Local departments shall resolve, to the greatest extent possible, cases of identity theft or misuse of personal identifying information of foster care children identified pursuant to this section.

Type: Compulsory Order
Agency: **Department of Social Services**
Secretariat: Health and Human Resources
Authority: Code of Virginia § 63.2-905.2

Last Assessment Period: 7/1/2014 to 9/30/2014
Last Assessment Finding: Retain
Current Assessment Period
Comment:

7. Minor Edits

Vital Records and Health Statistics Report SHHR.VDH018

Each county and city health director shall serve as the county or city registrar of vital records and health statistics for his or her jurisdiction and shall appoint one or more deputies in the county or city health department. The local registrar shall transmit twice monthly the certificates, reports, or other records filed with him to the State Registrar or more frequently when directed to do so by the State Registrar.

Type: Compulsory Order
Agency: **Department of Health**
Secretariat: Health and Human Resources
Authority: Code of Virginia § 32.1-254 et seq.

Last Assessment Period: 9/1/2002 to 11/30/2002
Last Assessment Finding: Retain
Current Assessment Period
Comment:

7. Minor Edits

Waterworks Operation Permit SHHR.VDH019

Local governments that own and operate a waterworks are required to have a waterworks operation permit. This permit requires: (1) continuous provision of safe drinking water; (2) monitoring requirements; and (3) reporting requirements. Community waterworks are also required to develop and maintain an emergency management plan for the provision of pure water during any extended power outage.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia §§ 32.1-167 through 32.1-176; Waterworks Regulation 12 VAC 5-590-10 et seq.; P.L. 93-523 (Fed.); P.L. 99-399 (Fed.); P.L. 104-182 (Fed.)

Last Assessment Period: 7/1/2013 to 9/30/2013

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Public Marina SHHR.VDH020

Local governments that own and operate marinas and the sanitary sewage facilities serving marinas and other places where boats are moored must conform to the requirements of the Sanitary Regulations for Marinas and Boat Moorings.

Type: Regulation of Optional Activity

Agency: **Department of Health**

Secretariat: Health and Human Resources

Authority: Code of Virginia § 32.1-246; Department of Health Regulation 12 VAC 5-570-10 et seq.

Last Assessment Period: 4/1/2002 to 6/30/2002

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Virginia Impounding Structure Regulations SNR.DCR005

Local governments that own dams must make any improvements necessary to correct deficiencies found during required inspections through alterations, construction, or maintenance.

Type: Regulation of Optional Activity

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 10.1-604 et seq.; Virginia Soil and Water Conservation Board Regulations 4 VAC 50-20-10 et seq.

Last Assessment Period: 6/1/2005 to 8/31/2005

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Virginia Recreational Trails Program SNR.DCR009

Local governments receiving funds for non-motorized and motorized trails from the Virginia Recreational Trails Program are required to provide a 20% match. Trails must be available and open for public use.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Conservation and Recreation**

Secretariat: Natural Resources

Authority: Fixing America's Surface Transportation Act, 129 Stat.1312, P.L. 114-94; 23 USC 206

Last Assessment Period: 6/1/2003 to 8/31/2003

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Virginia Pollution Abatement Permit SNR.DEQ022

Local governments that operate facilities that have the potential to discharge into State waters but are not actually a point source discharge are required to obtain a Virginia Pollution Abatement Permit. These permits contain requirements specific to the operation and include monitoring and reporting requirements. The types of operations that may be required to obtain a Virginia Pollution Abatement permit include land application of sewage sludge, septage, industrial sludge (such as potable water treatment residuals), or land treatment of wastewater.

Type: Regulation of Optional Activity

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 62.1-44.15 et seq., 62.1-44.16, 62.1-44.18; 62.1-44.19:3; State Water Control Board Regulation 9 VAC 25-20-10 et seq., 9 VAC 25-32-10 et seq; 33 USC 1251 et seq. (Fed.); Clean Water Act § 402 (Fed.); 40 CFR 122 - 124 (Fed.)

Last Assessment Period: 7/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Stormwater Local Assistance Funds SNR.DEQ045

This fund provides matching grants to local governments for the planning, design, and implementation of stormwater best management practices that address cost efficiency and commitments related to reducing water quality pollutant loads. The fund is used for: i) obligations related to the Chesapeake Bay total maximum daily load (TMDL) requirements; ii) requirements for local impaired stream TMDLs; iii) water quality requirements of the Chesapeake Bay Watershed Implementation Plan (WIP); and iv) water quality requirements related to the permitting of small municipal stormwater sewer systems. The grants are used solely for capital projects meeting all pre-requirements for implementation, including but not limited to: i) new stormwater best management practices; ii) stormwater best management practice retrofits; iii) stream restoration; iv) low impact development projects; v) buffer restoration; vi) pond retrofits; and vii) wetlands restoration.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-44.15:29 et seq.

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period 9/1/2016 to 11/30/2016

Comment: Scheduled for assessment in FY2017.

7. Minor Edits

Living Shorelines Loans SNR.DEQ047

Local governments may receive loans from the Water Facilities Revolving Fund to establish living shorelines. Funds must be used exclusively for creating living shorelines that protect or improve water quality, and prevent pollution of state waters.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Environmental Quality**

Secretariat: Natural Resources

Authority: Code of Virginia § 62.1-229.5

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period

Comment: Mandate added as a result of CH474 of the 2015 Acts of Assembly

7. Minor Edits

Comprehensive Plan to Include Coastal Resource Management SNR.MRC003

Beginning in 2013, Tidewater localities shall incorporate the guidance developed by the Virginia Institute of Marine Science pursuant to § 28.2-1100 (9) into the next scheduled comprehensive plan review. This guidance is intended to foster the sustainability of shoreline resources, identify preferred options for shoreline management, and consider the future condition of the Commonwealth's shoreline with respect to potential sea-level rise.

Type: Compulsory Order

Agency: **Marine Resources Commission**

Secretariat: Natural Resources

Authority: Code of Virginia §§ 15.2-2223.2 and 28.2-1100 (9).

Last Assessment Period: 10/1/2015 to 12/31/2015

Last Assessment Finding: Retain

Current Assessment Period

Comment: Responsibility for providing technical assistance associated with this mandate is shared between the Marine Resources Commission, the Virginia Institute of Marine Science, and the Department of Conservation and Recreation.

7. Minor Edits

Minimum Instructional Personnel Requirements SOE.DOE009

School divisions are required to employ a minimum number of licensed, full-time equivalent instructional personnel deemed sufficient to meet the instructional needs of students attending public schools as set forth in the Standards of Quality. School divisions receiving federal funds must comply with certain employment requirements set forth in the No Child Left Behind Act of 2001.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-253.13:2; State Board of Education Regulations 8 VAC 20-22-10 et seq., 8 VAC 20-90-10 et seq., 8 VAC 20-131-10 et seq., 8 VAC 20-410-10 et seq., 8 VAC 20-420-10 et seq., 8 VAC 20-440-10 et seq.; P.L. 89-10 (Fed.); P.L. 114-95 (Every Student Succeeds Act 2015) (Fed.)

Last Assessment Period: 2/1/2002 to 3/31/2002

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Local School Division Policies SOE.DOE026

School divisions are required to maintain and follow up-to-date policies; ensure that policies take into account the views of teachers, parents, and other concerned citizens; and advise the public annually of the placement and availability of policies. A current copy of the school division's policies, including the Student Conduct Policy, must be posted on the school divisions' Websites. School divisions must develop policies regarding the distribution of political materials by students and the administration of surveys or questionnaires to students. In any administration of a questionnaire or survey requesting students' sexual information, mental health information, medical information, information on health risk behaviors pursuant to 32.1-78.8, other information on controlled substance abuse, or any other information the school board deems sensitive in nature, the school board must notify parents in writing not less than 30 days prior to its administration. The notice must include the nature and types of questions, the purposes and age-appropriateness of the survey, the use of information collected, who will have access to the information, the steps taken to protect student privacy, and whether and how any findings or results will be disclosed. Unless required by federal or state law or regulation, school personnel administering any such questionnaire or survey must not disclose personally identifiable information. School boards also must develop policies and procedures addressing complaints of sexual abuse of a student by a teacher or other school board employee; the identification and handling of suspected concussions in students; prohibited use of electronic cigarettes on a school bus, on school property, or at a school-sponsored activity; and a non-restroom location designated in each school in the division for employees or students who are mothers to express milk for their babies through age one. In addition, each school board shall annually provide to parents educational information on eating disorders for public school students in grades five through twelve consistent with Department of Education guidelines.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-79.3, 22.1-253.13:7, 22.1-271.5, 22.1-271.6, 22.1-273.2, 22.1-79.5, 22.1-79.6.

Last Assessment Period: 7/1/2013 to 8/31/2013

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Plans and Procedures for Measuring Progress of At-Risk Students SOE.DOE054

School divisions are required to implement a plan to make achievements for educationally at-risk students a division-wide priority. The plan must include procedures for measuring the progress of such students. A local school board may establish after school programs designed to prevent at-risk youth from engaging in illegal or gang-related activities for school aged children. Local funds appropriated for K through 12 education may be used to support such after-school programs.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-253.13:1(D) and 22.1-199.5; State Board of Education Regulations 8 VAC 20-630-10 et seq.

Last Assessment Period: 6/1/2002 to 7/31/2002

Last Assessment Finding: Alter

Current Assessment Period

Comment:

7. Minor Edits

Student Services in Grades K-12 SOE.DOE058

School divisions are required to provide a program of student services for grades K through 12 that shall be designed to aid students in their educational, social, and career development. School boards must also implement an agreement for obtaining an associate's degree or a one-year Uniform Certificate of General Studies from a community college concurrent with a high school diploma that also specifies the credit available for dual enrollment courses and Advanced Placement courses with qualifying exam scores of three or higher. School boards must also notify students and their parents of the availability of the community college options, dual enrollment and advanced placement classes, the International Baccalaureate Program, and Academic Year Governor's School Programs, the qualifications for enrolling in such classes and programs, and the availability of financial assistance to low-income and needy students to take the Advanced Placement and International Baccalaureate examinations. School divisions must provide annual web site notice of the availability of postsecondary education and employment data published by the State Council of Higher Education.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-253.13:1

Last Assessment Period: 7/1/2002 to 8/31/2002

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

At-Risk Four-Year-Old Preschool Grant SOE.DOE076

School divisions receiving At-Risk Four-Year-Old Preschool grant funds to provide quality preschool programs for at-risk four-year-olds and five-year-olds not served by other programs are required to match the grant funds on the basis of the locality's composite index of local ability to pay, capped at 0.50. Any locality which desires to participate in this grant program must submit a proposal through its chief administrator (county administrator or city manager) by May 15 each year and specific program requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-199.1; Item 139 (C)(14), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 8/1/2002 to 9/30/2002

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Virtual Virginia and Multi-Division Online Providers SOE.DOE078

The Virtual Virginia program creates a statewide delivery of credit courses and staff development programs to address equity and educational disparity problems in schools across Virginia and must be made available to every public high school. This electronic classroom program is a distance-learning program that provides advanced level courses to students in areas of the Commonwealth where a qualified teacher is unavailable, or the number of qualifying students is too few to justify employment of a full-time teacher. Additionally, school divisions may offer online courses to other school divisions through the Virtual Virginia Program upon approval of the course and any local fee or offer instruction to students in multiple school divisions by entering into a contract with any private organization, educational institution, or nonprofit virtual school organization that has been approved by the Superintendent of Public Instruction to operate as a multi-division online provider. The local share of costs associated with the operation of the Virtual Virginia program shall be computed using the composite index of local ability-to-pay.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-212.2, and 22.1-212.23 et seq.; Item 139 (C)(23), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 9/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Limited English Proficient Students SOE.DOE079

School divisions receiving federal funds shall use them to increase the English proficiency of limited English proficient children by providing high quality language instruction programs based on scientific research. Key components of such programs include a process for identifying students of limited English proficiency, a means of assessing their language skills, and procedures for placing them in an appropriate education program. Local school divisions must employ 17 full-time equivalent instructional positions for each 1,000 students identified as having limited English proficiency and include training for principals and teachers in the skills needed to work with such students.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-212.1, 22.1-253.13:1, 22.1-253.13:2, 22.1-253.13:5; P.L. 89-10 (Fed.); Equal Educational Opportunities Act of 1974 (Fed.); 20 USC 1703 (Fed.); P.L. P.L. 114-95 (Every Student Succeeds Act 2015) (Fed.)

Last Assessment Period: 9/1/2002 to 10/31/2002

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Early Reading Intervention Program Grant SOE.DOE091

This program is designed to reduce the number of students needing remedial reading services. Program funds are used by local school divisions for: special reading teachers; trained aides; volunteer tutors under the supervision of a certified teacher; computer-based reading tutorial program; aides to instruct in class groups while the teacher provides direct instruction to the students who need extra assistance; or extended instructional time in the school day or year for these students. A local match of funds is required, as is the use of a diagnostic test, an intervention program, and the reporting of results.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Item 139 (C)(15), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 10/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Home Instruction SOE.DOE094

Local school division superintendents are required to monitor the progress of home-schooled students, and must notify the Superintendent of Public Instruction of the number of students in the division receiving home instruction. School boards must implement a plan to notify students receiving home instruction and their parents of the availability of Advanced Placement and Preliminary Scholastic Aptitude Test examinations and financial assistance for low-income and needy students who wish to take them. School boards must implement a plan to make these tests available to children who are home-schooled. Division superintendents and school boards cannot disclose to the Department of Education or any other person or entity outside of the local school division information provided by a parent or student to satisfy home instruction requirements without written parental consent.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-254.1

Last Assessment Period: 8/1/2005 to 9/30/2005

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Virginia Public School Educational Technology Grants Program SOE.DOE110

School divisions receiving grants from the Virginia Public School Educational Technology Trust Fund must provide a 20% local match based on the composite index of ability to pay, and must meet State Board of Education program guidelines. At least 25% of the local match shall be used for teacher training in the use of the technology.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia §§ 22.1-175.6 through 22.1-175.9; Item 139 (C)(12), Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 11/1/2002 to 12/31/2002

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Identification of Critical Shortages of Teachers and Administrators SOE.DOE121

School divisions shall annually survey the division to identify critical shortages of teachers and administrative personnel by subject matter, and report such critical shortages to the local school board, the Superintendent of Public Instruction, and to the Virginia Retirement System.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-79, 22.1-290.01; Item 138 (G)(1), 8VAC20-650-10; Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 9/1/2008 to 10/31/2008

Last Assessment Finding: Retain

Current Assessment Period

Comment: Effective July 1, 2004.

7. Minor Edits

School Performance Report Card SOE.DOE131

Each school shall provide to its students' parents or guardians a school report card including information prescribed by the Board of Education. At the beginning of each school year, each school must also provide information regarding availability of and source for receiving: (1) the learning objectives to be achieved at their child's grade level or, in high school, a copy of the syllabus for each of their child's courses, and a copy of the school division promotion, retention, and remediation policies; (2) the Standards of Learning applicable to the child's grade or course requirements and the approximate date and potential impact of the child's next SOL testing; and (3) notice to students in all grade levels of all requirements for Standard, Advanced Studies and Applied Studies Diplomas, and the board's policies on promotion and retention.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-90.1 and 22.1-253.13:3; 8 VAC 20-131-270

Last Assessment Period: 7/1/2014 to 8/31/2014

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

School Year to begin after Labor Day SOE.DOE132

Local school boards shall set the school calendar so that the first day for students is after Labor Day. This requirement may be waived by the Board of Education if a school board certifies that it has had a history of excessive closures due to severe weather or emergency situations, if it is involved in dependent programs that would otherwise require the system to begin school before Labor Day, if it offers approved experimental or innovative programs, or if it is entirely surrounded by a school division that opens prior to Labor Day. In addition, any school division that received a waiver to open before Labor Day in the 2011-2012 school year is granted a waiver for the 2016-2017 school year.

Type: Compulsory Order

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-79.1; Item 139 (A)(18) Chapter 780, 2016 Acts of Assembly.

Last Assessment Period: 8/1/2012 to 9/30/2012

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Public School Security Equipment Grant Act SOE.DOE144

This fund will be used to make grants and loans to local school divisions for capital infrastructure improvements related to school safety and security. The Department of Education shall develop guidelines concerning the requirements for applying for a grant and the administration of such grants. Localities receiving the funds must provide a 25% local match.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Education**

Secretariat: Education

Authority: Code of Virginia § 22.1-280.2:2; Item 139 (C) (13) Chapter 780, 2016 Acts of Assembly

Last Assessment Period:

Last Assessment Finding:

Current Assessment Period 7/1/2016 to 8/31/2016

Comment: Scheduled for assessment in FY2017.

7. Minor Edits

Implementation of E-911 Services SOTECH.VITA003

Local governments shall be served by an E-911 system by July 1, 2003, unless the 9-1-1 Services Board grants an extension.

Type: Compulsory Order
Agency: **Virginia Information Technologies Agency**
Secretariat: Technology
Authority: Code of Virginia § 56-484.16

Last Assessment Period: 8/1/2003 to 8/31/2003
Last Assessment Finding: Retain
Current Assessment Period
Comment:

7. Minor Edits

Training Standards for Criminal Justice Personnel SPSHS.DCJS008

Local criminal justice personnel, including law enforcement officers, auxiliary police officers, courtroom security personnel, process servers, jailers, dispatchers, and criminal justice instructors are required to meet training requirements established by the Criminal Justice Services Board.

Type: Compulsory Order
Agency: **Department of Criminal Justice Services**
Secretariat: Public Safety and Homeland Security
Authority: Code of Virginia § 9.1-102; Criminal Justice Services Board Regulations 6 VAC 20-10 through 110, 6 VAC 30-10 through 130, 6 VAC 40-10 through 60, 6 VAC 50-10 through 100, 6 VAC 60-10 through 90, 6 VAC 80-10 through 110

Last Assessment Period: 8/1/2015 to 10/31/2015
Last Assessment Finding: Retain
Current Assessment Period
Comment:

7. Minor Edits

Crime Victim Services Grants SPSHS.DCJS011

Local governments receiving victim services grants are required to establish and operate programs to provide services to victims of crime in accordance with Department of Criminal Justice Services guidelines established to implement the Crime Victim and Witness Rights Act. Localities are also required to report quarterly on expenditures and on progress toward achieving the objectives of their grants using an online grants management information system.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Criminal Justice Services**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 9.1-104, 19.2-11.01, and 19.2-11.3; Department of Criminal Justice Services Program Guidelines; P.L. 98-473 (Victims of Crime Act) (Fed.); Final Program Guidelines, Victims of Crime Act (Fed.)

Last Assessment Period: 7/1/2006 to 9/30/2006

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Transportation of Detained Juveniles SPSHS.DJJ006

Local governments or regional commissions operating juvenile-secure detention facilities having custody or responsibility for the supervision of a juvenile shall be responsible for transportation of the juvenile to medical appointments, dental appointments, psychological, and psychiatric evaluations. Transportation of juvenile placements shall be the responsibility of the court service unit.

Type: Compulsory Order

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 16.1-254; Board of Juvenile Justice Regulation, 6 VAC 35-101-640

Last Assessment Period: 2/1/2005 to 3/31/2005

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Mental Health Screening of Juveniles SPSHS.DJJ008

The staffs of local or regional juvenile secure detention facilities are required to administer a mental health screening tool to ascertain the need for a mental health assessment for juveniles detained within the facility. If a mental health assessment is determined to be necessary, the assessment shall take place within twenty-four hours of the determination.

Type: Compulsory Order

Agency: **Department of Juvenile Justice**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 16.1-248.2; Board of Juvenile Justice Regulation, 6 VAC 35-101-820

Last Assessment Period: 4/1/2003 to 6/30/2003

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Hazardous Materials Response Team SPSHS.VDEM007

Local governments entering into cost-sharing agreements with the Department of Emergency Management to receive State funds for the maintenance and operation of advanced hazardous materials response teams agree by contract to dispatch the teams to incidents occurring within an assigned geographic area outside their own political subdivisions.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Emergency Management**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 44-146.36 (A)

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Eliminate

Current Assessment Period

Comment: Although VDEM recommended to eliminate the catalog entry in 2005, the 2016 staff and Director of VDEM have agreed that SPSHS.VDEM007 is a robust VDEM function and recommends to retain this entry here on out.

7. Minor Edits

Uniform Crime Reporting System SPSHS.VSP005

Local law enforcement agencies must report certain crimes and offenses, including certain gang related arrests, to the Department of State Police for statewide crime reporting and for transmittal to the Federal Bureau of Investigation Uniform Crime Reporting System. Monthly electronic files are to be received by the Department of State Police by the 15th of the following month. Failure to report, incomplete and inaccurate reporting could affect allocated funding.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia § 52-25, 52-28, 52-28.1 and Title 9.1, Chapter 1, Article 8; Virginia UCR Guide Manual; 28 USC 534 (Fed.)

Last Assessment Period: 2/1/2005 to 4/30/2005

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Requirement to Report Suspected Aliens SPSHS.VSP017

Probation/parole officers are required to report individuals suspected of being illegal aliens when they are referred to probation/parole for a pre- or post-sentence report when convicted in Circuit Court of any felony. Whenever any person is committed to a correctional facility, it shall be the duty of the director, sheriff or other person in charge of such facility to inquire as to whether the person was born in a country other than the United States or is a citizen of a country other than the United States. The sheriff or other officer in charge of the jail shall communicate the results of any immigration alien inquiry that confirms that a person committed to the jail is illegally present in the United States to the Local Inmate Data System. If it is confirmed that the individual is illegally present in the United States, the Department of Corrections, director or other officer in charge shall notify the Central Criminal Records Exchange to be recorded on the person's criminal history. However, notification need not be made to the Central Criminal Records Exchange in certain instances if it is apparent that the status was previously reported while the person was in jail custody, while the person reported to a parole officer after being convicted of a felony, or if the facility makes use of the "Secure Communities" program administered by the U.S. Customs and Immigration Enforcement.

Type: Compulsory Order

Agency: **Department of State Police**

Secretariat: Public Safety and Homeland Security

Authority: Code of Virginia §§ 19.2-294.2, 19.2-83.2, 53.1-218

Last Assessment Period: 1/1/2009 to 3/31/2009

Last Assessment Finding: Retain

Current Assessment Period

Comment: Program effective July 1, 1994.

7. Minor Edits

Community Traffic Safety Grant STO.DMV003

Local governments may receive Community Traffic Safety grant funds through the Department of Motor Vehicles. To be eligible under this program, localities must establish projects to reduce the number and severity of traffic crashes through enhanced response to accidents, selective traffic law enforcement, identification of pedestrian safety problems, and identification and improvement of locations that have high or potentially high accident experience. Localities receiving this grant funding are required to provide matching funds and to comply with other program requirements.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Motor Vehicles**

Secretariat: Transportation

Authority: Code of Virginia §§ 46.2-222 through 223; Highway Safety Act of 1966, as amended (Fed.); 23 USC 401 et seq. (Fed.)

Last Assessment Period: 6/1/2006 to 8/31/2006

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Highway Safety Improvement Program (HSIP) STO.VDOT029

The HSIP is a core Federal-aid funding program established to achieve a significant reduction in traffic fatalities and serious injuries on all public roads based on analysis of safety problems and using strategies identified in the state highway safety plan.

Local governments administering projects approved under the HSIP are required to design and construct the improvements to federal and state standards. Those localities must also comply with various federal regulations concerning hiring consultants, personnel, recordkeeping, purchasing and other related financial matters.

HSIP requires a 10 percent non-federal funding match. To assist localities in qualifying for HSIP projects, VDOT provides the 10 percent funding match for locally administered HSIP projects.

Type: Non-Discretionary Condition of Aid

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: 23 USC 130 and 148 (Fed.); Fixing America's Surface Transportation Act (P.L. 114-94) § 1113 (Fed.)

Last Assessment Period: 9/1/2002 to 11/30/2002

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Traffic Signal Photo Enforcement Program Policy STO.VDOT036

Local governments seeking to implement a traffic signal photo enforcement program are required to complete an engineering safety analysis and establish the length of the yellow signal phase based on the recommended methodology of the Institute of Transportation Engineers (ITE); however, a minimum of 3 seconds of yellow signal time is required. (See VDOT Traffic Engineering Memo 306.1: Calculating Yellow Signal Times). If recommended by the engineering safety analysis, the local government must make reasonable location-specific safety improvements before red light running cameras are implemented at any signalized intersection in the Commonwealth. The improvements may also include making necessary signal timing adjustments to accommodate the minimum yellow time requirement. In addition, localities must conduct public awareness programs, place conspicuous signs near the intersection approaches, include a 0.5 second grace period and conduct monthly evaluations of the traffic light signal violation monitoring system installed at any intersection. The evaluation results must be made available to the public. Localities must also annually certify compliance and make all pertinent records available for inspection or audit by the Commissioner of Highways or the Commissioner of the Department of Motor Vehicles or his designee.

Type: Regulation of Optional Activity

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia § 15.2-968.1.

Last Assessment Period: 8/31/2009 to 10/31/2009

Last Assessment Finding: Retain

Current Assessment Period

Comment:

7. Minor Edits

Local Transportation Plan to be Reviewed by VDOT STO.VDOT042

As part of a comprehensive plan, local planning commissions are required to prepare and recommend a transportation plan for adoption by the governing body. Such plan shall include new and expanded transportation facilities and recognize and differentiate between different levels of roads. The transportation plan, and any amendment to it, is required to be consistent with the statewide multimodal transportation plan (VTrans), Six Year Improvement Program (SYIP), and the location of state routes approved by the Commonwealth Transportation Board (CTB).

Prior to the adoption of any change to the locality's transportation plan, it must be submitted to the Virginia Department of Transportation (VDOT), and VDOT is required to verify consistency between the local transportation plan and VTrans, SYIP, and location of routes approved by the CTB and provide comments to the locality on such consistency or lack thereof. The locality shall submit a copy of the adopted transportation plan or any amendment to VDOT. If determined to be inconsistent, VDOT shall notify the CTB.

If the CTB finds the local transportation plan, a Metropolitan Planning Organization (MPO) long range plan, or regional Transportation Improvement Program (TIP) is not consistent with VTrans, SYIP, or the location of routes previously approved by the CTB, the CTB shall notify the locality (or MPO) and request an applicable amendment.

If such an amendment is not made by the locality or MPO, the CTB may reallocate funding from that nonconforming project. Additionally, if the locality requests the project be terminated and VDOT does not agree, or the MPO does not allow the project to advance (through inaction with the TIP, for example), the CTB may require reimbursement of all funds expended on the project. Finally, if the locality or MPO requests alterations after design approval and such alterations increase project costs over 10%, the CTB may require the locality (or localities that make up the MPO) to offset the increased project costs. The provisions for the potential reallocation of funding and reimbursement of costs apply to projects on VDOT-controlled roads, and not to projects on locally controlled roads in municipalities and the Counties of Arlington and Henrico.

Type: Compulsory Order

Agency: **Department of Transportation**

Secretariat: Transportation

Authority: Code of Virginia §§ 15.2-2223 and 33.2-214 (E); Item 452 (C), Chapter 780, 2016 Acts of Assembly

Last Assessment Period: 7/1/2014 to 9/30/2014

Last Assessment Finding: Retain

Current Assessment Period

Comment:

Appendix D

Principal Federal Mandates Affecting Virginia Localities

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Appendix D: Principal Federal Mandates Affecting Virginia Localities

Appendix D provides a separate listing of the principal Federal mandates that affect localities in Virginia. Whereas Parts A and B of this catalog include State mandates, Federal mandates, and mandates based on both State and Federal sources of authority, this section lists only Federal mandates. The source of authority for a particular mandate may seem inconsequential from the standpoint of a locality endeavoring to comply with numerous intergovernmental mandates, but distinguishing between Federal and State mandates is important, since it is the first step in determining accountability. However, Federal mandates on local governments can be difficult to identify.

First, although the Federal government may impose mandates on local governments directly (e.g., the Americans with Disabilities Act), it may also do so indirectly. For example, it may require the State to impose certain mandates on localities, to be administered according to Federal guidelines by State agencies as pass-through mandates (e.g., Temporary Assistance to Needy Families Program). The Federal government may also require the State to administer certain programs according to State regulations, provided the State adopts the Federal standards or more stringent standards of its own (e.g., the Clean Water Act). Similarly, some Federal mandates direct the State to meet the requirements of one program or risk termination or reduction of assistance in another program (e.g., the Urban Mass Transportation Act of 1964). In each of these cases, an essentially Federal mandate is ultimately implemented on the basis of both Federal and State law.

Second, Federal mandates often operate differently from State-imposed actions, and with different effects. For example, unlike State grants, Federal grants to localities often include not only individual program requirements but also a variety of unrelated national policy requirements affecting civil rights, environmental protection, occupational health and safety, fair employment standards, and the like. The merit of such policies aside, compliance with these additional "cross-cutting" mandates may increase a locality's administrative burdens and costs, as well as the opportunity costs of subordinated local priorities. Yet the Federal nature of these grants-in-aid may not be apparent, if the grant programs or the associated cross-cutting mandates are administered by State agencies.

Examples of all of these types of Federal mandates are found in Parts A and B of this catalog with full entries. The list provided in Appendix D, while not an exhaustive inventory of all Federal mandates that affect localities, serves to identify the principal ones. Entries in this section include a short title, a brief description, and source of authority.

Short Title: **Age Discrimination Act of 1975**

Description: Prohibits discrimination based on age in programs receiving federal financial assistance.

Authority: P. L. 94-135; P. L. 95-478

Comment:

Short Title: **Age Discrimination in Employment Act Amendments of 1986**

Description: Prohibits mandatory retirement at age 70, with a seven-year delay in coverage for police, fire fighters, and college professors. Also, prevents discrimination on the basis of age in local government employment. These requirements were enacted as part of the amendments to the Age Discrimination in Employment Act of 1967.

Authority: P. L. 90-202; P. L. 93-259; P. L. 99-592

Comment:

Short Title: **Americans With Disabilities Act**

Description: Prohibits discrimination on the basis of disability in local government employment and services. Requires that local governments not discriminate against people with disabilities in the operation of public transportation programs. Buildings and facilities constructed or altered by state or local governments are required to be designed in compliance with federal standards to ensure that they are accessible and usable by people with disabilities.

Authority: P. L. 101-336

Comment:

Short Title: **Architectural Barriers Act**

Description: Requires federally funded buildings, facilities, and public conveyances to be accessible to the physically handicapped.

Authority: P. L. 90-480

Comment:

Short Title: **Asbestos Hazard Emergency Response Act of 1986**

Description: Requires all schools to conduct inspections for asbestos-containing materials and development management plans; schools must implement their management plans within two years and eight months. States must review and approve local management plans. Also establishes requirements for handling asbestos-containing materials in properties or buildings undergoing rehabilitation or demolition.

Authority: P. L. 99-519

Comment:

Short Title: **Base Closure and Community Redevelopment Act of 1994**

Description: Requires local governments to submit to the U. S. Department of Housing and Urban Development their plans for reuse of closed military bases which balances the needs of the homeless against the economic and redevelopment needs of the community.

Authority: P. L. 103-421

Comment:

Short Title: **Cable Communications Policy Act of 1984**

Description: Preemption of local regulation of basic cable rates by the Federal Communications Commission.

Authority: P. L. 98-549

Comment:

Short Title: **Child Nutrition Act of 1966**

Description: Prohibits local sales taxes on food purchases made with funds from the Special Supplemental Nutrition Program for Women, Infants, and Children.

Authority: P. L. 99-591; P. L. 108-265

Comment:

Short Title: **Civil Rights Act of 1964**

Description: Prohibits employment discrimination against any person under any local program or activity receiving federal financial assistance.

Authority: P. L. 88-352; P. L. 90-202; P. L. 92-318; P. L. 97-424

Comment:

Short Title: **Civil Rights Act of 1968**

Description: Prohibits discrimination against any person in the sale or rental of federally assisted housing.

Authority: P. L. 90-284

Comment:

Short Title: **Civil Rights Restoration Act of 1987**

Description: Expands institutional coverage of laws prohibiting racial, gender, handicapped, and age discrimination by recipients of federal assistance.

Authority: P. L. 100-259

Comment:

Short Title: **Clean Air Act**

Description: Requires local governments to participate in state plans to implement regulations and statutes governing air emissions from stationary and mobile sources. For those localities in non-attainment areas the statute requires that a number of corrective activities be undertaken.

Authority: P. L. 91-604; P. L. 95-95

Comment:

Short Title: **Clean Air Act Amendments of 1990**

Description: Imposes strict new deadlines and requirements dealing with urban smog, municipal incinerators and power plants, and toxic emissions; enacted new programs to control acid rain.

Authority: P. L. 101-549

Comment:

Short Title: **Clean Water Act**

Description: Requires local government facilities discharging into public water to meet certain regulations. Those regulations also set standards for improving and maintaining water quality and requires permitting of point source discharges.

Authority: P. L. 92-500; P. L. 95-217; P. L. 96-88; P. L. 100-1

Comment:

Short Title: **Comprehensive Environmental Response Compensation and Liability Act**

Description: Requires local governments to identify hazardous substances they use; to notify potentially affected parties; to make plans for dealing with spills, pollution, and abandoned hazardous waste disposal sites; and to clean up contamination.

Authority: P. L. 99-499

Comment:

Short Title: **Consolidated Omnibus Budget Reconciliation Act of 1985**

Description: Extends Medicare hospital insurance taxes and coverage to all new local government employees.

Authority: P. L. 99-509

Comment:

Short Title: **Davis-Bacon Act**

Description: Requires that locally prevailing wages are paid to construction workers employed under federal contracts and assistance programs.

Authority: P. L. 74-403

Comment:

Short Title: **Drug-Free Schools and Community Act**

Description: Requires school divisions receiving federal funds to adopt a school drug-free policy.

Authority: P. L. 101-226

Comment:

Short Title: **Drug-Free Workplace Act of 1988**

Description: Requires certification by all federal grantees and contractors of a drug-free workplace and creation of employee awareness, sanctions, and treatment programs.

Authority: P. L. 100-690

Comment:

Short Title: **Education Amendments of 1972**

Description: Prohibits discrimination on the basis of sex in federally assisted education programs.

Authority: P. L. 92-318

Comment:

Short Title: **Emergency Planning and Community Right-to-Know Act of 1986**

Description: Establishes community right-to-know programs requiring local notification of potential hazards and dissemination of information to public; expands local emergency response planning. This requirement was enacted as an amendment to the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (Superfund).

Authority: P. L. 99-499

Comment:

Short Title: **Endangered Species Act of 1973**

Description: Provides for the conservation, protection, restoration, and propagation of species of fish, wildlife, and plants facing extinction. Various federal agencies work to implement the Act by monitoring potential destruction of natural habitats by local government construction projects. This mandate was amended further by the Endangered Species Act of 1982 (P. L. 97-304).

Authority: P. L. 93-205

Comment:

Short Title: **Equal Access Act**

Description: Requires public secondary schools to allow student religious groups to meet at the school under certain conditions.

Authority: P. L. 98-377

Comment:

Short Title: **Equal Educational Opportunities Act of 1974**

Description: Requires local governments to operate educational programs in a manner that does not deny equal educational opportunity to an individual on account of his or her race, color, sex, or national origin.

Authority: 20 USC Sec. 1703

Comment:

Short Title: **Equal Employment Opportunity Act of 1972**

Description: Prohibits discrimination on the basis of race, color, religion, sex, or national origin in local government employment.

Authority: P. L. 92-261

Comment:

Short Title: **Fair Housing Act Amendments of 1988**

Description: Prohibits discrimination in housing and extends Civil Rights Act of 1968 to cover the handicapped and families with children.

Authority: P. L. 100-430

Comment:

Short Title: **Fair Labor Standards Act Amendments of 1974**

Description: Extends federal minimum wage and overtime pay protection to local government employees.

Authority: P. L. 75-718; 93-259

Comment:

Short Title: **Family and Medical Leave Act**

Description: Requires local governments having more than 50 employees to provide up to 12 weeks of unpaid, job-protected leave per year, with health insurance, for the birth or adoption of a child or the serious illness of the employee or an immediate family member.

Authority: P. L. 103-3

Comment:

Short Title: **Family Educational Rights and Privacy Act of 1974**

Description: Requires local school division to provide student and parental access to educational records while restricting access by others.

Authority: P. L. 93-380

Comment:

Short Title: **Federal Water Pollution Control Act**

Description: Requires local governments undertaking construction projects which necessitate the dredging and filling of wetlands and land adjacent to other water bodies to obtain a permit from the U. S. Army Corps of Engineers. Also establishes federal effluent limitations to control the discharge of pollutants from public treatment facilities.

Authority: P. L. 92-500; P. L. 95-217; P. L. 100-4

Comment:

Short Title: **Flood Disaster Protection Act of 1973**

Description: Requires local governments to participate in the National Flood Insurance Program and to adopt land use regulations for their flood plain which meet the federal criteria before federal assistance can be granted for the acquisition or construction of property in identified flood hazard areas.

Authority: P. L. 93-234

Comment:

Short Title: **Food Stamp Act of 1977**

Description: Prohibits local sales taxes on purchases made with Food Stamps.

Authority: P. L. 99-198

Comment:

Short Title: **Health Insurance Portability and Accountability Act of 1996**

Description: Requires local governments to provide documentation of prior health coverage to persons leaving their employ. Further, local governments employing persons possessing documentation of prior health coverage must credit such coverage against the preexisting health condition requirements of their health care plans.

Authority: P. L. 104-191

Comment:

Short Title: **Help America Vote Act of 2002**

Description: Requires local governments to use the centralized state computer database and comply with federal election administration standards, including voting equipment accessible for persons with disabilities. This mandate increases the cost of locally funded staff for general registrar's office. Equipment costs may be reimbursed by the Commonwealth with federal funds to the extent that funds are available.

Authority: P. L. 107-252

Comment:

Short Title: **Housing and Community Development Act of 1974**

Description: Prohibits discrimination based on race, color, national origin, sex, age, or handicap.

Authority: P. L. 97-35

Comment:

Short Title: **ICC Termination Act of 1995**

Description: Prohibits local governments from discriminating against railroads when imposing local taxes.

Authority: P. L. 104-88

Comment:

Short Title: **Immigration Reform and Control Act of 1986**

Description: Requires local governments to use the Systematic Alien Verification for Entitlement (SAVE) program to verify the immigration status of alien applicants for certain federal, state, and local programs, and incertain instances,deny such benefits. Local government employers are also prohibited from discrimination against job applicants on the basis of their national origin or citizenship status.

Authority: P. L. 99-603 (Immigration Reform and Control Act of 1986), P.L. 104-193 (Personal Responsibility and Work Opportunity Reconciliation Act of 1996), P.L. 104-208 (Illegal Immigration Reform and Immigrant Responsibility Act of 1996)

Comment:

Short Title: **Individuals with Disabilities Education Act**

Description: Provides a free appropriate education to all children with disabilities

Authority: 20 USC 1400 et seq.

Comment:

Short Title: **Job Training Partnership Act**

Description: Requires local governments participating in the Job Training Partnership Act via a private industry council to comply with the regulations governing this act, such as submitting annual job training plans and meeting job training performance standards. In addition, discrimination in the provision of services under this Act is prohibited.

Authority: P. L. 97-300

Comment:

Short Title: **Justice for All Act of 2004**

Description: Requires the collection of DNA samples from persons convicted of felonies. Expands the use of DNA analysis in the criminal justice system and to assist victims of crimes by establishing six new grant programs and extending the authority for two current programs.

Authority: P.L. 108-405

Comment:

Short Title: **Juvenile Accountability Incentive Block Grant**

Description: Requires local governments receiving Juvenile Accountability Incentive Block Grants to form a Juvenile Crime Enforcement Coalition.

Authority: P. L. 105-119

Comment:

Short Title: **Juvenile Justice and Delinquency Prevention Act of 1974**

Description: Requires local governments to provide separate facilities for juveniles in detention centers that hold both juveniles and adults.

Authority: P. L. 102-586

Comment:

Short Title: **Lead Containment Control Act of 1988**

Description: Requires local and private nonprofit elementary and secondary school and day care centers to test drinking water coolers to test and remedy lead contamination problems. This requirement is a component of the Safe Drinking Water Act Amendments.

Authority: P. L. 100-572

Comment:

Short Title: **Multiethnic Placement Act**

Description: Prohibits an agency or entity that receives federal assistance and is involved in adoptive or foster care placements from delaying or denying the placement of a child solely on the basis of race, color, or national origin of the adoptive or foster parent or parents involved.

Authority: P. L. 103-382

Comment:

Short Title: **National Apprenticeship Act of 1937**

Description: Requires local governments offering apprenticeship related programs registered with the U. S. Department of Labor to comply with equal opportunity and affirmative action regulations.

Authority: P. L. 75-308

Comment:

Short Title: **National Dam Safety Act**

Description: Requires local governments building dams to build and maintain them in accordance with federal standards.

Authority: P. L. 92-367

Comment:

Short Title: **National Environmental Policy Act of 1969**

Description: Local governments undertaking construction projects using federal funds may be required to prepare an environmental impact statement.

Authority: P. L. 91-190; 94-52; 94-83

Comment:

Short Title: **National Historic Preservation Act of 1966**

Description: Requires local governments undertaking projects with federal funds to take into account the effect such actions might have on properties listed or eligible for listing on the National Register of Historic Places.

Authority: P. L. 89-665

Comment:

Short Title: **National School Lunch Act**

Description: Requires local school divisions participating in the National School Lunch Program to comply with federal regulations guiding program administration, preparation and service of nutritious lunches, use of program funds, and record keeping and reporting.

Authority: P. L. 104-149

Comment:

Short Title: **National Voter Registration Act of 1993**

Description: Requires local governments to comply with federal standards to increase opportunities for voter registration and maintain accurate voter registration records. This mandate may increase local government postage costs. The U.S. Postal Service is not uniformly allowing the statutory nonprofit rate.

Authority: P. L. 103-31

Comment:

Short Title: **Occupational Safety and Health Act of 1970**

Description: Requires local governments to meet federal safety standards for employees.

Authority: P. L. 91-596

Comment:

Short Title: **Ocean Dumping Ban Act**

Description: Prohibits ocean dumping of sludge from local government sewage treatment facilities.

Authority: P. L. 100-688

Comment:

Short Title: **Older Worker's Benefit Protection Act**

Description: Requires public employee benefit programs to be adjusted in order to provide equal benefits to older and younger workers.

Authority: P. L. 101-433

Comment:

Short Title: **Omnibus Budget Reconciliation Act of 1990**

Description: Requires mandatory Social Security coverage to all local government employees not otherwise covered by a public employee retirement system.

Authority: P. L. 101-508

Comment:

Short Title: **Omnibus Transportation Employee Testing Act of 1991**

Description: Requires local governments to conduct mandatory drug testing for all employees operating specified vehicle types under specified conditions, and to test for drugs and alcohol for any employee performing safety-sensitive activities for federally funded transit systems.

Authority: P. L. 102-143

Comment:

Short Title: **Perkins Vocational and Applied Technology Education Act**

Description: Requires local school divisions receiving federal funds for vocational education to comply with standards concerning competency-based secondary and post-secondary vocational education, vocational student organizations, and the elimination of sex-bias in vocational programs.

Authority: P. L. 101-392

Comment:

Short Title: **Public Health Service Act**

Description: Requires local governments to expand community health services to the mentally ill, drug users, substance abusers, pregnant women, and other persons enumerated in the Act.

Authority: P. L. 102-321

Comment:

Short Title: **Rehabilitation Act of 1973**

Description: Prohibits discrimination on the basis of physical or mental handicap in federally assisted programs.

Authority: P. L. 93-112

Comment:

Short Title: **Residential Lead-Based Paint Hazard Reduction Act of 1992**

Description: Requires local governments responsible for federally assisted housing to assess, inspect, reduce, or abate lead hazards in such housing. This requirement is found in Title X of the Housing and Community Development Act of 1992.

Authority: P. L. 102-550

Comment:

Short Title: **Resource Conservation and Recovery Act Amendments of 1984**

Description: Requires locally operated hazardous wastes sites to be inspected by the Environmental Protection Agency (EPA). Authorizes EPA to establish criteria for local government solid waste disposal facilities. Requires local governments that own and operate underground tanks that store petroleum and hazardous substances to comply with regulations concerning the prevention, detection, and correction of damage done by leaks and spills.

Authority: P. L. 94-580; P. L. 98-616

Comment:

Short Title: **Safe Drinking Water Act**

Description: Requires local governments to comply with federal standards regulating drinking water. Those standards include maximum levels for contaminants which are known to occur in public water systems, appropriate analytical and treatment techniques, and public notification procedures, among other activities. Prohibits the use of lead in any pipes used to install or repair plumbing in public water systems.

Authority: P. L. 93-523; P. L. 99-399

Comment:

Short Title: **Sevicemembers Civil Relief Act**

Description: Local governments must consider members of the Armed Services, and their spouses to be residents of their home jurisdiction for personal property taxation purposes, so long as the member is living within the jurisdiction solely for military purposes.

Authority: P.L. 108-189 (Sevicemembers Civil Relief Act); P.L. 111-97 (Military Spouses Residency Relief Act) (Fed.)

Comment:

Short Title: **Social Security Amendments of 1983**

Description: Prohibits local governments from withdrawing from Social Security coverage, and accelerates scheduled increases in payroll taxes and payment of payroll taxes by local governments.

Authority: P. L. 98-21

Comment:

Short Title: **Soldiers' and Sailors' Civil Relief Act Amendments of 1991**

Description: Requires local governments to reemploy veterans returning from leave of absence at the seniority and rate of pay commensurate with continuous service.

Authority: P. L. 101-353

Comment:

Short Title: **Stewart B. McKinney Homeless Assistance Act**

Description: Requires local governments to provide community mental health services for the homeless.

Authority: P. L. 100-77

Comment:

Short Title: **Surface Transportation and Uniform Relocation Assistance Act of 1987**

Description: Requires local transit agencies buying buses with federal funds to comply with federal inspection, testing, and procurement requirements.

Authority: P. L. 97-424; P. L. 100-17

Comment:

Short Title: **Tax Reform Act of 1986**

Description: Requires issuers of tax-exempt bonds (e.g., local governments) to rebate excess arbitrage profits to the United States. Requires that an information statement, Form 8038, be filed with the Internal Revenue Service for all governmental bond issues.

Authority: P. L. 99-514

Comment:

Short Title: **Telecommunications Act of 1996**

Description: Local governments must act upon zoning applications for a wireless telecommunications antenna site within 150 days for a new tower site, or within 90 days for a co-located antenna. Additionally, a locality cannot deny an application solely because wireless service is already available from another provider.

Authority: 47 USC 332 (c)(7)(B), 24 FCC Rcd 13994. (Fed.)

Comment:

Short Title: **Uniform Relocation and Real Properties Acquisition Policies Act of 1970**

Description: Establishes federal policies and reimbursement procedures for property acquisition under federally assisted programs.

Authority: P. L. 91-646

Comment:

Short Title: **Uniformed and Overseas Citizens Absentee Voting Act**

Description: Requires local governments to provide absentee voting to former Virginia residents stationed outside Virginia or living overseas, their spouses, and their dependents.

Authority: P. L. 99-410

Comment:

Short Title: **Urban Mass Transportation Act of 1964**

Description: Requires local governments receiving loans or grants for urban mass transportation systems to comply with the standards of the Davis-Bacon Act.

Authority: P. L. 88-365

Comment:

Short Title: **Violent Crime Control and Law Enforcement Act of 1994**

Description: Requires local governments receiving Community Oriented Policing Services grant funds to hire and train new police officers or rehire officers that have been laid off due to budgetary reductions. Localities receiving funds for such activities are also required to provide a 25% match.

Authority: P. L. 103-322

Comment:

Short Title: **Voter Accessibility for the Elderly and Handicapped Act**

Description: Requires local governments to assure all polling places used in federal elections are accessible toindividuals with disabilities, and that a reasonable number of accessible voter registration sites be provided.

Authority: P. L. 98-435

Comment:

Short Title: **Voting Rights Act of 1965**

Description: Requires Virginia local governments, unless they bail out, to obtain prior approval of changes affecting voting, such as ordinances establishing precincts or introducing new voting systems. Fewer than 20 Virginia localities have bailed out.

Authority: P. L. 89-110

Comment:

Short Title: **Voting Rights Language Assistance Act**

Description: Requires local governments to provide bilingual assistance to voters if a locality has more than 10,000 citizens who share a minority or if more than 5% of the voting-age citizens are members of a language minority.

Authority: P. L. 89-110; 97-205; 102-344

Comment:

Short Title: **Water Quality Act of 1987**

Description: Requires local governments to comply with requirements for identifying and controlling nonpoint pollution. Establishes new requirements for testing and permitting discharges from storm sewers owned by local governments. Directs the Environmental Protection Agency to develop regulations governing toxic wastes in sewage sludge.

Authority: P. L. 100-4

Comment:

Short Title: **Water Resources Development Act of 1986**

Description: Requires local governments that participate in federal water resources projects to share the costs of such projects.

Authority: Water Resources Development Act of 1986

Comment:

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Appendix E

Summary of State and Federal Mandates by Secretariat, Agency and Type

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Catalog of State and Federal Mandates on Local Governments - 2016

SECRETARIAT AND AGENCY	COMPULSORY ORDER	CONDITION OF FINANCIAL AID	REGULATION OF OPTIONAL ACTIVITY	STATE FISCAL PREEMPTION	TOTAL
ADMINISTRATION					
Compensation Board	5	0	0	0	5
Elections, Department of	8	0	0	0	8
General Services, Department of	1	0	0	0	1
Human Resource Management, Department of	0	0	2	0	2
Total	14	0	2	0	16
AGRICULTURE AND FORESTRY					
Agriculture and Consumer Services, Department of	6	2	2	0	10
Forestry, Department of	1	3	0	0	4
Total	7	5	2	0	14
COMMERCE AND TRADE					
Housing and Community Development, Department of	3	9	2	0	14
Labor and Industry, Department of	4	0	3	0	7
Mines, Minerals, and Energy, Department of	0	0	2	0	2
Professional and Occupational Regulation, Department of	0	0	2	0	2
Total	7	9	9	0	25
EDUCATION					
Arts, Virginia Commission for the	0	3	0	0	3
Community College System, Virginia	0	1	0	0	1
Education, Department of	95	11	8	0	114
Library of Virginia, The	2	2	2	0	6
Total	97	17	10	0	124

SECRETARIAT AND AGENCY	COMPULSORY ORDER	CONDITION OF FINANCIAL AID	REGULATION OF OPTIONAL ACTIVITY	STATE FISCAL PREEMPTION	TOTAL
FINANCE					
Accounts, Department of	0	0	0	0	0
Taxation, Department of	11	0	4	4	19
Treasury, Department of the	5	0	3	0	8
Total	16	0	7	4	27
HEALTH AND HUMAN RESOURCES					
Aging and Rehabilitative Services, Department for	9	1	1	0	11
Behavioral Health and Developmental Services, Department of	8	2	1	0	11
Blind and Vision Impaired, Department for the	0	1	0	0	1
Children's Services, Office of	2	1	0	0	3
Health, Department of	16	2	13	0	31
Medical Assistance Services, Department of	1	0	0	0	1
People with Disabilities, Virginia Board for	0	1	0	0	1
Social Services, Department of	42	6	4	0	52
Total	78	14	19	0	111
NATURAL RESOURCES					
Conservation and Recreation, Department of	1	5	2	0	8
Environmental Quality, Department of	11	8	20	0	39
Game and Inland Fisheries, Department of	0	0	9	0	9
Historic Resources, Department of	0	3	0	0	3
Marine Resources Commission	1	0	2	0	3
Total	13	16	33	0	62

SECRETARIAT AND AGENCY	COMPULSORY ORDER	CONDITION OF FINANCIAL AID	REGULATION OF OPTIONAL ACTIVITY	STATE FISCAL PREEMPTION	TOTAL
PUBLIC SAFETY AND HOMELAND SECURITY					
Corrections, Department of	0	1	2	0	3
Criminal Justice Services, Department of	7	14	2	0	23
Emergency Management, Department of	9	4	0	1	14
Fire Programs, Department of	0	1	1	0	2
Forensic Science, Department of	3	0	2	0	5
Juvenile Justice, Department of	4	2	4	0	10
State Police, Department of	14	0	2	0	16
Total	37	22	13	1	73
TECHNOLOGY					
Virginia Information Technologies Agency	3	0	0	0	3
Total	3	0	0	0	3
TRANSPORTATION					
Aviation, Department of	1	2	5	0	8
Motor Vehicles, Department of	1	1	4	0	6
Port Authority, Virginia	0	1	0	0	1
Rail and Public Transportation, Department of	0	13	1	0	14
Transportation, Department of	12	17	7	0	36
Total	14	34	17	0	65

SECRETARIAT AND AGENCY		COMPULSORY ORDER	CONDITION OF FINANCIAL AID	REGULATION OF OPTIONAL ACTIVITY	STATE FISCAL PREEMPTION	TOTAL
VETERANS AND DEFENSE AFFAIRS						
Veterans Services, Department of		0	0	0	1	1
	Total	0	0	0	1	1
TOTAL REVIEWABLE MANDATES		**286**	**117**	**112**	**6**	**521**
UNREVIEWABLE MANDATES						
No Executive Agency Oversight		12	3	4	0	19
No State Oversight		76	24	51	2	153
	Total	88	27	55	2	172
TOTAL MANDATES		**374**	**144**	**167**	**8**	**693**

Appendix F

Virginia Statutes Affecting Mandates on Local Governments

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Virginia Statutes Affecting Mandates on Local Governments

The Code of Virginia has several provisions relating to State and federal mandates imposed on local governments. Those provisions are summarized below:

AGENCY ASSESSMENT

Each State executive agency is required to assess the mandates it administers to determine which mandates, if any, may be altered or eliminated. The statute also requires that these assessments include an estimate of the fiscal impact on local governments, and a written justification as to why the mandate should or should not be eliminated. (Code of Virginia § 2.2-613)

§ 2.2-613. Agency mandates on localities; assessment.

Pursuant to § 15.2-2903, all agencies, as defined in § 2.2-128, shall conduct an assessment of all mandates imposed on local governments administered by the agency to determine which mandates, if any, may be altered or eliminated. With respect to state mandates imposed on local governments, such assessments shall include an estimate of the fiscal impact of the mandates on the affected local governments in addition to a written justification as to why the mandate should or should not be eliminated.

GUBERNATORIAL SUSPENSION OF MANDATES

The Governor may suspend for up to one year any administrative mandate imposed on a local government, except for those administered by the Department of Education, if the local government faces fiscal stress and the Governor determines that the suspension of the mandate would help alleviate the fiscal hardship faced by the local government. Before the mandate is suspended, information regarding the request for a suspension must be published in the Virginia Register. The Governor also must report annually to the General Assembly on any local requests for a mandate suspension and his response to the request. (Code of Virginia § 2.2-113)

§ 2.2-113. Temporary suspension of state mandates.

A. The Governor may suspend, temporarily and for a period not to exceed one year, any mandate, or portion thereof, prescribed by any unit of the executive branch of state government on a county, city, town, or other unit of local government upon a finding that it faces fiscal stress and the suspension of the mandate or portion thereof would help alleviate the fiscal hardship.

However, for a period beginning July 1, 2010, and ending July 1, 2012, the Governor may suspend any such mandate for a period not to exceed two years upon proper application by a locality pursuant to this section.

B. No application shall be made by the locality until approved by resolution of the governing body.

C. At the time of application, the following information shall be published in the Virginia Register: (i) the name of the petitioning locality, (ii) the mandate or portion thereof requested to be suspended, (iii) the impact of the suspension of the mandate on the ability of the local government to deliver services, (iv) the estimated reduction in current budget from the suspension, and (v) the time period requested for suspension. Publication in the Virginia Register shall occur at least 20 days in advance of any suspension by the Governor.

D. No later than January 1 of each year, the Governor shall submit to the General Assembly a report that identifies each petitioning locality, the mandate or portion thereof for which suspension was sought, and the response provided to the locality.

E. Nothing in this section shall apply to the Department of Education.

In making a determination of fiscal stress, the Governor may consider, but is not limited to, the following factors: any changes in anticipated revenue, income distribution of residents, revenue effort, revenue capacity, and changes in local population and employment levels.

FEDERAL MANDATES

The Implementation of Federal Mandates Act establishes a procedure by which the Governor and General Assembly will critically review all State agency budgetary requests resulting from federal mandates to ensure that federally mandated programs are properly based on federal constitutional and statutory authority and are implemented in the most cost effective manner possible. State agencies are directed to report to the Department of Planning and Budget any monetary savings and reduced regulatory burdens that could be affected by alternative strategies for implementation of federal mandates designed to meet the intent of federal statutes without having to follow all applicable federal guidelines. (Code of Virginia §§ 2.2-618 and 2.2-619)

§ 2.2-618. State programs to implement federal statutes.

Any agency of the executive branch of state government that is authorized to develop a state program to implement any mandates contained in a federal statute shall develop the state program and adopt any necessary regulations using the following criteria:

1. State programs shall be developed by the agency to meet the requirements of federal statutes in good faith with a critical view toward any federal regulations, guidelines, or policies.

2. State programs shall be developed with due consideration of the financial restraints of the Commonwealth, local governments, and the citizens of Virginia.

3. Any state program that implements the goals of the federal statute shall use the most efficient method possible with careful consideration given to cost of the program and the

impact of the program on Virginia citizens and local governments, and the long-range public health, safety, and welfare of citizens of the Commonwealth.

§ 2.2-619. Governor to report to the General Assembly.

A. The Governor shall report to the General Assembly regarding the proposed implementation of this section.

B. If any state program is authorized or mandated by a federal statute, no state funds for the program shall be appropriated unless:

1. The state program is necessary to protect the public health, safety, and welfare;

2. The state program is necessary to implement the federal statute;

3. The operation of the state program benefits the state by providing a cost-effective implementation of the federal statute by the Commonwealth, local government, and business; or

4. The state program benefits the Commonwealth, local government, and business by providing a cost-effective means to meet a higher public health, safety, and welfare standard established under state law.

C. Each agency making a budget request for state appropriations for a state program authorized or mandated by federal statute shall include in its budget request citations to the federal constitutional provisions and the state constitutional or statutory provisions that authorize the state program. The Governor shall review the budget request and determine whether additional state statutory authority is required in order to implement the state program and shall make recommendations to the General Assembly.

D. The General Assembly, after receiving a recommendation from the Governor, shall determine whether a state program is necessary and whether federal constitutional authority and state constitutional or statutory authority exist. The General Assembly shall review toward the interpretation of the federal statute found in federal regulations, guidelines, or policies. Appropriation of state funds for a state program shall constitute the General Assembly's determination that the state program is necessary and that federal constitutional authority and state constitutional or statutory authority exist. State appropriations may not be based solely on requirements found in regulations, guidelines, or policies of a federal agency.

E. Prior to recommending to the General Assembly any budget for an agency that is charged with implementing federal mandates, the Governor shall request that the agency provide information to the Department of Planning and Budget regarding any monetary savings for the state and any reduction in regulatory burdens on the public and on local governments that could be or have been achieved through the development of state policies that meet the intent of the federal statute but do not necessarily follow all applicable federal regulations, guidelines, or policies. The agency shall also provide advice to the Department of Planning and Budget regarding any changes in law that are necessary to provide the

agency with the authority to implement state policies in such a way as to create additional savings or greater reductions in regulatory burdens. The Department of Planning and Budget shall review and compile the information received from agencies pursuant to this section and shall include recommendations in the executive budget.

F. For purposes of this section, "state program" shall not include any portion of a program that is funded with nontax or nonfee revenue, or both, which state authorities are required to administer in a trusteeship or custodial capacity and that are not subject to appropriation by the General Assembly.

PILOT PROGRAM FOR REGULATIONS

An agency proposing a regulation imposing a statewide mandate shall consider, where appropriate, implementing the mandate on a limited basis with a representative number of localities. Such a pilot program may be used to determine the effectiveness or impact of proposed regulations prior to statewide adoption. (Code of Virginia § 2.2-4010)

§ 2.2-4010. Pilot programs for regulations imposing local government mandates.

Where an agency proposes to consider the exercise of authority to promulgate a regulation that will impose a statewide mandate on the Commonwealth's localities, the agency shall consider, where appropriate, implementing the regulation on a limited basis with a representative number of localities. An agency may use such a pilot program to determine the effectiveness or impact of proposed regulations prior to statewide adoption.

ASSESSMENT SCHEDULE AND CATALOG

The Commission on Local Government is required to coordinate the assessment of mandates which agencies must conduct. The Commission must establish a schedule for the assessment of mandates and advise the Governor and the General Assembly as to which mandates, according to the assessments, can be altered or eliminated without interruption of local service delivery or undue threat to the health, safety, and welfare of residents. No assessment shall be required to be performed more than once every four years. The Commission is also required to prepare, and annually update, a catalog of State and federal mandates imposed on local governments, including, where available, a summary of the fiscal impact of new mandates. Finally, as a result of legislation approved in 2011 and amended in 2014, the Commission is directed to assist a five-member task force to be appointed by the Governor. The task force's purpose is to review mandates and recommend appropriate mandates for temporary suspension or permanent repeal. (Code of Virginia § 15.2-2903)

§ 15.2-2903. General powers and duties of Commission.

The Commission shall have the following general powers and duties:

1. To make regulations, including rules of procedure for the conducting of hearings;

2. To keep a record of its proceedings and to be responsible for the custody and preservation of its papers and documents;

3. To serve as a mediator between localities;

4. To investigate, analyze, and make findings of fact, as directed by law, as to the probable effect on the people residing in any area of the Commonwealth of any proposed action in that area:

a. To annex territory,

b. To have an area declared immune from annexation,

c. To establish a town or independent city,

d. To settle or adjust boundaries between localities,

e. To make a transition from city status to town status,

f. To make a transition from a county to a city,

g. To consolidate two or more localities, at least one of which is a county, into a city, or

h. To enter into economic growth-sharing agreements among localities;

5. To conduct investigations, analyses and determinations, in the sole discretion of the Commission, for the guidance of localities in the conduct of their affairs upon the request of such localities;

6. To receive from all agencies, as defined in § 2.2-128, assessments of all mandates imposed on localities administered by such agencies. The assessments shall be conducted on a schedule to be set by the Commission, with the approval of the Governor and the Secretary of Commerce and Trade, provided that the assessments shall not be required to be performed more than once every four years. The purpose of the assessments shall be to determine which mandates, if any, may be altered or eliminated. If an assessment reveals that such mandates may be altered or eliminated without interruption of local service delivery and without undue threat to the health, safety and welfare of the residents of the Commonwealth, the Commission shall so advise the Governor and the General Assembly;

7. To prepare and annually update a catalog of state and federal mandates imposed on localities including, where available, a summary of the fiscal impact on localities of all new mandates. All departments, agencies of government, and localities are directed to make available such information and assistance as the Commission may request in maintaining the catalog;

8. At the direction of the Governor, to assist a five-member task force appointed by the Governor to review state mandates imposed on localities and to recommend temporary suspension or permanent repeal of such mandates, or any other action, as appropriate. The Governor shall have all necessary authority granted under § 2.2-113, or any other provision of law, to implement the task force recommendations or may recommend legislation to the General Assembly as needed. The task force shall be appointed by and serve at the pleasure

of the Governor and shall serve without compensation. The task force may include city or town managers, county administrators, members of local governing bodies and members of appointed or elected school boards. All agencies of the Commonwealth shall provide assistance to the Commission, upon request. The provisions of this subdivision shall expire July 1, 2018; and

9. To perform such other duties as may be imposed upon it, from time to time, by law.

FISCAL IMPACT STATEMENTS

The Commission on Local Government is required to prepare a fiscal impact statement on legislation which may require local governments to render a new service or expand any existing service, or which may require a net reduction in revenues by any county, city, or town. The Division of Legislative Services is directed to refer any legislation or joint resolution appearing to meet that criterion to the Commission for the preparation of the fiscal impact statement or study of local government revenues or expenditures. The Department of Planning and Budget and the Department of Taxation are authorized to submit legislative bills to the Commission to prepare local fiscal estimates. (Code of Virginia §§ 30-19.03)

§ 30-19.03. Estimates to be prepared for legislation affecting local government expenditures and revenues.

Whenever any legislative bill requiring a net additional expenditure by any county, city, or town, or whenever any legislative bill requiring a net reduction of revenues by any county, city, or town, is filed during any session of the General Assembly, the Commission on Local Government shall investigate and prepare an estimate setting forth, to the extent practicable, the additional expenditures or reduction of revenues, if any, to be required of the affected localities in event of enactment of such legislation.

A bill shall be deemed to require an expenditure if it has the effect of requiring any county, city, or town to (i) perform or administer a new or expanded program or service, (ii) maintain an existing program or service at a specified level of spending or delivery, (iii) assume or incur administrative costs in support of a state or state-related program, or (iv) furnish capital facilities for state or state-related activities.

For purposes of this section, "net additional expenditure" means the cost anticipated to be incurred annually, less any revenues receivable on account of the program or service from fees charged recipients of the program or service, state or federal aid paid specifically and categorically in connection with the program or service, new or increased local sources of revenue authorized and designated specifically to offset the cost of the program or service, and any offsetting savings resulting from the reduction or elimination of any program or service directly attributable to the performance of the required program or service.

A bill shall be deemed to require a net reduction of revenues if it has the effect of requiring any county, city, or town to (i) relinquish an existing or potential source of local revenue by classification or exclusion or (ii) diminish an existing or potential source of revenue by classification or exclusion.

For the purposes of this section, "net reduction of revenues" means the reduction anticipated in local revenues, including, but not limited to, general levies, special levies, revenues received pursuant to §§ 58.1-605 and 58.1-606 and administrative and user fees, to be incurred annually, less any new local revenues receivable and any offsetting savings resulting from the reduction of local revenues, caused by the classification or exclusion being proposed.

The provisions of this section shall not apply to a reduction in local revenues that is required or arises from a court order or judgment, nor to a revenue reduction that is adopted at the option of any county, city, or town under a law that is permissive rather than mandatory, nor to a revenue reduction that is the result of a measure providing tax relief on a statewide basis.

The Division of Legislative Services shall examine all bills and joint resolutions filed during any legislative session for the purpose of identifying and forwarding to the Commission on Local Government those bills requiring the preparation of fiscal estimates pursuant to this section and those joint resolutions calling for a study of local government revenues or expenditures.

The Department of Planning and Budget and the Department of Taxation are authorized to submit legislative bills to the Commission on Local Government to prepare local fiscal estimates.

As soon thereafter as may be practicable, the Commission on Local Government shall forward copies of such estimates to the Clerk of the House of Delegates for transmittal to each patron of the legislation and to the chairman of each committee of the General Assembly to consider the same.

All departments, agencies of government, the Division of Legislative Services, and all local governmental units of the Commonwealth are directed to make available such information and assistance as the Commission on Local Government may request in preparing the estimates required by this section.